

BOARD OF REGENTS *for* KENTUCKY STATE UNIVERSITY



Regular Meeting of the Board of Regents

Thursday, June 3, 2021

1:00 p.m.

2nd Floor Julian M. Carroll

Academic Service Building

Frankfort, Kentucky 40601

KENTUCKY STATE UNIVERSITY

MISSION STATEMENT

Kentucky State University is a public, comprehensive, historically black land-grant university committed to advancing the Commonwealth of Kentucky, enhancing society, and impacting individuals by providing quality teaching with a foundation in liberal studies, scholarly research, and public service to enable productive lives within the diverse global economy.

KENTUCKY STATE UNIVERSITY

VISION STATEMENT

Kentucky State University prepares today's students as global citizens, lifelong learners and problem solvers. To accomplish this, Kentucky State University must challenge itself and its students to be the best. It must recognize its strengths, expand and excel. Notwithstanding, it must also welcome change and quality improvement. By doing so, KSU will gain widespread recognition as one of the region's strongest universities. As a university of distinction, Kentucky State University will create an environment where:

- Students are first.
- Diversity is valued, understood and respected.
- Diverse, motivated and talented students, staff and faculty are actively recruited and retained.
- An intellectual environment conducive to leadership in teaching, research and community service is encouraged and supported.
- Effective teaching is promoted both inside and outside the classroom.
- Students are taught how to obtain, evaluate and use information.
- Learning is lifelong.
- Effective and efficient fiscal management by the administration is the norm.
- Collegiality is the norm, not the exception.
- Each person is a change agent.
- Excellence starts with me.

KENTUCKY STATE UNIVERSITY

CORE VALUES

Through the core values, we- the faculty, staff, administration and students of Kentucky State University – communicate to all our stakeholders and constituents the way in which we choose to do business. The following values that we hold are essential to achieving the University’s mission:

Student Centered Philosophy

In everything we do, our students come first. We strive to create an environment that values the unique backgrounds, perspectives and talents of all our students and provide them with the academic, leadership and social tools to help them grow as responsible, knowledgeable and creative global citizens. We encourage attitudes and behaviors that lead to a desire to learn, a commitment to goals and respect for the dignity of others. Ultimately, we encourage attitudes and behaviors that build success.

Excellence and Innovation

We believe in student’s potential to learn and to connect what they learn inside and outside the classroom to solving problems for productive changes. We strive to offer excellent academic programs; to encourage exploration and discovery through providing outstanding instruction, technology and facilities; and to ignite a curiosity toward the world and a passion for lifelong learning. We seek to reward the pursuit and achievement of excellence and innovation in an environment where freedom of thought and expression are valued. We want all members of our campus community to leave a mark through their creativity, curiosity, discovery, exploration and ingenuity.

Ethical Conduct

We encourage the sharing of information in an open and responsible manner while maintaining the highest ethical and moral standards. The standards are reflected in our commitment to accountability and to personal responsibility for our choices and actions. We encourage respect for the dignity, diversity and right of individuals. We welcome all students who commit themselves to learning, knowing that students and faculty with diverse perspective enhance our classroom experience.

Social Responsibility

We share responsibility for each other and are committed to providing opportunities for the participation in the economic, political and cultural life of our local, state, regional, national and global communities. We are sensitive to our surrounding community; therefore, we recognize the value of integrating classroom learning with the community experience. Our commitment is to provide leadership and to establish partnerships for addressing community and workforce needs and to make a positive difference in the city of Frankfort, the Commonwealth of Kentucky and the world.

KENTUCKY STATE UNIVERSITY

COUNCIL OFFICERS

Dr. M. Christopher Brown II
Eighteenth President

Dr. Lucian Yates III
Interim Provost and Vice President for Academic Affairs

Mr. Douglas R. Allen II
Executive Vice President for Finance and Administration / CFO

Ms. Clara Ross Stamps
Senior Vice President for Brand Identity and University Relations

Vacant
Institutional Advancement

Dr. Pernella R. Deams
Interim Vice President for Student Engagement and Campus Life

Ms. Lisa Lang
General Counsel, and Records Custodian

Dr. Tymon M. Graham
Chief of Staff

KENTUCKY STATE UNIVERSITY BOARD MEMBERS

Mr. Ron Banks (2023)

Ms. Mindy Barfield, Esq. (2021)

Dr. Carolyn Burns (2025)

Dr. Elaine Farris (2026), *Chairperson*

Ms. Chandee Felder (2022), *Staff Regent*

Mr. Paul C. Harnice, Esq. (2022)

Mr. Dalton Jantzen, MS (2022)

Mr. Kirk Miller (2021), *Student Regent*

Dr. Joe Moffett (2022), *Faculty Regent*

Dr. Syamala H.K. Reddy (2021)

Mr. Roger Reynolds (2024)

KENTUCKY STATE UNIVERSITY ELECTED BOARD OFFICERS

Dr. Elaine Farris, *Chairperson*

Mr. Dalton Jantzen, *Vice Chairperson*

Dr. M. Christopher Brown II, *Secretary*

Mr. Douglas R. Allen II, *Treasurer*

KENTUCKY STATE UNIVERSITY

BOARD COMMITTEES

ACADEMIC AFFAIRS

Regent Dalton Jantzen, *Chair*
Regent Mindy Barfield
Regent Elaine Farris
Regent Kirk Miller
Regent Joe Moffett
Regent Roger Reynolds

BRAND IDENTITY AND UNIVERSITY RELATIONS

Regent Roger Reynolds, *Chair*
Regent Ron Banks
Regent Carolyn Burns
Regent Elaine Farris
Regent Dalton Jantzen
Regent Joe Moffett

INSTITUTIONAL ADVANCEMENT

Regent Ron Banks, *Chair*
Regent Elaine Farris
Regent Chandee Felder
Regent Paul Harnice
Regent Syamala Reddy
Regent Roger Reynolds

FINANCE AND ADMINISTRATION

Regent Mindy Barfield, *Chair*
Regent Carolyn Burns
Regent Elaine Farris
Regent Paul Harnice
Regent Dalton Jantzen
Regent Roger Reynolds

STUDENT ENGAGEMENT AND CAMPUS LIFE

Regent Elaine Farris, *Chair*
Regent Ron Banks
Regent Chandee Felder
Regent Paul Harnice
Regent Dalton Jantzen
Regent Kirk Miller

GOVERNANCE

Regent Paul Harnice, *Chair*
Regent Mindy Barfield
Regent Elaine Farris
Regent Chandee Felder
Regent Syamala Reddy
Regent Roger Reynolds

EXECUTIVE AND AUDIT COMMITTEE

Regent Elaine Farris, *Chair*
Regent Ron Banks
Regent Mindy Barfield
Regent Paul Harnice
Regent Dalton Jantzen
Regent Roger Reynolds

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Quarterly Meeting of the Board of Regents

Thursday, June 3, 2021, 1:00 p.m.

Zoom Link: <https://kysu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

Phone One-Tap: [+16468769923](tel:+16468769923), [+13017158592](tel:+13017158592), [+19193296753](tel:+19193296753) or [+13017158592](tel:+13017158592), [+19193296753](tel:+19193296753)

Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

- | | |
|---|---|
| 1. Call to Order | Regent Elaine Farris,
Chair, Board of Regents |
| 2. Roll Call | President M. Christopher Brown II,
Board Secretary |
| 3. Adoption of the Agenda | Regent Elaine Farris |
| 4. Opening Remarks | Regent Elaine Farris |
| 5. Consent Agenda | President M. Christopher Brown II |
| a. Approval of Minutes for Prior Meetings | |
| <i>*Minutes of the March 4, 2021</i> | |
| <i>Quarterly Meeting of the Board of Regents</i> | |
| <i>*Minutes of the April 6, 2021</i> | |
| <i>Special Called Meeting of the Board of Regents</i> | |
| <i>*Minutes of the May 11, 2021</i> | |
| <i>Special Called Meeting of the Board of Regents</i> | |
| b. Approval of Quarterly Human Resources Report | |
| c. Approval of Posthumous Degree Candidate(s) | |
| d. Approval of Posthumous Resolution for Dr. Mike Unuakhalu | |
| 6. Bred of the Quarter Recognition | Regent Elaine Farris
President M. Christopher Brown II |

7. Campus Stakeholder Presentations (3 minutes each)
- A. Faculty Senate Updates Dr. Phillip Clay
 - B. Staff Senate Updates Ms. Jasmin Thurman
 - C. Student Government Updates Regent Kirk Miller
8. **President's Quarterly Report** President M. Christopher Brown II
9. Board Recess for Committees Regent Elaine Farris
10. Board Committee Meetings Respective Chairpersons
11. Board Reconvening from Committees Regent Elaine Farris
12. Approval of Committee Actions and Reports
13. Closed Session (if needed) Regent Elaine Farris
- A. Pending Litigation (KRS 61.810 (1)(c))
 - B. Individual Personnel Matters (KRS 61.810 (1)(f))
14. Public Action(s) Regent Elaine Farris
- A. Approve Legal Action(s) or Settlement(s) (if any)
 - B. Approval of Personnel Actions (if any)
 - C. Special Presentation for Retiring Board of Regent Member(s)
15. Closing Remarks Regent Elaine Farris
16. Adjournment Regent Elaine Farris

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Academic Affairs Committee

Thursday, June 3, 2021

Zoom Link: <https://kysu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

Phone One-Tap: [+16468769923](tel:+16468769923), [+13017158592](tel:+13017158592), [92813593642](tel:+13017158592)#

Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

1. Call to Order Regent Dalton Jantzen, Chair
2. Roll Call Dr. M. Christopher Brown II,
Board Secretary
3. Adoption of the Agenda Regent Dalton Jantzen
4. Agenda Item(s)
 - A. Action Item(s)
 - a. *Approval of Apax Software Contract*
 - b. *Approval of Extended Faculty Leave Request*
 - c. *Approval of Interfolio Software Contract*
 - B. Information Item(s)
 - a. *Career Services and Professional Development Update*
 - b. *CPE Diversity Plan Update*
 - c. *Plans for Reopening the Campus Fall 2021*
 - d. *Update on Maymester and Summer Sessions*
5. Adjournment Regent Dalton Jantzen

MEMBERS

Regent Dalton Jantzen, Chair
Regent Mindy Barfield, Regent Elaine Farris, Regent Kirk Miller
Regent Joe Moffett, Regent Roger Reynolds

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Brand Identity and University Relations Committee

Thursday, June 3, 2021
(Following the Academic Affairs Committee Meeting)

Zoom Link: <https://ksu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

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Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

- | | |
|---|---|
| 1. Call to Order | Regent Roger Reynolds, Chair |
| 2. Roll Call | Dr. M. Christopher Brown II,
Board Secretary |
| 3. Adoption of the Agenda | Regent Roger Reynolds |
| 4. Agenda Item(s) | |
| A. <u>Action Item(s)</u> | |
| a. NONE | |
| B. <u>Information Item(s)</u> | |
| a. <i>Quarterly Media and Brand Identity Report</i> | |
| 5. Adjournment | Regent Roger Reynolds |

MEMBERS

Regent Roger Reynolds, Chair
Regent Ron Banks, Regent Elaine Farris, Regent Carolyn Burns,
Regent Dalton Jantzen, Regent Joe Moffett

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Executive and Audit Committee

Thursday, June 3, 2021

(Following the Brand Identity and University Relations Committee Meeting)

Zoom Link: <https://ksu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

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Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

- | | |
|---|---|
| 1. Call to Order | Regent Elaine Farris, Chair |
| 2. Roll Call | Dr. M. Christopher Brown II,
Board Secretary |
| 3. Adoption of the Agenda | Regent Elaine Farris |
| 4. Agenda Item(s) | |
| A. <u>Action Item(s)</u> | |
| a. <i>Approval of Authorized Signature Policy</i> | |
| b. <i>Approval of Presidential Contract Extension</i> | |
| c. <i>Approval of the 2021-2025 Strategic Plan</i> | |
| B. <u>Information Item(s)</u> | |
| a. <i>Internal Audit Flowchart</i> | |
| b. <i>Internal Audit Report</i> | |
| 5. Adjournment | Regent Elaine Farris |

MEMBERS

Regent Elaine Farris, Chair
Regent Ron Banks, Regent Mindy Barfield, Regent Paul Harnice,
Regent Dalton Jantzen, Regent Roger Reynolds

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Finance and Administration Committee

Thursday, June 3, 2021
(Following the Executive and Audit Committee)

Zoom Link: <https://kysu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

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Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

1. Call to Order Regent Mindy Barfield, Chair
 2. Roll Call Dr. M. Christopher Brown II,
Board Secretary
 3. Adoption of the Agenda Regent Mindy Barfield
 4. Agenda Item(s)
 - A. Action Item(s)
 - a. Approval of FY2021-2022 Tuition & Fees*
 - b. Approval of FY2021-22 Operating Budget*
 - c. Approval of Pouring Rights Contract*
 - B. Information Item(s)
 - a. Annual Report from the Kentucky State University Foundation*
 - b. Annual Staff Calendar*
 - c. Fall 2021 Admissions Update*
 - d. Quarterly Budget Update*
 - e. Quarterly Facilities Update*
 5. Adjournment Regent Mindy Barfield
-

MEMBERS

Regent Mindy Barfield, Chair
Regent Elaine Farris, Regent Paul Harnice, Regent Carolyn Burns,
Regent Dalton Jantzen, Regent Roger Reynolds

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Governance Committee

Thursday, June 3, 2021
(Following the Finance and Administration Committee Meeting)

Zoom Link: <https://kysu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

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Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

- | | |
|--|---|
| 1. Call to Order | Regent Paul Harnice, Chair |
| 2. Roll Call | Dr. M. Christopher Brown II,
Board Secretary |
| 3. Adoption of the Agenda | Regent Paul Harnice |
| 4. Agenda Item(s) | |
| A. <u>Action Item(s)</u> | |
| a. NONE | |
| B. <u>Information Item(s)</u> | |
| a. <i>2021 General Assembly Review</i> | |
| b. <i>Proposed 2022 Legislative Agenda</i> | |
| 5. Adjournment | Regent Paul Harnice |

MEMBERS

Regent Paul Harnice, Chair
Regent Mindy Barfield, Regent Elaine Farris, Regent Chandee Felder,
Regent Syamala Reddy, Regent Roger Reynolds

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Institutional Advancement Committee

Thursday, June 3, 2021
(Following the Governance Committee Meeting)

Zoom Link: <https://ksu.zoom.us/j/92813593642>

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Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

- | | |
|---|---|
| 1. Call to Order | Regent Ron Banks, Chair |
| 2. Roll Call | Dr. M. Christopher Brown II,
Board Secretary |
| 3. Adoption of the Agenda | Regent Ron Banks |
| 4. Agenda Item(s) | |
| B. <u>Action Item(s)</u> | |
| a. NONE | |
| C. <u>Information Item(s)</u> | |
| a. <i>ARGI End of Year Endowment Performance Report</i> | |
| b. <i>Election Results for KSUNAA Officers</i> | |
| 5. Adjournment | Regent Ron Banks |

MEMBERS

Regent Ron Banks, Chair
Regent Elaine Farris, Regent Chandee Felder, Regent Paul Harnice,
Regent Syamala Reddy, Regent Roger Reynolds

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Meeting of the Student Engagement and Campus Life Committee

Thursday, June 3, 2021

(Following the Institutional Advancement Committee Meeting)

Zoom Link: <https://kysu.zoom.us/j/92813593642>

Webinar ID: 928 1359 3642

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Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Frankfort, Kentucky 40601

AGENDA

- | | |
|---|---|
| 1. Call to Order | Regent Elaine Farris, Chair |
| 2. Roll Call | Dr. M. Christopher Brown II,
Board Secretary |
| 3. Adoption of the Agenda | Regent Elaine Farris |
| 4. Agenda Item(s) | |
| A. <u>Action Item(s)</u> | |
| a. NONE | |
| B. <u>Information Item(s)</u> | |
| a. <i>Updates on Student Engagement Summer Activities</i> | |
| 5. Adjournment | Regent Elaine Farris |

MEMBERS

Regent Elaine Farris, Chair
Regent Ron Banks, Regent Chandee Felder,
Regent Paul Harnice, Regent Dalton Jantzen, Regent Kirk Miller

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
QUARTERLY MEETING**

**Thursday, March 4, 2021
1:00 p.m.**

****MEETING WAS CONDUCTED BY TELECONFERENCE****

MINUTES

I. Call to Order

Chairperson Elaine Farris, Ed.D. called the meeting to order at 1:00 p.m.

II. Roll Call

President M. Christopher Brown II, Ph.D. conducted roll call:

Regent Ron Banks	Present
Regent Mindy Barfield, Esq.	Present
Regent Elaine Farris, Ed.D.	Present
Regent Chandee Felder	Present
Regent Paul Harnice, Esq.	Present
Regent Dalton Jantzen	Present
Regent Kirk Miller	Present
Regent Joe Moffett, Ph.D.	Present
Regent Syamala H. K. Reddy, M.D.	Absent
Regent Roger Reynolds	Present

Nine Regents were in attendance. Quorum was established.

III. Adoption of the Agenda

MOTION by Regent Moffett:

Move the Board to adopt the agenda of the March 4, 2021 Quarterly Meeting of the Board of Regents.

Seconded by Regent Harnice and passed without dissent.

IV. Opening Remarks

Chairperson Farris gave her opening remarks.

V. Approval of Consent Agenda

MOTION by Regent Moffett:

Move the Board to approve the consent agenda.

Seconded by Regent Harnice and passed without dissent.

VI. Bred of the Quarter

The nominees for Bred of the Quarter include: Monica Valentine, Krissalyn Love, Ellsworth Davis, Ashley Henry, Jessica Burton, Ken Bates, Kendra Herve, Jonathon Wideman, Erica Dunn, Rebecca Persons, Amy Olds, Donna Carter, Karen Friley, Tonika East, Tim Queen, Micah Glenn, and Jeffery Hunter.

Pat Ross was named the December Thorobred of the Month, Dr. Saleema Mustafa was named the January Thorobred of the Month, and Jesse Osborne was named the February Thorobred of the Month.

VIII. Campus Stakeholder Presentations

A. Faculty Senate

Dr. Phillip Clay, Faculty Senate President, shared that the Academic Policy Committee made revisions to the faculty handbook which have been forwarded to the Interim Provost for review. The Committee also reviewed the impact of the pass/fail policy of 2020 and after deliberation, it was decided that the policy would be discontinued.

The Budget and Academic Support Committee is reviewing the Hanover study regarding salary compression, along with curriculum and course changes. The Professional Concerns Committee is working on the evaluation process for Deans and Chairs which will be placed in the Faculty Handbook upon Senate approval. The Faculty Recognition Committee has been reconvened to recognize faculty members who are exhibiting distinguished practice and performance.

Dr. Clay stated that planning has begun for the return to face-to-face instruction.

B. Staff Senate

Ms. Jasmin Thurman, Staff Senate President, reported that all 2021 Staff Senate meetings are posted on the Staff Senate webpage and the meetings are open for everyone to attend. Ms. Thurman stated that the Staff Morale Committee is working on a questionnaire and will be passing out cupcakes on campus to boost morale. The Staff Relations Committee is working on a campus food delivery program and staff promotion/professional development. The Staff Outreach Committee is looking at the second half of March for the Fill the Van food drive.

C. Student Government Association

Regent Kirk Miller, SGA President stated that the SGA held a town hall meeting on March 9, 2021. He reported that in their attempt to move towards the “new normal,” the SGA would be setting office hours to get students out of their rooms. Regent Miller also noted that the SGA is working on revisions to its Constitution.

IX. President's Quarterly Report

President Brown commenced the Quarterly Report by introducing the Interim Vice President for Student Engagement and Campus Life, Dr. Pernella Deams. He reported that a national search has begun for a permanent Vice President of Student Affairs.

Under Performance, President Brown stated that the White House HBCU Initiative rated KSU number 12 in terms of best value/return on investment. Additionally, KSU ranked highest in math and second in English proficiency during the CPE Strategic Agenda Performance. KSU was ahead of its targeted six year graduation rate and retention targets.

President Brown informed the Board that KSU received notice that the LRC has begun an investigation into administrative compensation. The state's goal with the investigation is to get these salaries to median or mid-point. He stated that KSU's administrative salaries are below the CUPA average and KSU was asked to respond to why these salaries are below the median.

Twelve people participated in the VSIP program. One administrator, ten staff members, and one faculty member chose to take the VSIP. There will be a reduction of over \$650,000 in the next fiscal year's personnel budget.

Regarding COVID-19 staffing, President Brown stated that his office is at 100 percent capacity but most offices are at 20 to 30 percent capacity. After graduation, plans are to begin approaching 50 percent in-person staffing with the goal of 60 to 75 percent in the fall.

The launch of INSCRIBE, the student support hub, has been a success. The program has 941 active KSU users and seven communities have been formed within the program. This has resulted in students being able to make connections during times of isolation.

X. Board Recess for Committees

MOTION by Regent Moffett:

Move the Board to recess for Committees.

Seconded by Regent Miller and passed without dissent.

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the
Academic Affairs Committee**

**Thursday, March 4, 2021
1:00 p.m.**

MEETING WAS CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Jantzen, Chair of the Academic Affairs Committee, called the meeting to order at 1:58 p.m.

Roll Call

Regent Dalton Jantzen, Chair	Present
Regent Mindy Barfield, Esq.	Present
Regent Elaine Farris, Ed.D.	Present
Regent Kirk Miller	Present
Regent Joe Moffett, Ph.D.	Present
Regent Roger Reynolds	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Barfield

Move the Committee to adopt the agenda of the March 4, 2021 meeting of the Academic Affairs Committee.

Seconded by Regent Miller and passed without dissent.

Agenda Item(s)

Action Item(s):

MOTION by Regent Moffett:

Move the Committee to approve the candidates for faculty promotion.

Seconded by Regent Barfield and passed without dissent.

MOTION by Regent Farris:

Move the Committee to approve the candidates for faculty tenure.

Seconded by Regent Barfield and passed without dissent.

MOTION by Regent Barfield:

Move the Committee to award an honorary degree to Lamman Rucker.

Seconded by Regent Miller and passed without dissent.

Information Item(s): President Brown shared the Faculty Salary Review and Compression Task Force Report and requested guidance from the Committee on the different scenarios. President Brown stated that he did not want to move too fast due to the LRC salary investigation but he is confident about moving forward with this issue and bringing a solution to the June meeting.

Regarding the Spring 2021 enrollment, President Brown reported that 2,563 students were enrolled at KSU in the spring, a growth of 5.8 percent from spring of 2020. The fall to spring retention was 90 percent.

President Brown concluded with an update on the Provost Search. He stated that the search committee is chaired by SVP Stamps and EVP Allen and the call for a Provost is high quality. The application deadline is March 17th and President Brown is confident that a candidate will be presented at the June Board meeting.

Adjournment

MOTION by Regent Farris:

Move the Academic Affairs Committee to adjourn.

Seconded by Regent Barfield and passed without dissent.

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the Brand Identity and
University Relations Committee**

Thursday, March 4, 2021 at 1:00 p.m.

MEETING WAS CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Reynolds, Chair of the Brand Identity and University Relations Committee, called the meeting to order at 2:22 p.m.

Roll Call

Regent Roger Reynolds, Chair	Present
Regent Ron Banks	Present
Regent Elaine Farris	Present
Regent Dalton Jantzen	Present
Regent Joe Moffett	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Farris:

Move the Committee to adopt the agenda of the March 4, 2021 Brand Identity and University Relations Committee Meeting.

Seconded by Regent Moffett and passed without dissent.

Agenda Item(s)

Action Item(s) NONE

Information Item(s) Ms. Clara Ross Stamps, Senior Vice President of Brand Identity and University Relations, reported that Brand Identity has created a brochure and videos to celebrate the 135th Anniversary of KSU. The most liked Facebook post of the quarter was President Brown as the top HBCU leader with over 800 reactions and *2020: A Year We Will Never Forget* was the most viewed YouTube video.

Adjournment

MOTION by Regent Moffett:

Move the Brand Identity and University Relations Committee to adjourn.

Seconded by Regent Farris and passed without dissent.

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the Executive and Audit Committee**

Thursday, March 4, 2021 at 1:00 p.m.

USDA Cooperative Extension Building
Kentucky State University
Frankfort, Kentucky 40601

MEETING WAS ALSO CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Farris, Chair of the Executive and Audit Committee, called the meeting to order at 2:26 p.m.

Roll Call

Regent Elaine Farris, Chair	Present
Regent Ron Banks	Present
Regent Mindy Barfield	Present
Regent Paul Harnice	Present
Regent Dalton Jantzen	Present
Regent Roger Reynolds	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Reynolds:

Move the Committee to adopt the agenda of the March 4, 2021 Executive and Audit Committee Meeting.

Seconded by Regent Jantzen and passed without dissent.

Agenda Item(s)

Action Item(s):

Pete Ugo of Crowe presented the audit and showed a significant improvement in the bottom line and a reduction in the number of findings. He stated that the audit could not be complete until the KSU Foundation provides its audit to KSU. The Foundation Audit is a component unit for KSU's audit.

MOTION by Regent Reynolds:

Move the Committee to postpone the approval of the FY2020 Audit.

Seconded by Regent Banks and passed without dissent.

MOTION by Regent Barfield:

Move the Committee to make a formal demand upon the KSU Foundation to submit their financial statements within one week of the date of the meeting. Absent of receipt of the financial statements, the Committee instructs the auditors to complete KSU's audit with an adverse finding in regard to the component unit and the appropriate findings for the main unit by the March 31st deadline. The demand should include verbiage regarding ramifications if the KSU Foundation fails to act.

Seconded by Regent Harnice and passed without dissent.

Information Item(s) Mr. James Harris, Internal Auditor, reported that he has been unable to complete his Internal Audit Plan because the audit is not complete due to the absence of the KSU Foundation audit.

Adjournment

MOTION by Regent Jantzen:

Move the Executive and Audit Committee to adjourn.

Seconded by Regent Barfield and passed without dissent.

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the Finance and
Administration Committee**

**Thursday, March 4, 2021
1:00 p.m.**

MEETING WAS CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Barfield, called the meeting to order at 3:10 p.m.

Roll Call

Regent Mindy Barfield, Chair	Present
Regent Elaine Farris	Present
Regent Paul Harnice	Present
Regent Dalton Jantzen	Present
Regent Roger Reynolds	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Harnice:

Move the Committee to adopt the agenda of the March 4, 2021 Finance and Administration Committee Meeting.

Seconded by Regent Reynolds and passed without dissent.

Agenda Item(s)

Action Item(s)

MOTION by Regent Farris:

Move the Committee to approve the Treasury Banking Services RFP.

Seconded by Regent Jantzen and passed without dissent.

Regent Harnice recused himself from this motion.

MOTION by Regent Harnice:

Move the Committee to approve the contract with Fairfield Inn.

Seconded by Regent Farris and passed with dissent.

MOTION by Regent Harnice:

Move the Committee to approve the pouring rights vendor selection.

Seconded by Regent Farris and passed with dissent.

Information Item(s)

During the Quarterly Budget Update and Quarter Facilities Update, EVP Allen stated that KSU was originally budgeted at 40 percent and is now \$7.8 million to the good, including the 3rd quarter allotments, as of January 31, 2021.

Regarding the Facilities Update, President Brown stated that finance is trying to close out the CMTA contract and there are no on-going state-funded or campus-funded projects at this time. KSU is waiting for a determination about whether or not the legislature will approve financing for the roofing concerns.

EVP Allen reported the Housing Update, stating that there are 718 students in on-campus and auxiliary housing.

Adjournment

MOTION by Regent Harnice:

Move the Committee to adjourn.

Seconded by Regent Reynolds and passed without dissent.

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the Governance Committee**

**Thursday, March 4, 2021
1:00 p.m.**

MEETING WAS CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Harnice, Chair of the Governance Committee, called the meeting to order at 3:28 p.m.

Roll Call

Regent Paul Harnice, Chair	Present
Regent Mindy Barfield	Present
Regent Elaine Farris	Present
Regent Chandee Felder	Present
Regent Syamala Reddy	Absent
Regent Roger Reynolds	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Felder:

Move the Committee to adopt the agenda of the March 4, 2021 meeting of the Governance Committee.

Seconded by Regent Farris and passed without dissent.

Agenda Item(s)

Action Item(s)

MOTION by Regent Farris:

Move the Committee to approve the Campus Policies and Procedures Template.

Seconded by Regent Felder and passed without dissent

MOTION by Regent Barfield:

Move the Committee to approve the Conflict of Commitment and Interest Policy.

Seconded by Regent Felder and passed without dissent

MOTION by Regent Farris:

Move the Committee to approve the Nepotism Policy.

Seconded by Regent Reynolds and passed without dissent

Information Item(s) Dr. Beverly Schneller presented the 2021 General Assembly Session update. She stated that KSU is moving with the previously stated priorities. March 16th is the last day for discussion and the session ends on March 30th.

Regarding the 2021-25 Strategic Plan Update, President Brown reported that the planning committee has been charged to move into actionable language, strategies, and metrics.

Adjournment

MOTION by Regent Felder:

Move the Governance Committee to adjourn.

Seconded by Regent Farris and passed without dissent.

DRAFT

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the
Institutional Advancement Committee**

Thursday, March 4, 2021 at 1:00 p.m.

MEETING WAS CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Banks, Chair of the Institutional Advancement Committee, called the meeting to order at 3:47 p.m.

Roll Call

Regent Ron Banks, Chair	Present
Regent Elaine Farris	Present
Regent Chandee Felder	Present
Regent Paul Harnice	Present
Regent Syamala Reddy	Absent
Regent Roger Reynolds	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Farris:

Move the Committee to adopt the agenda of the March 4, 2021 Meeting of the Institutional Advancement Committee

Seconded by Regent Harnice and passed without dissent.

Agenda Item(s)

Action Item(s) NONE

Information Item(s): SVP Stamps presented an update on the 135th Anniversary Giving Campaign. The campaign, which begins on March 18th, will allow contributors to invest in KSU students through scholarships. The campaign is asking for donations in the amount of \$1,886 from members of Council; \$886 from Regents, members of Forum, and head coaches; \$86 from faculty and staff; and \$18 from students. If all members of the campus community participate in the campaign, it will raise over \$1 million in donations.

Adjournment

MOTION by Regent Farris.

Move the Institutional Advancement to adjourn.

Seconded by Regent Harnice and passed without dissent.

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
Meeting of the Student Engagement and
Campus Life Committee**

**Thursday, March 4, 2021
1:00 p.m.**

MEETING WAS CONDUCTED BY TELECONFERENCE

MINUTES

Call to Order

Regent Farris, Chair of the Student Engagement and Campus Life Committee, called the meeting to order at 3:53 p.m.

Roll Call

Regent Elaine Farris, Chair	Present
Regent Ron Banks	Present
Regent Chandee Felder	Present
Regent Paul Harnice	Present
Regent Dalton Jantzen	Present
Regent Kirk Miller	Present

Quorum was established.

Adoption of the Agenda

MOTION by Regent Banks:
Move the Committee to adopt the Agenda of the March 4, 2021 the Student Engagement and Campus Life Committee Meeting.
Seconded by Regent Harnice and passed without dissent.

Agenda Item(s)

Information Item(s):

Dr. Pernella Deams, Interim Vice President for Student Engagement and Campus Life, updated the Committee on Student Engagement Plans for Spring 2021. Dr. Deams stated that Student Engagement has been holding virtual events and hopes for smaller in person events soon. She also stated that Campus Life held a “double protection” event, passing out masks for double masks and condoms.

Adjournment

MOTION by Regent Harnice:
Move the Student Engagement and Campus Life Committee to adjourn.
Seconded by Regent Felder and passed without dissent.

XI. Board Reconvening from Committees

MOTION by Regent Harnice:

Move the Board to reconvene the March Quarterly Meeting.

Seconded by Regent Moffett and passed without dissent.

XII. Closed Session

MOTION by Regent Harnice:

Move the Board to go into Executive Session to discuss pending litigation (KRS 61.810 1)(c)) and individual personnel matters (KRS 61.810 (1)(f)).

Seconded by Regent Reynolds and passed without dissent.

XIII. Open Session

MOTION by Regent Jantzen:

Move the Board to reconvene in general session.

Seconded by Regent Reynolds and passed without dissent.

XIV. Public Actions

MOTION by Regent Banks:

Move the Board to approve and authorize payment of the incentive compensation to President Brown for attaining the presented 2020 goals.

Seconded by Regent Felder and passed with dissent.

MOTION by Regent Moffett:

Move the Board to approve the 2021 presidential performance objectives.

Seconded by Regent Reynolds and passed with dissent.

MOTION by Regent Felder:

Move the Board to approve all committee actions and reports.

Seconded by Regent Moffett and passed without dissent.

XV. Adjournment

MOTION by Regent Barfield:

Move the Board to adjourn.

Seconded by Regent Banks and passed without dissent.

The meeting adjourned at 4:15 p.m.

Submitted by:

Dr. M. Christopher Brown II, Board Secretary
Kentucky State University
Board of Regents

Dr. Elaine Farris, Chair
Kentucky State University
Board of Regents

_____ Approved with no corrections

_____ Approved with corrections

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
SPECIAL CALLED MEETING**

**Tuesday, April 6, 2021
9:00 a.m.**

****MEETING WAS CONDUCTED BY TELECONFERENCE****

MINUTES

I. Call to Order

Chairperson Elaine Farris, Ed.D. called the meeting to order at 9:00 a.m.

II. Roll Call

President M. Christopher Brown II, Ph.D. conducted roll call:

Regent Ron Banks	Present
Regent Mindy Barfield, Esq.	Present
Regent Elaine Farris, Ed.D.	Present
Regent Chandee Felder	Present
Regent Paul Harnice, Esq.	Present
Regent Dalton Jantzen	Present
Regent Kirk Miller	Present
Regent Joe Moffett, Ph.D.	Present
Regent Syamala H. K. Reddy, M.D.	Present
Regent Roger Reynolds	Present

Ten Regents were in attendance. Quorum was established.

III. Adoption of the Agenda

MOTION by Regent Moffett:

Move the Board to adopt the Agenda of the April 6, 2021 Special Called Meeting of the Board of Regents.

Seconded by Regent Miller and passed without dissent.

IV. Approval of the Fiscal Year 2020 Audit

MOTION by Regent Moffett:

Move the Board to approve the Fiscal Year 2020 Audit.

Seconded by Regent Barfield and passed without dissent.

V. Closed Session

MOTION by Regent Barfield:

Move the Committee to go into Executive Session to discuss pending litigation (KRS 61.810 (1)(c)) and individual personnel matters (KRS 61.810 (1)(f)).

Seconded by Regent Harnice and passed without dissent.

VI. Open Session

MOTION by Regent Moffett:

Move the Board to reconvene in open session.

Seconded by Regent Banks and passed without dissent.

VII. Approval of Legal Actions and Settlement

MOTION by Regent Barfield:

Move the Board to extend settlement authority to resolve cases 18-CI-182 and 18-CI-1141.

Seconded by Regent Miller and passed without dissent.

VII. Adjournment

MOTION by Regent Reynolds:

Move the Board to adjourn.

Seconded by Regent Felder and passed without dissent.

The meeting adjourned at 9:40 a.m.

Submitted by:

Dr. M. Christopher Brown II, Board Secretary
Kentucky State University
Board of Regents

Dr. Elaine Farris, Chair
Kentucky State University
Board of Regents

_____ Approved with no corrections

_____ Approved with corrections

**KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS
SPECIAL CALLED MEETING**

**Tuesday, May 11, 2021
9:00 a.m.**

****MEETING WAS CONDUCTED BY TELECONFERENCE****

MINUTES

I. Swearing in of New and Returning Regents

Dr. Carolyn Burns and Dr. Elaine Farris were sworn in.

II. Call to Order

Chairperson Elaine Farris, Ed.D. called the meeting to order at 9:11 a.m.

III. Roll Call

President M. Christopher Brown II, Ph.D. conducted roll call:

Regent Ron Banks	Present
Regent Mindy Barfield, Esq.	Present
Regent Elaine Farris, Ed.D.	Present
Regent Chandee Felder	Present
Regent Paul Harnice, Esq.	Absent
Regent Dalton Jantzen	Present
Regent Kirk Miller	Absent
Regent Joe Moffett, Ph.D.	Present
Regent Syamala H. K. Reddy, M.D.	Present
Regent Roger Reynolds	Present
Regent Carolyn Burns	Present

Nine Regents were in attendance. Quorum was established.

IV. Introduction of New Regent

Dr. Carolyn Burns introduced herself to the Board and the audience.

V. Adoption of the Agenda

MOTION by Regent Barfield:

Move the Board to adopt the Agenda of the May 11, 2021 Special Called Meeting of the Board of Regents.

Seconded by Regent Banks and passed without dissent.

VI. Approval of Resolution with CRM

MOTION by Regent Reddy:

Move the Board to approve the Approval of Resolution Authorizing a Facilities Lease with CRM Companies.

Seconded by Regent Moffett and passed without dissent.

Regent Reynolds arrived after the vote on this motion.

V. Review of Fiscal Year 2022 Budget Allocation Plan and Assumptions

President Brown reviewed the FY22 Budget Allocation Plan. The plan presented different scenarios for the FY22 budget. The full budget will be brought to the Board at the June meeting.

VII. Adjournment

MOTION by Regent Moffett:

Move the Board to adjourn.

Seconded by Regent Barfield and passed without dissent.

The meeting adjourned at 10:17 a.m.

Submitted by:

Dr. M. Christopher Brown II, Board Secretary
Kentucky State University
Board of Regents

Dr. Elaine Farris, Chair
Kentucky State University
Board of Regents

_____ Approved with no corrections

_____ Approved with corrections



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Quarterly Human Resources Report
FROM: GOVERNANCE COMMITTEE
ACTION ITEM: NO

BACKGROUND: The FY2021 New Hire and separation of employment quarterly updates.

SUMMARY OF PROGRAMS/ACTIVITIES: In an effort to streamline efficiency and to forecast a fixed schedule to align with the academic calendar.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: N/A

ATTACHMENTS: YES

NEW HIRES 3/1/2021 thru 5/31/2021

Date of Hire	Employee Name	Title
3/1/21	Rachel Eldridge	Fiscal Coordinator
3/1/21	Stefanie Osterman	Coordinator of Land Grant Program Affairs
3/1/21	George Brewer	Assistant Football Coach
3/1/21	Mitchell Viger	Assistant Football Coach
3/1/21	Lauren McCoy	Transfer and Transcript Analyst
3/1/21	Cheyney Carney	University College Advisor II
3/8/21	Alyson Whitted	Student Records Coordinator
3/8/21	Kristopher Chappel	Interim Jr. Video Editor
3/8/21	Danielle Colbert	University College Advisor
3/8/21	Phillip Francis	University College Advisor
3/9/21	Ileana Gray	Administrative Assistant III
3/22/21	George Cockrell	Driver/Facility Specialist
3/22/21	Destini Baker	Peer Training Coordinator
3/22/21	Leah Halliday	Instructional Designer
3/22/21	De'Nayus Johnson	Campus Life Assistant
4/5/21	Devin Agnew	Disability/Testing Coordinator
4/5/21	Rachel Hampton	Learning Management System Coordinator
4/5/21	Edgar Lewis	Interventionist
4/5/21	Clyde Akins	Administrative Assistant III - SoSTEM
4/5/21	Trevor Stephens	BREDS Specialist
4/12/21	Kerisha Anderson	BREDS Data Specialist
4/19/21	Syndie Miller	Student Support Services Administrative Coordinator
4/26/21	Darryl Thompson	Assistant Vice President of Institutional Advancement
5/3/21	Dawson Armstrong	Research Assistant Aquaculture
5/3/21	Stuart Wetenkamp	Farm Technician
5/10/21	Brittanie Day	Purchasing Buyer

SEPARATIONS

Date of Separation	Employee Name	Title	Type of Separation
3/5/21	Carla Jordan	Area Agent Family Consumer Sciences	Voluntary
3/26/21	Richard Withrow	Driver	Voluntary
3/31/21	Joann Webber	Administrative Assistant I	Voluntary
4/9/21	Michael Unuakhalu	Faculty Professor	Employee Death
5/4/21	Fabian Castle Churchill	Academic Advisor	Voluntary
5/8/21	James Sidney	Fireman	Voluntary
5/10/21	Donald Lee	Asst Professor	Voluntary
5/11/21	LaShonda Duncan	Mental Health Counselor	Voluntary
5/17/21	Gail Abrams Aungst	Director Auxiliry	Voluntary
5/18/21	Rufus Moyer	Asst Director of Residence Life	voluntary
5/21/21	Joshua Williams	Counselor	Voluntary
5/28/21	D. Jamar Simmons	Director of Student Support Services	Voluntary
5/29/21	Shari Levy	Auxiliary Services Specialist	Voluntary
5/31/21	Stevie Watson	Chair Business	Voluntary



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Posthumous Degree Candidates
FROM: ACADEMIC AFFAIRS
ACTION ITEM: YES

BACKGROUND:

Student #1:

Cameron Shemar “Cam” Kates was born on March 12, 2002 in Columbus, Ohio.

Mr. Kates attended Kentucky State University, pursuing a bachelor of arts degree in **Business Administration**. **Most recently, Mr. Kates was named to the Fall 2020 Dean’s List** at the 2021 Academic Honors Convocation.

Student 2:

Joshua Raheem Tolson was born on January 4, 1999. Mr. Tolson graduated from East St. Louis Senior High School in East St. Louis, Illinois.

Joshua attended Kentucky State University from Spring 2018 to Spring 2021. While at Kentucky State University, Tolson pursued a degree in Business Administration with a General Business specialization; he was a junior. Joshua was member of the track and field team and acquired vast accolades.

Tolson served his country within the United State Marine Corp. An emerging author, Joshua leaves behind a fiancée, loving family, and supportive teammates.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: None.

FISCAL IMPLICATIONS: None.

RECOMMENDATION: Kentucky State University Board of Regents approve Posthumous Degree Candidates.

ATTACHMENTS: NO



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Posthumous Resolution for Dr. Mike Unuakhalu
FROM: ACADEMIC AFFAIRS
ACTION ITEM: YES

BACKGROUND: Dr. Mike F. Unuakhalu was a 1983 graduate of Kentucky State University with a Bachelor of Science degree in business administration. In 1984, he earned a Bachelor **of Science in computer science. In 1985, he received his master's in public administration** from Kentucky State University; and

Dr. Unuakhalu received his Doctor of Education in 2005 from the University of Kentucky.

Dr. Unuakhalu taught many undergraduate and graduate level courses. He was the computer science graduate studies coordinator and served as chair of many committees at Kentucky State.

While at Kentucky State University, Dr. Unuakhalu developed an instructional strategy manual and multimedia courseware for visual BASIC programming course in 2003. In 2010, he developed multimedia courseware for a C++ programming course at Kentucky State.

Dr. Unuakhalu starting his teaching career at Morris Brown College in Atlanta, Georgia. He became an assistant professor at Edward Waters College in Jacksonville, Florida. Dr. Unuakhalu then began his teaching career at Kentucky State University in 1989 through the present time; and

Dr. Unuakhalu honors included the National Dean's List, Alpha Kappa Mu (Honor)Society, and Who's Who Among America's Teachers. He participated in many professional developments and wrote many journal articles, grants and conducted research projects.

SUMMARY OF PROGRAMS/ACTIVITIES: Approving a Resolution in memory of Dr. Mike F. Unuakhalu, Kentucky State University.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: Kentucky State University Board of Regents approve of a Resolution in Memory of Dr. Mike F. Unuakhalu.

ATTACHMENTS: YES

**Resolution in memory of
Dr. Mike F. Unuakhalu**

Kentucky State University presents this resolution in memory of the beloved Dr. Mike F. Unuakhalu. The Thorobred family will forever cherish his memory in our hearts.

WHEREAS, Dr. Mike F. Unuakhalu was a 1983 graduate of Kentucky State University with a Bachelor of Science degree in business administration. In 1984, he earned a Bachelor of Science in computer science. In 1985, he received his master's in public administration from Kentucky State University; and

WHEREAS, Dr. Unuakhalu received his Doctor of Education in 2005 from the University of Kentucky; and

WHEREAS, Dr. Unuakhalu taught many undergraduate and graduate level courses. He was the computer science graduate studies coordinator and served as chair of many committees at Kentucky State; and

WHEREAS, at Kentucky State, Dr. Unuakhalu developed an instructional strategy manual and multimedia courseware for visual BASIC programming course in 2003. In 2010, he developed multimedia courseware for a C++ programming course at Kentucky State; and

WHEREAS, Dr. Unuakhalu starting his teaching career at Morris Brown College in Atlanta, Georgia. He became an assistant professor at Edward Waters College in Jacksonville, Florida. Dr. Unuakhalu then began his teaching career at Kentucky State University in 1989 through the present time; and

WHEREAS, Dr. Unuakhalu honors included the National Dean's List, Alpha Kappa Mu (Honor) Society, and Who's Who Among America's Teachers. He participated in many professional developments and wrote many journal articles, grants and conducted research projects.

NOW, THEREFORE, BE IT RESOLVED, that President M. Christopher Brown II, on behalf of the Kentucky State University Board of Regents, faculty, staff, students and alumni, extends its deepest sympathy to the family and all those who loved Dr. Mike F. Unuakhalu.

BE IT FURTHER RESOLVED that this resolution be presented to the Unuakhalu family and a copy placed in Kentucky State University archives.

IN WITNESS WHEREOF, we have hereunto set our hands and caused the seal of Kentucky State University to be affixed.

Presented Tuesday, April 22, 2021



Dr. M. Christopher Brown II
Eighteenth President



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Bred of the Quarter Recognition
FROM: BRAND IDENTITY AND UNIVERSITY RELATIONS
ACTION ITEM: NO

BACKGROUND: Strategic employee recognition fuels an environment that inspires new ideas and gives its communities victories to celebrate. At Kentucky State University, when an employee or a team achieves outstanding results — big or small — we must shine the spotlight on brand champions and their great work — the big finish with entry into the Winner's Circle.

SUMMARY OF PROGRAMS/ACTIVITIES: Our goal is to build a culture that thrives and understands the relationship between great customer experiences and Kentucky State University's brand reputation. The program aims to encourage constant workplace improvements, including evaluation of processes, work flow, communication, and ways to inspire enthusiasm for victories in the hearts of our employees, believing true success happens from the inside out.

The campus community and others will be encouraged to nominate employees who deserve special recognition for going above and beyond the call of duty to impact the customer experience while advancing the brand reputation of Kentucky State University. This program will recognize individuals whose service to the University provides exceptional benefits in the furtherance of the goals and mission of the institution.

ALIGNMENT WITH STRATEGIC GOALS: It is our goal to position Kentucky State University among the nation's most visible post-secondary institutions and as an effective force for progress in the Commonwealth of Kentucky, working collaboratively and efficiently to boast its position of providing the highest quality education for the highest return on investment while improving the quality of life and driving economic growth in the Commonwealth.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: The Thorobred Program will increase employee morale and aid in the advancement of Kentucky State University's brand position and reputation



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

while recognizing individuals whose service to the University provides exceptional benefits in the furtherance of the goals and mission of the institution.

FISCAL IMPLICATIONS: Minor

RECOMMENDATION: N/A

ATTACHMENTS: NO



PRESIDENT'S QUARTERLY REPORT

- June 2021 -

PEOPLE

- Mr. William Brooks, Assistant Director of Bands
- Dr. Erin Gilliam, CPE Academic Leadership Development Institute
- Mr. Ramon Johnson, Interim Director of Intercollegiate Athletics
- Mr. Daryl Thompson, AVP for Institutional Advancement & Alumni Relations

PERFORMANCE

- A.A.S in Nursing named Exemplary Program, email dated May 23, 2021
- Council for the Accreditation of Educator Preparation, letter dated May 14, 2021
- Council on Social Work Education Accreditation, letter dated March 8, 2021
- Decennial Enrollment and Student Success Data
- HEERF CARES Act Transparency, email dated March 16, 2021
- State Authorization Reciprocity Agreements, letter dated March 29, 2021
- USDoE FSA Direct Loan, letter dated April 16, 2021
- USDoE FSA Pell Grant, email dated June 2, 2021

PHYSICAL PLANT

- Campus Beautification and Potential Pandemic Planning

PLANNING

- Green and Gold COVID-19 Task Forces
- 135th Anniversary Events and Campaign

POLICIES

- Campus Policy Cataloging Initiative

PROCESSES

- Campus Data Dashboards and Transparency
- PaymentWorks Business Identity Platform

PROJECTS

- Capital Projects and Bond Oversight Committee Meeting, April 20, 2021
- CPE-GEERF Summer Bridge Grant, letter dated May 3, 2021
- DegreeWorks and Grades First Implementation
- KSU-West Louisville HBCU Pilot Project (SB-207)

PUBLICATIONS

- *Black Colleges and Universities: Charting a Path to Transformational* (Alvin J. Schexnider in Diverse Issues in Higher Education)
- *What Kind of Board Member are You?* (Marla J. Bobowick in Trusteeship)

PUBLIC EVENTS

- KSU National Alumni Association (Virtual) Weekend, June 9-10, 2021
- Fall Encampment, August 9, 2021
- Freshmen Confirmation, August 12, 2021

POTPOURRI

- Regent Carol Burns, Regent Elaine Farris, & Regent Dalton Jantzen



**Kentucky Council on
Postsecondary Education**

Andy Beshear
Governor

1024 Capital Center Drive, Suite 320
Frankfort, Kentucky 40601
Phone: 502-573-1555
Fax: 502-573-1535
<http://www.cpe.ky.gov>

Aaron Thompson, Ph.D.
President

March 8, 2021

Dear Dr. Gilliam,

It is with great pleasure that I am writing this letter to welcome you into the Academic Leadership Development Institute (ALDI) Cohort of 2021. The Institute has been designed to be one of the Council's premier professional development programs specifically targeted to support underrepresented faculty and staff on Kentucky's campuses. This series of interactive professional development workshops will expose participants to both practical and career focused information with the goal of capacity building and cultivating the academic leadership pipeline in Kentucky.

This year's theme is "In the K.N.O.W. – Knowledge of Next-Level Opportunities in the Workplace". While agendas are being finalized, sessions are being designed to address personal, interpersonal, and organizational issues faced by early career underrepresented faculty and staff of color working in postsecondary education. Session I entitled "Know Yourself" will be held virtually on April 21, 2021 from 9:00 A.M. – 4:00 P.M. EST and will focus on developing personal leadership skills. You will receive a calendar invite with a Zoom link included. We plan on holding four total sessions in 2021 and additional sessions will be scheduled soon.

Again, congratulations on your nomination and acceptance into the Academic Leadership Development Institute. Thank you for your commitment to postsecondary education and student success!

Sincerely,

Aaron Thompson, Ph.D.
President

cc: Dawn Offutt, Director of Initiatives for Diversity, Equity & Inclusion

Subject: FW: KY CPE Research Update
Date: Monday, May 24, 2021 at 9:19:56 AM Eastern Daylight Time
From: Yates III, Lucian
To: KSU, President
CC: Dr. Betty H. Olinger, Broaddus, Mary Ellen, Stamps, Clara, Emanuel, Stashia, Pomper, Kirk, Graham, Tymon, Dunn, Cheryl
Attachments: image.png

Mr. President:

Please see the info from CPE below. This is great news. Cheers to the School of Nursing.

LY3

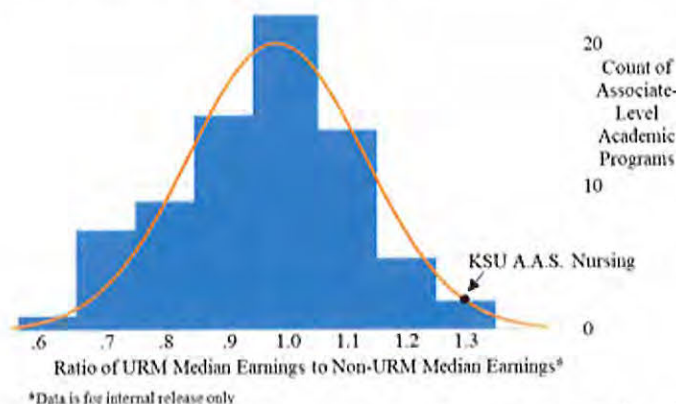
From: Vetter, Matthew K (CPE) <matthew.vetter@ky.gov>
Sent: Sunday, May 23, 2021 11:20 PM
To: Schneller, Beverly <Beverly.Schneller@kysu.edu>; Yates III, Lucian <lucian.yates@kysu.edu>; Conwell, Delandual <delandual.conwell@kysu.edu>; Graves, Lauren <Lauren.Graves@kysu.edu>; Keith, Shimar <shimar.keith@kysu.edu>; Gigger, Tia <Tia.Gigger@kysu.edu>; Susanto, Yuliana <yuliana.susanto@kysu.edu>
Subject: KY CPE Research Update

CAUTION: This is an external email. Do not click on links, open attachments or reply, unless you recognize the sender and know the content is safe.

Dear Dr. Yates and colleagues,

We are contacting you with exciting news! Research from the Council on Postsecondary Education with support from the Lumina Foundation has identified an academic program at Kentucky State University as exemplary in preparing underrepresented minority (URM) students for early career success.

Our analysis indicates that URM graduates from the **A.A.S. in Nursing** program are earning competitive wages in their first year after graduation. The median earnings of URM graduates from this program actually exceeded the median earnings of their non-URM peers over the past 10 years. In a state-wide comparison, this program is in the top 5% of all academic programs in preparing URM students for early career success.



We plan to continue our research by further examining the characteristics of successful academic

programs. We hope that qualitative evidence collected from focus groups with faculty and staff from these high-achieving programs will identify best practices that can be scaled to other academic programs. Our outreach to these high-achieving programs will begin later this week, and we welcome your involvement and support.

A review of content on your website enabled us to identify the faculty and staff affiliated with programs as listed below.

A.A.S. Nursing (CIP 51.3801)

- Mary Ellen Broaddus, Director of Undergraduate Studies
- Patti Marraccini, Assistant Professor
- Rebecca McCoy, Assistant Professor
- Lisa Turner, Assistant Professor
- Barbara Witty, Assistant Professor
- Bethanie Gamble, Director of Special Projects

We welcome your involvement to confirm or modify this list with any other faculty and staff that may have significantly impacted the early career transitions of URM graduates. We also welcome you to reach out to these faculty and staff in advance to encourage their participation in this research.

We anticipate a final publication of the results of this study in the late summer or early fall of this year. Although excerpts from focus groups will remain anonymous, we plan to acknowledge the great work of these academic programs by listing them by name in the final report. Should you desire more information prior to this date for your own press release or external communication, please contact matthew.vetter@ky.gov. We are happy to assist.

Sincerely,

Matthew Vetter

Senior Data Analyst
Kentucky Council on Postsecondary Education
matthew.vetter@ky.gov



1140 19th Street, NW | Suite 400
Washington, DC 20036
tel: 202.223.0077 | fax: 202.296.6620
caepnet.org

May 14, 2021

Dr. M. Christopher Brown II
Kentucky State University
Hume Hall, 400 East Main Street
Frankfort, KY 40601

Dear Dr. Brown:

The Accreditation Council of the Council for the Accreditation of Educator Preparation (CAEP) met on April 26, 2021, and I am pleased to inform you that the following accreditation status has been granted:

The School of Education at Kentucky State University is granted **Accreditation** at the initial-licensure level as described in the Accreditation Action Report.

Included with this letter are two subsequent documents:

- 1) The Accreditation Action Report provides details of the accreditation status.
- 2) Information for EPPs Granted Accreditation provides further information on the Council's decision process and provider responsibilities during the accreditation term.

Congratulations on your accreditation achievement. I appreciate your commitment to excellence in educator preparation accreditation.

Sincerely yours,

A handwritten signature in black ink that reads "Christopher A. Koch". The signature is written in a cursive, slightly stylized font.

Christopher A. Koch, Ed.D.
President

Enclosures: Accreditation Action Report, Certificate of Accreditation (mailed to provider leadership), and Information on CAEP Accreditation

cc: Dr. Timothy Forde, Ph.D., MPH, School of Education
Dr. Kirk Pomper, School of Education
Dr. Phillip H. Clay, Jr., School of Education

Ms. Margaret Hockensmith, Kentucky Education Professional Standards Board;
Miss Allison T. Bell, Kentucky Education Professional Standards Board;
Cathy White, Kentucky Department of Education;
Evaluation Team



Council for the
Accreditation of
Educator Preparation

ACCREDITATION ACTION REPORT

School of Education
Kentucky State University
Frankfort, Kentucky

Accreditation Council April 2021

Accreditation Application Date: *

This is the official record of the Educator Preparation Provider's accreditation status.

The Educator Preparation Provider should retain this document for at least two accreditation cycles.

* This EPP was accredited previously by NCATE or TEAC and the initial application date is not available. CAEP was established July 1, 2013.

ACCREDITATION DECISION

Accreditation is granted at the initial-licensure level. This Accreditation status is effective between Spring 2021 and Spring 2028. The next site visit will take place in Fall 2027.

SUMMARY OF STANDARDS

CAEP STANDARDS	INITIAL-LICENSURE LEVEL	ADVANCED-LEVEL
STANDARD 1/A.1: Content and Pedagogical Knowledge	Met	Not Applicable
STANDARD 2/A.2: Clinical Partnerships and Practice	Met	Not Applicable
STANDARD 3/A.3: Candidate Quality, Recruitment, And Selectivity	Met	Not Applicable
STANDARD 4/A.4: Program Impact	Met	Not Applicable
STANDARD 5/A.5: Provider Quality Assurance and Continuous Improvement	Met	Not Applicable

AREAS FOR IMPROVEMENT AND STIPULATIONS

Areas for Improvement: Identified areas for improvement are addressed in the provider's annual report.

Stipulations: Stipulations are addressed in the provider's annual report and must be corrected within two years to retain accreditation.

INITIAL-LICENSURE LEVEL AREAS FOR IMPROVEMENT AND STIPULATIONS

STANDARD 5: Provider Quality Assurance and Continuous Improvement

	Areas for Improvement	Rationale
1	The EPP provided limited evidence that its' quality assurance system relies on relevant, verifiable, representative,	A majority of the EPP created assessments used in the quality assurance system did not meet CAEP's minimal

	cumulative and actionable measures, and produces empirical evidence that interpretations of data are valid and consistent. (Component 5.2)	level of sufficiency, as defined by the CAEP Evaluation Framework and Tool for EPP-Created Assessments.
2	The EPP provided limited evidence that measures of completer impact, including available outcome data on P-12 student growth, are summarized, externally benchmarked, analyzed, shared widely, and acted upon in decision-making related to programs, resource allocation, and future direction. (Component 5.4)	There was minimal evidence that the 8 outcome and impact measures and their trends are posted on the EPP website and in other ways widely shared.

INFORMATION ABOUT ACCREDITATION STATUSES

Accreditation for seven (7) years is granted if the EPP meets all CAEP Standards and components, even if areas for improvement (AFIs) are identified in the final report of the Accreditation Council.

- **Areas for Improvement (AFIs)** indicate areas which must be improved by the time of the next accreditation visit. Progress reports on remediation of AFIs are submitted as part of the Annual Report. AFIs not remediated by a subsequent site review may become stipulations.

Accreditation with stipulations is granted for 2 years if an EPP meets all standards but receives a stipulation on a component under any standard. Failure to submit a response to the stipulation within a two (2)-year time frame results in revocation. Failure to correct the condition leading to the stipulation within the specified two (2)-year period results in revocation or probation.

- **Stipulations** describe serious deficiencies in meeting CAEP Standards and/or components and must be brought into compliance in order to continue accreditation. All stipulations and relevant evidence are reviewed by the Accreditation Council. Failure to correct the condition leading to the stipulation results in probation or revocation of accreditation.

Probationary Accreditation is granted for two (2) years when an EPP does not meet one (1) of the CAEP Standards. Failure to submit a response to the stipulation within a two (2)-year time frame results in revocation. Failure to correct the condition leading to the stipulation within the specified two (2)-year period results in revocation.

SCOPE OF ACCREDITATION

The scope of CAEP's work is the accreditation of educator preparation providers (EPPs) that offer bachelor's, master's, and/or doctoral degrees, post-baccalaureate or other programs leading to certification, licensure, or endorsement in the United States and/or internationally. (2018).

CAEP does not accredit specific degree programs, rather EPPs must include information, data, and other evidence on the following in their submission for CAEP's review:

All licensure areas that prepare candidates to work in preschool through grade 12 settings at the initial-licensure and advanced levels that lead to professional licensure, certification, or endorsement as defined by the state, country, or other governing authority under which the EPP operates and for which the state, country, or other governing authority has established program approval standards.

Depending on an EPP's submission, accreditation may be awarded at one or both of the following levels: Initial-Licensure level and/or Advanced-Level.

1. **Initial-Licensure Level Accreditation** is provided at the baccalaureate or post-baccalaureate levels leading to initial-licensure, certification, or endorsement that are designed to develop P-12 teachers.
2. **Advanced-Level Accreditation** is provided at the post-baccalaureate or graduate levels leading to licensure, certification, or endorsement. Advanced-Level Programs are designed to develop P-12 teachers who have already completed an initial-licensure program, currently licensed administrators, or other certified (or similar state language) school professionals for employment in P-12 schools/districts. CAEP's Advanced-Level accreditation does not include any advanced-level program not specific to the preparation of teachers or other school professionals for P-12 schools/districts; any advanced-level non-licensure programs, including those specific to content areas (e.g., M.A., M.S., Ph.D.); or Educational leadership programs not specific to the preparation of teachers or other school professionals for P-12 schools/districts.

Information on accreditation status, terms, and any conditions provided within this directory is specific to the accreditation level(s) described above. CAEP-accredited EPPs are required to distinguish accurately between programs that are accredited and those that are not.

NOTE: *Neither CAEP staff, evaluation team members, nor other agents of CAEP are empowered to make or modify Accreditation Council decisions. These remain the sole responsibility of the Council itself.*

End of Action Report

Information for EPPs Granted Accreditation

Accreditation Council Decision

Accreditation is granted when the Accreditation Council determines that an educator preparation provider (EPP) meets all CAEP Standards, even if areas for improvement (AFIs) are identified in the final decision.

The full Accreditation Council makes all final decisions relevant to the CAEP Standards based on evidence submitted by the EPP, findings from the site team, and sufficiency of evidence for each standard, and then acts upon the recommendations from the initial and joint panel reviews. The Council pays particular attention to consistency across all of the accreditation decisions.

The Action Report is the official record of your accreditation status and should be used to review and guide your provider's efforts continue to meet the CAEP Standards.

Consumer Information and Representation of Accreditation to the Public

CAEP requires accredited EPPs to provide consumer information to the public, including candidate performance data. Title II data must be publicly available on the website (Accreditation Policy V.1.01).

When representing its accreditation to the public, an EPP must report the accreditation decision accurately, including the specific academic or instructional programs covered by the accreditation, and the address and telephone number of the Council for the Accreditation of Educator Preparation as provided on the CAEP website. The official statement to be publicly displayed on the EPP's website is provided by CAEP following the Accreditation Council action, as defined by the CAEP Communication Guidelines. (Accreditation Council Policy IV.1.18)

The accreditation status and term will be posted on the CAEP website at:
<http://caepnet.org/provider-search>

Annual Reports

All EPPs must submit an Annual Report each year in order to maintain accreditation or accreditation-eligibility. The report is opened for entry each year in January and EPPs are given 90 days from the date of system availability to complete the report (Accreditation Policy V.3.01).



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Sandra H. Starks, MSSW, EdD, LCSW
Western Kentucky University

March 8, 2021

Dr. M. Christopher Brown II
President
Kentucky State University
Office of the President
president@ksu.edu

RE: *Reaffirm Accreditation for Eight Years with a Progress Report
Kentucky State University (KY)
Baccalaureate Social Work Program (BSW)
2015 Educational Policy and Accreditation Standards (EPAS)*

Dear President Brown:

At its February 2021 meeting, the Commission on Accreditation (COA) reviewed the *Reaffirmation* application for the baccalaureate social work program. The COA voted to reaffirm the program's accreditation for eight-years, ending in June 2027 with a *Progress Report* to be reviewed by the program's Accreditation Specialist. The program's next *Reaffirmation* timeline is shortened due to the program receiving a one-year postponement, a one-meeting agenda adjustment, and a one-meeting deferral during this review cycle.

In taking this action, the Commission identified the following area of concern.

Accreditation Standard B2.0.3: *The program provides a matrix that illustrates how its curriculum content implements the nine required social work competencies and any additional competencies added by the program.*

The program provided a generalist practice curriculum matrix and illustrated how some of its curriculum content implements the competencies. However, it was unclear how the program's identified curriculum content implements competencies 3 and 4, as well as competencies 6-9 specifically related to communities.

The program is asked to provide a revised generalist practice curriculum matrix as well as the corresponding syllabi to clearly illustrate how its curriculum content implements the nine required social work competencies.

Accreditation Standard 3.1.7: *The program submits its policies and procedures for evaluating student's academic and professional performance, including grievance policies and procedures. The program describes how it informs students of its criteria for evaluating their academic and professional performance and its policies and procedures for grievance.*

The program submitted its policies and procedures for evaluating students' academic and professional performance and described how it informs students of its criteria for evaluating their academic and professional performance. The program submitted its policies and procedures for academic grievances and how it informs students of its criteria for evaluating their academic and professional performance. However, the program did not submit its policies and procedures for grievance for professional performance.

The program is asked to provide its policies and procedures for grievance of students' professional performance.

COA reaffirmation decision types are described in section 2.6 *COA Reaffirmation Determination and Decisions* in the [EPAS Handbook](#).

The program is asked to submit one (1) electronic copy of the report, no later than December 1, 2021 for review during its February 2022 meeting. The report must align with the formatting and submission requirements detailed in section 1.2.11. *Document Submission Policy* in the [EPAS Handbook](#).

The accreditation status obtained at *Reaffirmation* only covers the components that were reviewed in the self-study at the time of the COA review. Changes may take place within the program prior to its next scheduled accreditation review; however, some program changes impact compliance with EPAS and require reporting to the COA or DOSWA per section 1.2.4 *Program Changes* in the [EPAS Handbook](#). Accreditation is an elective, program-driven, and self-managed peer-review process. Programs are solely responsible for implementing, demonstrating, and maintaining compliance with the EPAS during and in-between review cycles.

Please be in touch if there are any questions about this letter or the procedures and actions of the Commission on Accreditation.

Sincerely,



Deana F. Morrow, PhD, LICSW, ACSW
Chair, Commission on Accreditation

DFM/MMG

Cc: Dr. Mindy Brooks-Eaves
Chair & Program Director, Assistant Professor
Kentucky State University
Mindy.Brookseaves@kysu.edu

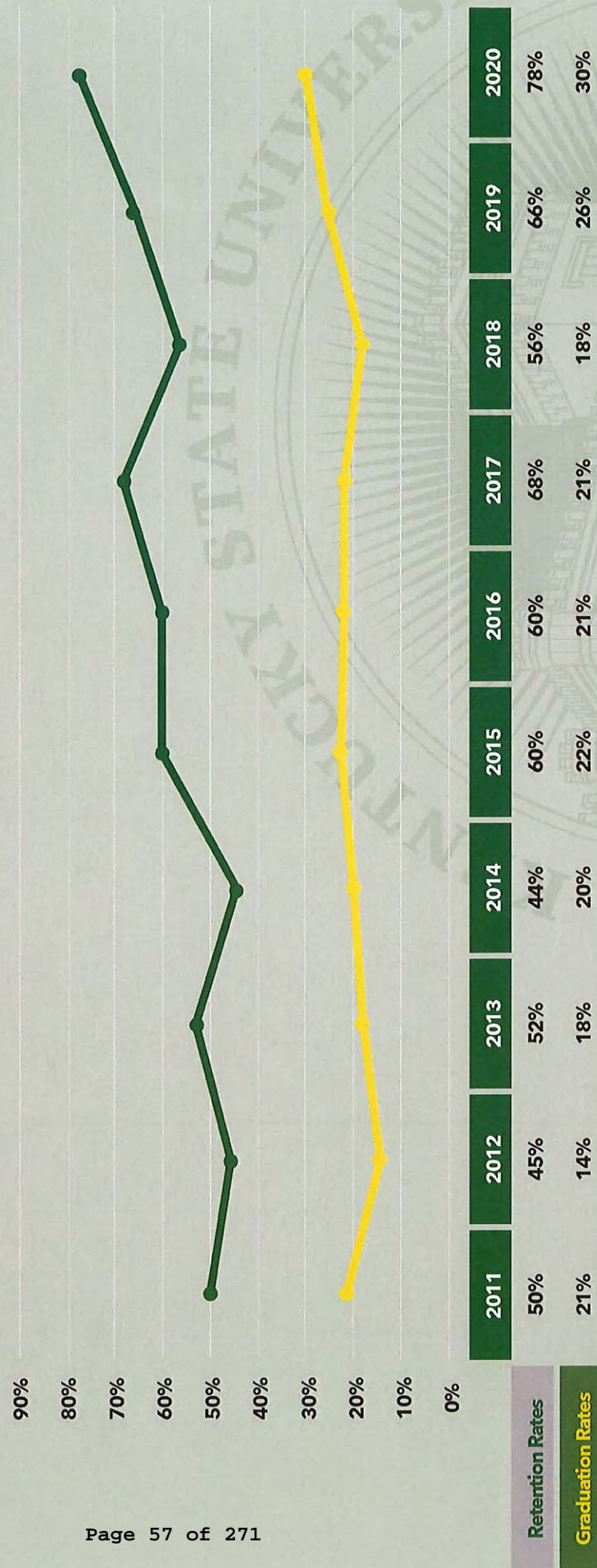


ENROLLMENT HEADCOUNT FOR THE LAST 25 YEARS

	FALL 1996	FALL 1997	FALL 1998	FALL 1999	FALL 2000	FALL 2001	FALL 2002	FALL 2003	FALL 2004	FALL 2005	FALL 2006	FALL 2007	
TOTAL HEADCOUNT	2356	2288	2303	2393	2254	2314	2253	2306	2335	2386	2500	2696	
	SPRING 1997	SPRING 1998	SPRING 1999	SPRING 2000	SPRING 2001	SPRING 2002	SPRING 2003	SPRING 2004	SPRING 2005	SPRING 2006	SPRING 2007	SPRING 2008	
TOTAL HEADCOUNT	2262	2304	2294	2109	2134	2188	2175	2164	2108	2103	2273	2471	
	FALL 2008	FALL 2009	FALL 2010	FALL 2011	FALL 2012	FALL 2013	FALL 2014	FALL 2015	FALL 2016	FALL 2017	FALL 2018	FALL 2019	FALL 2020
2659	2834	2851	2746	2524	2524	2533	1895	1586	1736	1926	1781	2171	2290
	SPRING 2009	SPRING 2010	SPRING 2011	SPRING 2012	SPRING 2013	SPRING 2014	SPRING 2015	SPRING 2016	SPRING 2017	SPRING 2018	SPRING 2019	SPRING 2020	SPRING 2021
2325	2505	2531	2732	2716	2159	2050	1707	2268	2408	2183	2422	2566	

KENTUCKY STATE UNIVERSITY

STUDENT SUCCESS



Subject: FW: IMPORTANT! HEERF CARES Act Annual Performance Report – NO Data Quality Feedback Received!

Date: Tuesday, March 16, 2021 at 1:58:55 PM Eastern Daylight Time

From: Graham, Tymon

To: KSU, President

Dr. Brown,

Please see the below. This notes that our data passed all data quality edits and as a result, there is no further action required at this time. Thanks!

Dr. Graham

--

T. M. Graham, Ed.D.
Chief of Staff
Office of the President
Kentucky State University
Hume Hall, Suite 201
400 East Main Street
Frankfort, Kentucky 40601

From: Cares Act Distribution List <caresact@edlistservs.org>

Date: Tuesday, March 16, 2021 at 1:17 PM

To: Graham, Tymon <Tymon.Graham@kysu.edu>

Subject: IMPORTANT! HEERF CARES Act Annual Performance Report – NO Data Quality Feedback Received!

CAUTION: This is an external email. Do not click on links, open attachments or reply, unless you recognize the sender and know the content is safe.

Dear HEERF Grantee,

On behalf of the U.S. Department of Education, thank you for submitting the Higher Education Emergency Relief Fund (HEERF) Annual Performance Report. Your IHE's data passed all data quality edits and as a result, there is no further action required at this time.

IMPORTANT! An email was sent yesterday for IHE's that did receive data quality feedback with the subject of "IMPORTANT! HEERF CARES Act Annual Report Data Quality Feedback Received!". That email included a *specific* DUNS number for any institution(s) that received data quality feedback. If you did not receive that email, none of your IHEs received feedback.

KEY POINTS about this year's data review:

- **Data reviewed.** The data quality review was based on the data that were submitted as of the original report due date.
- **Use of submitted data.** Once your IHE's data are finalized, they will be publicly posted to the [Public Transparency Portal](#).

Should you have any further questions or concerns about this mandatory data collection, please call or email the CARES Act Reporting Help Desk.

Thank you,

The CARES Act Reporting Help Desk

Telephone: 1-888-OPEPD-10 (888-673-7310)

Email: CARESActReportingHelpDesk@ed.gov



**National Council for
State Authorization
Reciprocity Agreements**

MHEC • NEBHE • SREB • WICHE

March 29, 2021

Kentucky State University
400 East Main Street
Frankfort, Kentucky 40601
United States

Current participating period 3/29/2021-3/29/2022

Dear Beverly Schneller,

On behalf of the National Council for State Authorization Reciprocity Agreements (NC-SARA), I am pleased to welcome Kentucky State University as an institutional participant in SARA. Your institution will be listed on our website (www.nc-sara.org) as a participating institution.

Institutional Requirements to Maintain Participation

The National Council for State Authorization Reciprocity Agreements (NC-SARA) was established to help expand students' access to educational opportunities and ensure more efficient, consistent, and effective regulation of distance learning programs.

Two critical components of our mission are:

1. to assure students are well-served in a rapidly-changing education landscape, and
2. to increase the quality and value of higher education credentials earned through distance learning programs.

SARA increases administrative efficiency and lowers the costs of obtaining authorization to provide postsecondary distance education in states that join. These benefits result in increased access as institutions like yours are able to make their academic programs more widely available and accept enrollments from students in all states except for California without additional work to seek state authorization.

But increased access and efficiency will mean little if they are not accompanied by high quality. That is why all SARA institutions annually commit to several requirements designed to ensure that courses and programs they offer through SARA provide high-quality learning opportunities that can help students reach their goals as well as to ensure appropriate consumer protections for students.

Institution staff working with SARA are encouraged to read the *SARA Policy Manual* (www.nc-sara.org) and ask questions regarding policies about which they have concerns. We welcome your comments, questions, and concerns at info@nc-sara.org.

Using the NC-SARA Approved Institution Seal

Use of the NC-SARA Approved Institution Seal is encouraged to inform students of participation SARA. The institution seal may be downloaded at <https://www.nc-sara.org/logos>. The following language must be used with the NC-SARA Approved Institution Seal: "(Name of institution) has been approved to participate in the National Council for State Authorization Reciprocity Agreements."

Thank you for joining us in this work and best wishes for your continued success.

Sincerely,

A handwritten signature in blue ink, reading "Lori Williams". The signature is fluid and cursive, with the first name "Lori" and the last name "Williams" clearly distinguishable.

Lori Williams
President and Chief Executive Officer
National Council for State Authorization Reciprocity Agreements

Federal Student Aid

An OFFICE of the U.S. DEPARTMENT of EDUCATION

APRIL 16, 2021

M. CHRISTOPHER BROWN, II
KENTUCKY STATE UNIVERSITY 00196800

400 EAST MAIN STREET
FRANKFORT, KY 406012355

Dear President/Financial Aid Administrator:

The U.S. Department of Education has received your institution's Balance Confirmation and closeout request for the Direct Loan 2019-2020 Award Year. The Department's records show that your school's cash balance for this year is at an acceptable level.

To complete the Program Year Closeout process for your institution, the Department has taken the following steps:

1. Limited your school's data processing for this Program and Award Year. Award or disbursement changes will be rejected per published edits.
2. Reduced your school's Current Funding Level (CFL) which limits your school's ability to draw funds for this Program and Award Year.
3. Stopped the generation and transmission of your School's School Account Statement (SAS) and other system-generated reports as applicable for this Program and Award Year.

Congratulations on successfully completing the closeout process. If you have any future questions about reconciliation or closeout, contact the COD School Relations Center at 1-800-848-0978. You may also email CODSupport@ed.gov.

Sincerely,

Federal Student Aid
U.S. Department of Education

Please do not reply to this message, it is an outbound message only. If you have received this e-mail in error or have any questions about its authenticity, please forward it to CODSupport@ed.gov.

Subject: Balance Notification Pell 2020
Date: Wednesday, June 2, 2021 at 3:47:02 AM Eastern Daylight Time
From: DoNotReply@cod.ed.gov
To: KSU, President
Attachments: fsa_logo.png

CAUTION: This is an external email. Do not click on links, open attachments or reply, unless you recognize the sender and know the content is safe.

Federal Student Aid

An OFFICE of the U.S. DEPARTMENT of EDUCATION

JUNE 1, 2021

M. CHRISTOPHER BROWN, II
KENTUCKY STATE UNIVERSITY 00196800

400 EAST MAIN STREET
FRANKFORT, KY 406012355

Dear President/Financial Aid Administrator:

The established data submission deadline for the Pell Grant 2019-2020 Award Year is SEPTEMBER 30, 2020. As a reminder, certain Title IV requirements supersede this data submission deadline. These requirements include, but are not limited to, managing all Title IV funds within current cash management regulations, and the reporting of all disbursement/adjustment records within disbursement reporting requirements. Schools must not wait until this deadline to resolve outstanding reporting issues and cash balances.

Your school has been receiving the Pell Grant School Account Statement (SAS) for the purpose of completing reconciliation to Department of Education's (the Department's) records. As of JUNE 1, 2021 our records indicate that your school's balances for this Program and Award Year are as follows:

NET DRAWDOWNS/PAYMENTS: \$4,816,587.71

TOTAL NET ACCEPTED AND POSTED DISBURSEMENTS: \$4,816,587.71

CASH > NET ACCEPTED AND POSTED DISBURSEMENTS: \$0.00

If your school has a Cash > Net Accepted and Posted Disbursements equal to \$0.00 as of your last Pell Grant SAS, agrees with this balance, and is fully reconciled with the Department's data, you should confirm closeout as soon as possible. This can be completed via the Balance Confirmation page on the Common Origination and Disbursement (COD) Web site.

If your school has an unresolved Cash > Net Accepted and Posted Disbursements balance reflected above, the resolution of that amount requires your immediate attention. This balance could be the result of one or more of the following:

1. Your school has received more funds than it has disbursed. Return these funds to the Department and/or report actual disbursements immediately.
2. Your school has records that have not been accepted in the COD System. Send in these records for processing.

3. Your school has drawdowns or refunds of cash reported in the wrong award year that need to be identified and corrected through G5.

4. Your school has disbursed more funds than it has drawn down. Verify this information and, if you agree with the amount disbursed, drawdown the additional funds.

All outstanding balances should be resolved by the data submission deadline provided above. Once resolved, your school should complete a final reconciliation and confirm closeout via the Balance Confirmation page on the COD Web Site.

If you have any questions about this letter or require assistance with the closeout process, contact the COD School Relations Center at 1-800-848-0978 or by email at CODSupport@ed.gov.

Sincerely,

Federal Student Aid
U.S. Department of Education

Please do not reply to this message, it is an outbound message only. If you have received this e-mail in error or have any questions about its authenticity, please forward it to CODSupport@ed.gov.

	Branding	Executive Report - Budget Highlights and Analysis (General, Restricted, and Federal Funds)	Historical Budget Comparison	Narrative Alignment to Respective Strategic Goals	Various Charts/ graphs (Breakdown of Revenue/ Expenditures	Budget Breakdown by Organizational Departments (e.g. Business and Finance, Academic Affairs, etc.)
NKU	Yes	Yes (Pg. 3)	No	Yes (Pg. 4-5)	Yes (Pg. 8-10)	Yes (Pg. 11-41)
WKU	Yes	Yes	Yes	Yes (Pg. 28-69)	Yes	Yes
KCTCS	Yes	Yes	Yes	Yes (Pg. A1-A4)	Yes	Yes
UK	Yes	Yes	Yes	Yes (Pg. 84-264)	Yes	Yes
U of L	Yes	No	Yes	No	No	Yes

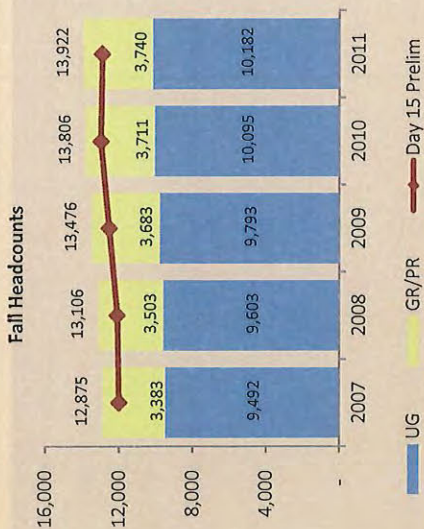
QUARTERLY BUDGET COMPARISON FOR CPE INSTITUTIONS

	Title of Report	Format	Action Item?	Presented in committee level?
KSU	Quarterly Budget Update	Staff Note and FY Budget to Actual	No	Yes
EKU	Financial update	N/A	No	Yes
Morehead	Financial Report and Amended Operating Budget	Management Analysis and Statement of Revenues, Expenditures & Changes in Net Position	Yes	No-included in President's Reports
Murray State	Fiscal Year Budget Calendar	N/A	No	Yes
NKU	Quarterly Financial Report	Financial Statements and Management Reports	No	No-included in President's Reports
WKU	Statement of Revenue and Expenses	N/A	Yes	Yes
KCTCS	N/A	N/A	N/A	N/A
UK	Interim Financial Report	Narrative and budget	Yes	Yes
U of L	N/A	N/A	N/A	N/A

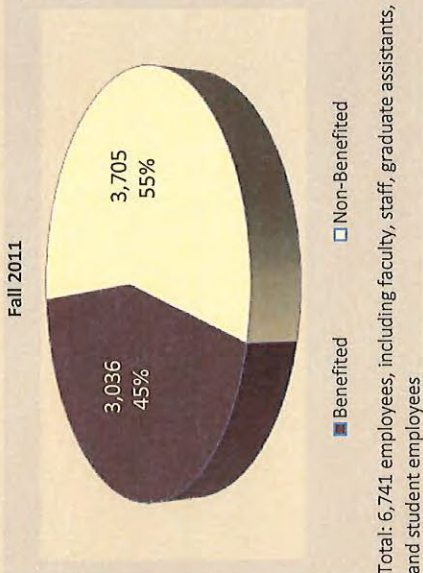
* Branding includes the following: logos, pictures, mission statement, signed budget resolutions, etc.

University of Wyoming Dashboard

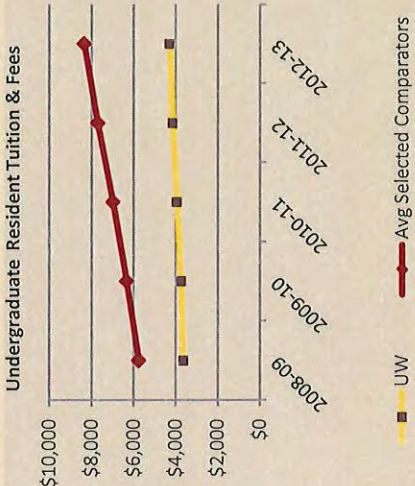
Students



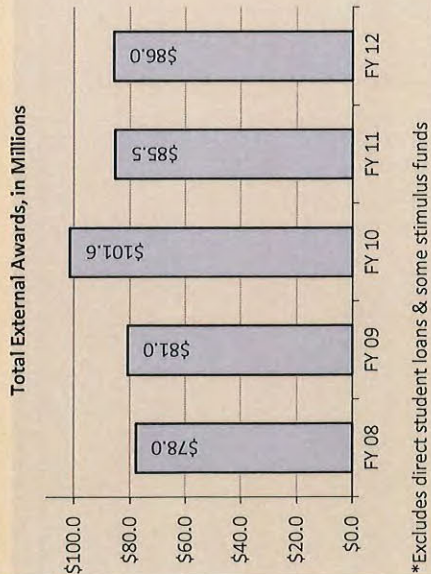
Faculty & Staff



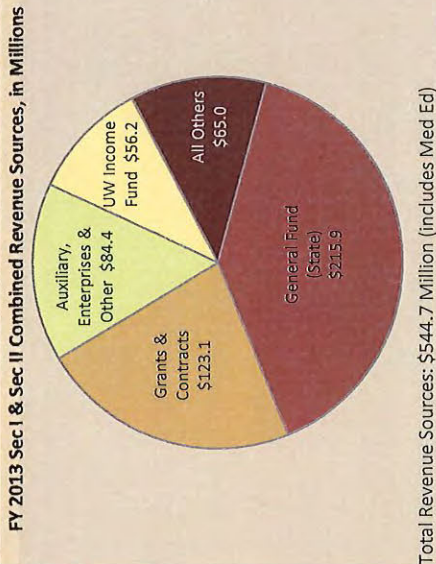
Tuition & Fees



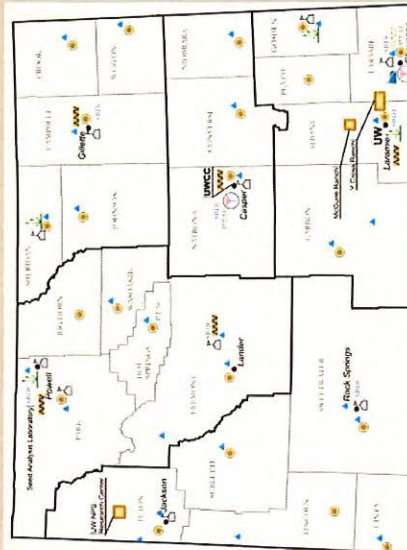
Research Funding & Private Giving



Budget & Finance



Statewide Activities



Glossary

Published November 2012

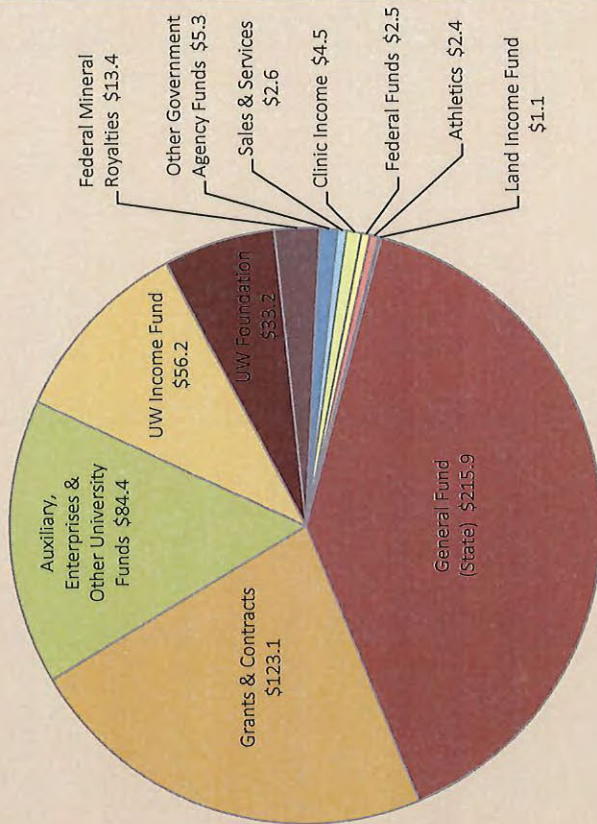


UNIVERSITY OF WYOMING

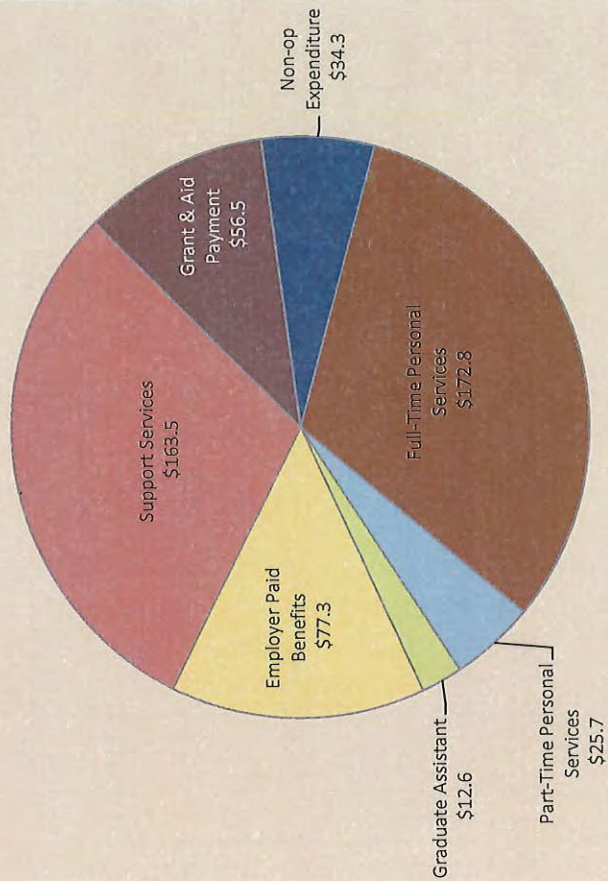
All budget information is from the University of Wyoming Operating Budget, unless otherwise noted

University of Wyoming -- Agency Summary
FY 2013 Budget, Section I & II Combined Revenue Sources
(Dollars in Millions)

University of Wyoming -- Agency Summary
FY 2013 Budget, Section I & II Combined Expenditure Distribution
(Dollars in Millions)



Total Section I & II Revenue Sources: \$544.6 Million
Note: Differences in revenue & expenses planned to

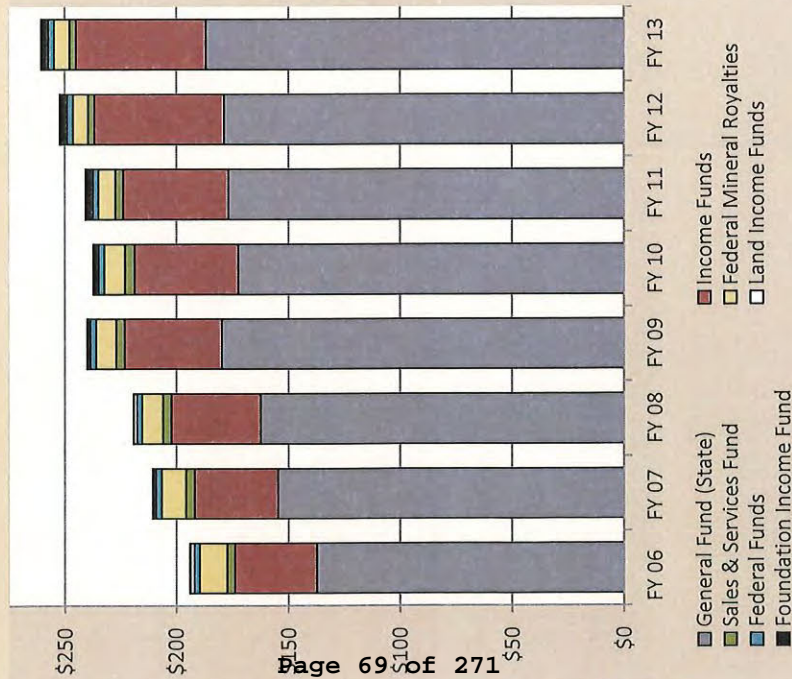


Total Section I & II Expenditure Distribution: \$542.8 Million

Note: Differences in revenue & expenses planned to support future capital projects.

Section I by Funding Source (Excl. Medical Education)

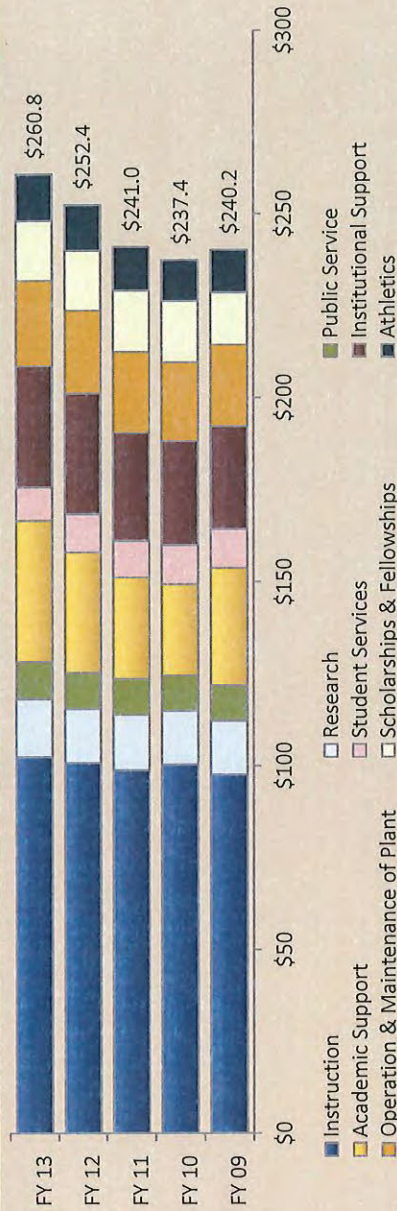
Interactive Charts



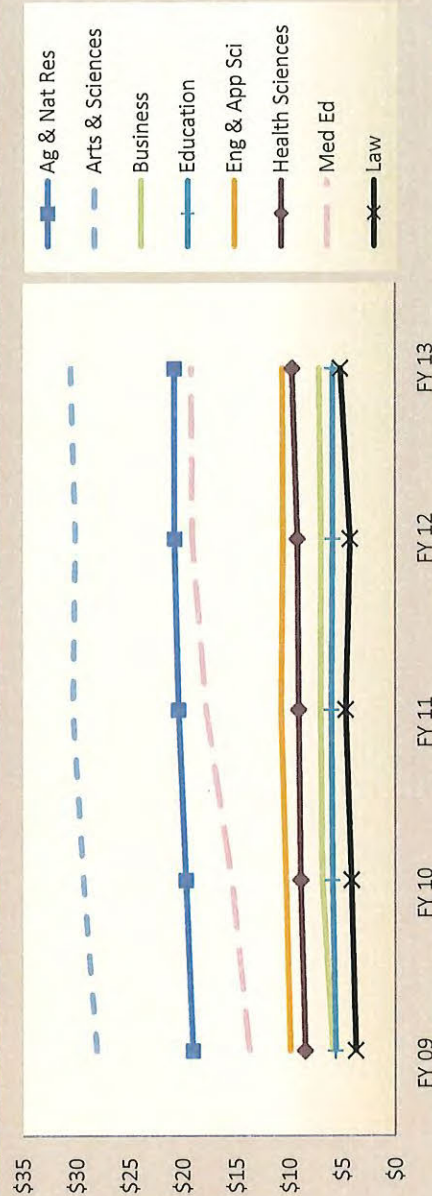
Note: Tuition is historically about 85% of income fund revenues

Budget Revenue Authority, Dollars in Millions

Section I by Revenue Allocation Category (Excluding Medical Education)



Section I Revenues by College and Medical Education

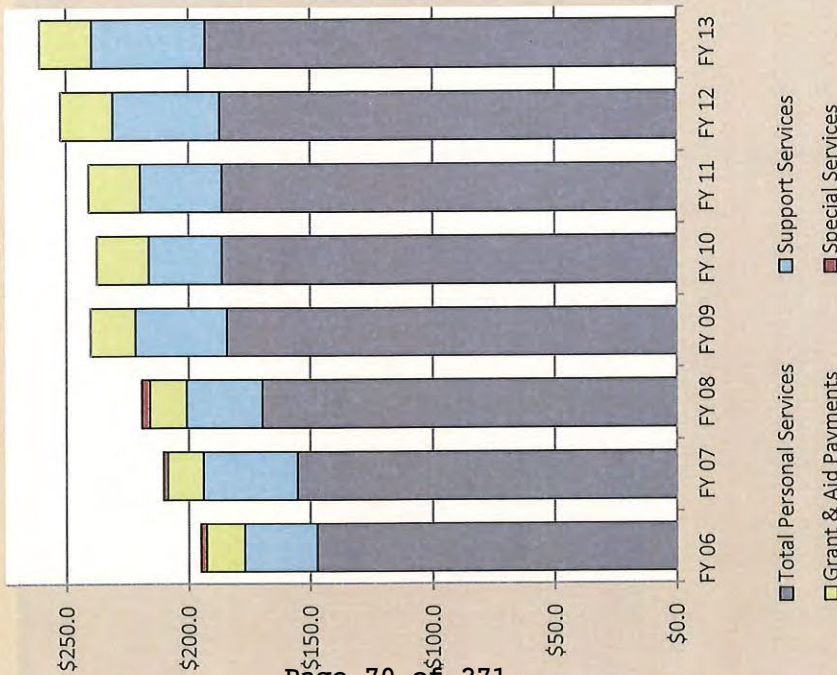


UNIVERSITY OF WYOMING

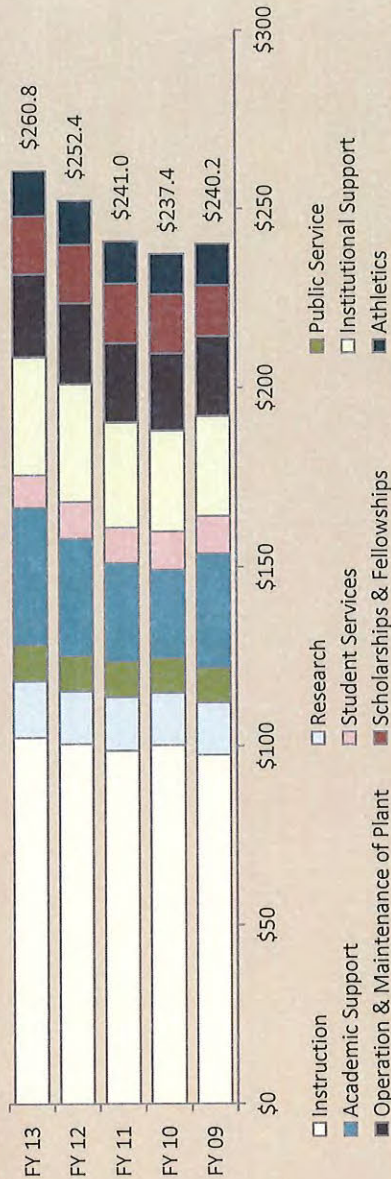
Budget Expenditure Authority, Excluding Medical Education, Dollars in Millions

Section I by Distribution

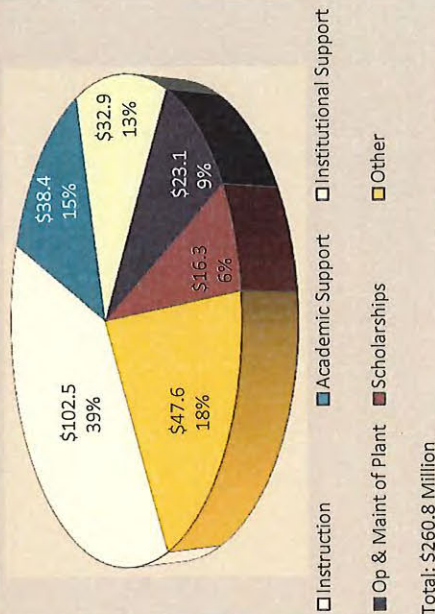
Interactive Charts



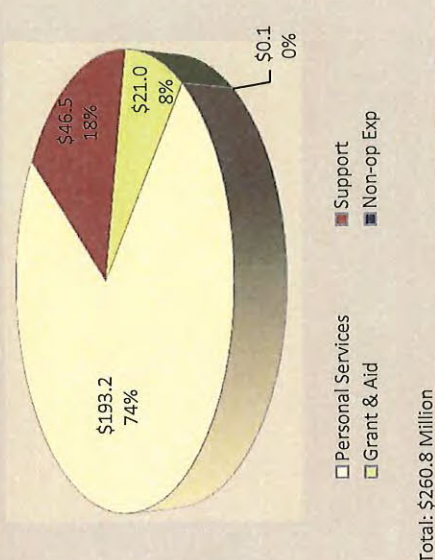
Section I by Expenditure Allocation Category



Section I Expenditure Categories, FY 2013



Section I Objects of Expend. Distribut., FY 2013

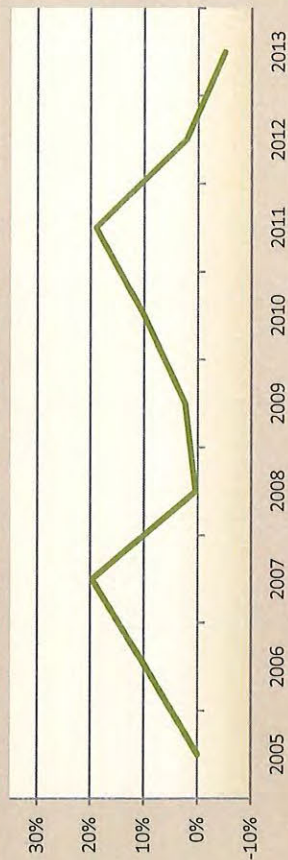


Note: Budget units have flexibility to transfer funds between expenditure categories, if the transfers are consistent with the original revenue source underlying requirements (except grants & aid).



UNIVERSITY OF WYOMING

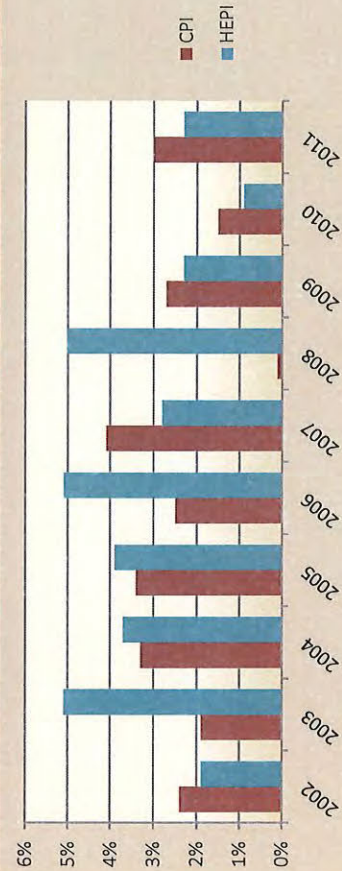
WY State Health Plan Percent Changes in Employer Contribution



Note: For employee-only coverage. Growth rates in a given year are the same for all types of health plan coverage (only 2006 and 2010 show variation). Actual cost levels vary significantly between types of plans.

Source: State of Wyoming Health Plan

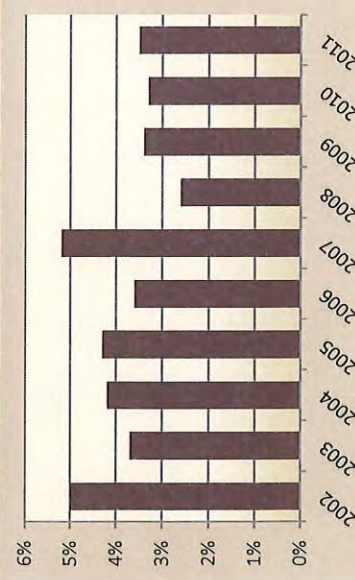
National Consumer Price Index and Higher Education Price Inflation



Change, December to December, not seasonally adjusted

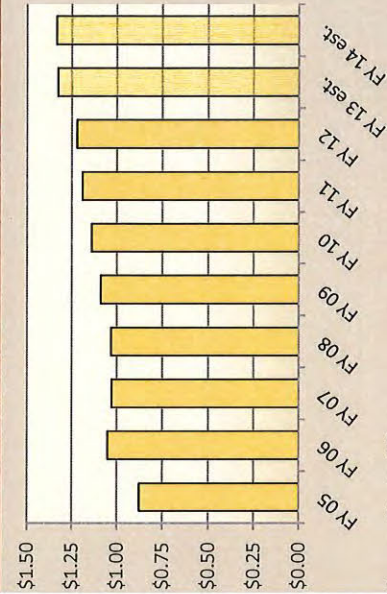
Source: CPI - Department of Labor Statistics; HEPI - Commonfund Institute HEPI 2011

National Consumer Medical Care Price Inflation



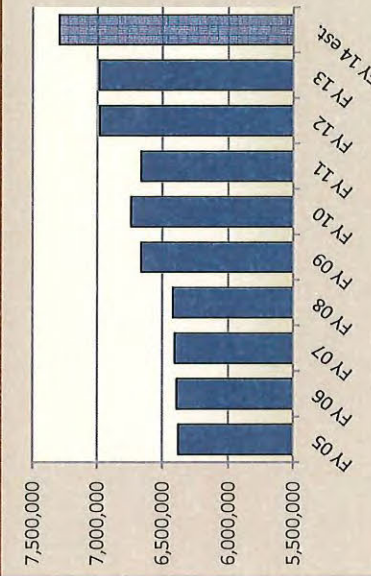
Source: Department of Labor Statistics

Average UW Utility Cost (dollars per square foot)



Source: Physical Plant

UW Statewide Gross Square Footage



Source: Physical Plant



UNIVERSITY OF WYOMING

Accounting and Fiscal Services

[Home](#) » [Vendor Onboarding](#) » [Checking Onboarding Status](#)

Checking Onboarding Status in PaymentWorks

After you request an onboarding invitation be sent to your vendor, you can check the status of that invitation at any time in PaymentWorks.

1. Login to PaymentWorks (see the [inviting vendors](#) page for details)
2. From the main menu, you should click on the box that says "Vendor Master Updates"
3. Select the New Vendors Onboarding dashboard

PaymentWorks		Vendor Master Updates					Initiator Initiator, University of California, Irvine (Test)	Help	Account	Logout
Home		New Vendors								
SHOW: Onboardings										
Filter Results:		ONBOARD START	UPDATED	VENDOR NAME	INVITATION	VENDOR ACCOUNT	NEW VENDOR REGISTRATION	% COMPLETE		
Vendor Name: <input type="text"/>		10/10/2020	10/10/2020	EMMA DVPAYEE	Pending Approval	No Account	Not Started	<div><div></div></div>		
Vendor #: <input type="text"/>		10/10/2020	10/10/2020	VENDOR TEST	Pending Approval	No Account	Not Started	<div><div></div></div>		
Contact E-Mail: <input type="text"/>		09/27/2020	10/08/2020	Johnny Rose	Delivered	No Account	Not Started	<div><div></div></div>		
Invitation Approval: <input type="text"/>		09/16/2020	10/07/2020	Robert Downer Jr	Clicked	Email Validated	Not Started	<div><div></div></div>		
Invitation Delivered: <input type="text"/>		10/04/2020	10/07/2020	bo's consulting	Clicked	Email Validated	Not Started	<div><div></div></div>		
Account Created: <input type="text"/>		10/04/2020	10/07/2020	billy the bobcat	Clicked	Email Validated	Complete Vendor #: 1002	<div><div></div></div>		
Registration Form: <input type="text"/>		10/07/2020	10/07/2020	Joseph Warner	Clicked	Email Validated	Approved	<div><div></div></div>		
Source: <input type="text"/>		10/07/2020	10/07/2020	onboard my friend	Clicked	Email Validated	Complete Vendor #: 9988	<div><div></div></div>		
Invitation Initiator: <input type="text"/>		10/07/2020	10/07/2020	kcetst123	Clicked	Email Validated	Submitted	<div><div></div></div>		
Clear Filters		09/10/2020	10/05/2020	Eugene Levy	Clicked	Email Validated	In Progress	<div><div></div></div>		
Send Invitation...		09/16/2020	10/04/2020	Pitney Bowes	Clicked	Email Validated	Complete Vendor #: 93248	<div><div></div></div>		
		09/16/2020	10/04/2020	Ryerson Holding	Clicked	Email Validated	Complete Vendor #: 93247	<div><div></div></div>		
		10/03/2020	10/04/2020	Iron Mountain	Clicked	Email Validated	Approved	<div><div></div></div>		
		09/16/2020	10/04/2020	Emily Hampshire	Cancelled	No Account	Not Started	<div><div></div></div>		
		10/03/2020	10/04/2020	CFEE BELGIUM	Clicked	Email Validated	Submitted	<div><div></div></div>		
		10/04/2020	10/04/2020	billy the bobcat	Undeliverable	No Account	Not Started	<div><div></div></div>		

The dashboard view defaults to the latest date order in the "Updated" column. For quick help, you can watch the

video linked in the dashboard. You can also see it here: [Initiator Video](#).

Filtering Results

By default, PaymentWorks shows invitations from all UCI users - not just invitations that you have requested. When checking the status of an invitation, we recommend using the filters to narrow your results.

Commonly Used Dashboard Search Fields:

- Invitation Initiator (enter your UCInetID to see only your invites)
- Vendor Contact E-Mail
- Vendor Name

Tracking Invitation Status

The dashboard can be used to track the vendor registration and onboarding completion. When tracking your invite, pay special attention to the following:

- Once the Initiator submits the invite request, the INVITATION status is "Pending Approval." This means it is awaiting review by the UCI Vendor Coordinator.
- When the Vendor Coordinator approves the invite request, the INVITATION status changes as it is processed, according to the table below.

Invitation Statuses

Status	Explanation
Pending Approval	Invitation is in the Vendor Coordinator queue for review.
Sent	Acknowledges that the invitation was sent. If status remains over 2 days, the email address needs to be validated.
Delivered	Invitation was delivered to the vendor's email.
Opened	Vendor opened the invitation email.
Clicked	Vendor read the invitation email and clicked the link to create a PaymentWorks account.

Rejected	Vendor Coordinator rejected the invitation request.
Undeliverable	Invitation delivery was unsuccessful. This usually indicates the contact email address was invalid.
Cancelled	The invitation has been cancelled.

Tracking Vendor Account Status

When the vendor registers in PaymentWorks, the VENDOR ACCOUNT status changes. These statuses primarily are related to the vendor creating an account with PaymentWorks.

Vendor Account Statuses

Status	Explanation
No Account	Vendor has not used their invitation nor click the link to create a PaymentWorks account.
Registered	Vendor account was created but not activated.
Email Validated	Vendor has created and activated their account.

Tracking Registration Status

The NEW VENDOR REGISTRATION status changes based on the vendor's registration activity. You can review all of the possible statuses in the table below. When the New Vendor Registration status is completed, you will see the KFS parent vendor number, this indicates that the vendor/payee is now in KFS. At that point, you should go to KFS to view full vendor information or initiate eDocs

New Vendor Registration Statuses

Status	Explanation
Not Started	Vendor has not entered information on their registration.
In Progress	Vendor started entering information on the registration but has not submitted.

Submitted	Vendor submitted their registration.
Returned	The registration has been returned to the vendor in order for a correction to be made. The vendor will be emailed directly with the requested correction. They will also receive a message in their "Messages" tab on the dashboard.
Approved	The registration has been approved by the Vendor Coordinator.
Rejected	Vendor rejected the registration.
Processed	The registration has been entered by the vendor and sent to your campus vendor coordinator for entry into KFS.
Complete	The vendor record has been created in KFS, and the vendor number has been issued. The KFS vendor number will appear under "New Vendor Registration".

Need Help? If you have any questions about the status of your invitation, please contact the UCI Vendor Coordinator at vendor-coordinator@uci.edu.

GradesFirst Tutorials

Student Home Screen

Student Home

[Class Information](#) [Reports](#) [Calendar](#) [Send a Message](#)

Classes This Term

Actions						
<input type="checkbox"/>	Send Message to Professor					
	COURSE NAME	PROFESSOR	DAYS/TIMES	MID	FINAL	ABSENCE
<input type="checkbox"/>	DR101-1 Drama for Lifters	Terry Crews	TR 1:00a-2:00a Room 881	A	B	2 (2)
						Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	E101-1 English	Hem Grove	TR 9:30a-11:00a Room 901	B	A	0 (0)
						Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	MA101-1 Math for Beginners	Ty Pennington	MWF 8:00a-9:00a Room 213	A	A	0 (0)
						Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	PA101-1 Physical Sciences	Ross Ulrich	MWF 10:00a-11:00a Room 971	A	C	1 (1)
						Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	S101-1 Science for Lab Geeks	Malcom Lattimer	MWF 2:00a-3:00a Room 88	C	B	0 (0)
						Request Tutor Appt. Schedule Tutor Appt.

Assignments This Term

CLASS	⚡ DUE DATE	⚡ ASSIGNMENT	STATUS
E101-1	10/30/2015	Mid-term Paper	Incomplete
			100.0 available

[Get Advising](#)

[Get Tutoring](#)

Quick Links

[Take me to...](#)

[School Information](#)

Study Hall Information



Study Hall Stats

Today:

0min

This Week:

0min

Required Time:

6hr 0min

Last Week

0min

Upcoming Appointments



[Make Tutor Appointments](#)

[Sam Bergen](#)

11/13/2015 02:00 PM

Schedule a tutor for a course

Click the **Schedule Tutor Appt** link next to the relevant course.

Home

Class Information

Reports

Calendar

CLASSES THIS TERM

Send Message to Professor

ALL	CLASS NAME	PROFESSOR	DAYS/TIMES	MID	FINAL	TAKE ACTION
<input type="checkbox"/>	ES2310-2 Thermodynamics	Paul Dellenback	MWF 10:00a-10:50a Engineering Building 1062	B		Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	ES2330-2 Fluid Dynamics	Joseph Holles	MWF 12:00p-12:50p Engineering Building 1045	C		Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	GEOG1000-1 World Reg Geogr	Roberta Webster	TR 11:00a-12:15p Education Building AUD	A		Request Tutor Appt. Schedule Tutor Appt.

Options

My Conversations

School Information

Study Hall Information

On the **Schedule a Tutoring Appointment** screen, choose a location.

Review the list of available times and tutors.

Schedule a Tutoring Appointment

Choose a Student Service
Make Tutor Appointments

What location do you prefer?
FedEx Tutoring Center

01/05/2016

09:00 AM

09:20 AM

09:40 AM

10:00 AM

10:20 AM

10:40 AM

11:00 AM

11:20 AM

11:40 AM

12:00 PM

12:20 PM

12:40 PM

01:00 PM

01:20 PM

01:40 PM

02:00 PM

02:20 PM

02:40 PM

Janaz Baker

open

open

open

open

open

open

open

open

open

open

open

open

open

open

open

LEGEND

GENERAL

ADVISING

TUTORING

EDUCES

OPEN

DROPPIN

N/A

Download Acrobat Reader

CREATE APPOINTMENT

Location: FedEx Tutoring Center

Student Service: Make Tutor Appointments

Time: 01:00 PM - 01:30 PM

Additional Details: + Add Comment

Comments: + Add Comment

Reminder: Send Me an Email Send Me a Text

You will not receive a text reminder.

Submit

Close

- **Open** - Available time when you may schedule an appointment with the corresponding tutor.
- **Course** - You have a course during this time.
- **Advising** - You have an advising appointment during this time.
- **Tutoring** - You have a tutoring appointment during this time.
- **N/A** - The tutor is not available during this time.

To choose a different date, click the calendar icon in the top left corner of the scheduling grid.

Choose a tutor and time by clicking an **Open** block.

In the **Create Appointment** dialog, enter your comments. You can also select to receive an email or text message reminder.

Click **Submit**.

Schedule a general tutor (CGS, Writing, Math, Etc)

Click the **Get Generalized Tutoring** button

Student Home

[Class Information](#)
[Reports](#)
[Calendar](#)
[Send a Message](#)

Classes This Term

Actions	CLASS NAME	PROFESSOR	DAYS/TIMES	MID	FINAL	ABSENCE	TAKE ACTION
<input type="checkbox"/>	DR101-1 Drama for Lifters	Terry Crews	TR 1:00a-2:00a Room 881	A	B	2 (2)	Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	E101-1 English	Hem Grove	TR 9:30a-11:00a Room 901	B	A	0 (0)	Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	MA101-1 Math for Beginners	Ty Pennington	MWF 8:00a-9:00a Room 213	A	A	0 (0)	Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	PA101-1 Physical Sciences	Ross Ulrich	MWF 10:00a-11:00a Room 971	A	C	1 (1)	Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	S101-1 Science for Lab Geeks	Malcom Lattimer	MWF 2:00a-3:00a Room 88	C	B	0 (0)	Request Tutor Appt. Schedule Tutor Appt.


[Get Advising](#)
[Get Tutoring](#)

Quick Links

Take me to...

[School Information](#)

Study Hall Information



Study Hall Stats

Today:

On the **Schedule a Tutoring Appointment** screen, choose a service and then a location.

Review the list of available times and tutors.

Choose a Student Service
One-on-One Tutoring

What location do you prefer?
FedEx Tutoring Center

11/13/2015

	06:00 AM	06:30 AM	07:00 AM	07:30 AM	08:00 AM	08:30 AM	09:00 AM
Janae Baker	open	open	open	open			open
Sam Bergen							open

CREATE APPOINTMENT:

Location: **FedEx Tutoring Center**
Student Service: **One-on-One Tutoring**
Time: **09:00 AM - 09:30 AM**
Comments: **+ Add Comment**
Reminder:

You will not receive a text reminder.

LEGEND

GENERAL ADVISING TUTORING COURSE OPEN DROP-IN N/A

- **Open** - Available time when you may schedule an appointment with the corresponding tutor.
- **Course** - You have a course during this time.
- **Advising** - You have an advising appointment during this time.
- **Tutoring** - You have a tutoring appointment during this time.
- **N/A** - The tutor is not available during this time.

To choose a different date, click the calendar icon in the top left corner of the scheduling grid.

Choose a tutor and time by clicking an **Open** block.

In the **Create Appointment** dialog, enter your comments. You can also select to receive an email or text message reminder.

Click **Submit**.

Schedule an advising appointment

Click the **Get Advising** button at top right.

Home

Calendar Conversations Reports Search

Home

Class Information Reports Calendar

CLASSES THIS TERM

Send Message to Professor

ALL	CLASS NAME	PROFESSOR	DAYS/TIMES	MID	FINAL	TAKE ACTION
<input type="checkbox"/>	ES2310-2 Thermodynamics	Paul Dellerbeck	MWF 10:00a-10:50a Engineering Building 1062	#		Request Tutor Appt. Schedule Tutor Appt.

Options

- My Conversations
- School Information

Follow the prompts on the screen.

- **Reason(s)** - The purpose of the appointment. To select more than one reason, click the reasons while holding the Ctrl key on your keyboard.
- **Comments** - Information you'd like to share with the advisor to help them prepare for the appointment.
- **Select a Date** - Select the date when you'd like to schedule your appointment. The scheduling grid will display the entire week of the day you select so that you can see available days and times that may work for your schedule.
- **Reminders** - Email and text message reminders are sent based on your selections. Email reminders are sent the morning of the appointment. Text reminders are sent shortly before the appointment.
- **Select an Advisor** - Click on your advisor to schedule your appointment with them.

Schedule Advising Appointment

Reason Location & Advisor Time/Subject Comments

To help you get advising quickly, please tell us why you'd like to meet with an advisor.

Advising

Choose from the following options and click Next.

Make a (B) Adv. Appointment

Next

Review your selected appointment options. Then click **Save Appointment**.

You will then be sent an appointment confirmation email.

An Appointment has been Scheduled.

You have been sent this email because an appointment has been scheduled for a student that this email is assigned to your. Details are included below.

Appointment Attendees: Sharon Biggs Henry Kent (w/ 205.673.5617)
Type: Advising Appointment Topic: Advising Appointment Comments: Location: Advising Building Date of Appointment: April 10 2014 Time: 4:00p-4:10p

Any Additional Questions?
Please contact the GradesFirst Administrator at your school for additional information or to answer questions about features of this email.

Why are we reaching this?
Your school uses GradesFirst to increase student success and this email is sent as a courtesy to you. If you have any questions regarding the validity or security of the email, please contact your Advising Center, the GradesFirst Administrator at your school, or GradesFirst Support. We will be happy to answer any questions.

Thank you for using GradesFirst!
Advising Appointment Confirmation Email 2014.03.10

GradesFirst

Email an advisor or professor

Click the send a message tab on your student home page.

Student Home

Class Information Reports Calendar **Send a Message**

Classes This Term

Actions	PROFESSOR	DAYS/TIMES	MID	FINAL	ABSENCE	TAKE ACTION
<input type="checkbox"/>	DR101-1 Drama for Lifters	Terry Crews	TR 1:00a-2:00a Room 881	A	B	2 (2) Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	E101-1 English	Hem Grove	TR 9:30a-11:00a Room 901	B	A	0 (0) Request Tutor Appt. Schedule Tutor Appt.

Get Advising

Get Tutoring

Quick Links

Take me to...

[School Information](#)

Study Hall Information

Click the box next to Advisor or Professor you would like to message.
Click Actions in the top left corner.

Student Home

Class Information Reports Calendar **Send a Message**

Actions

NAME	RELATIONSHIP
<input type="checkbox"/> Dina Celeste	Advisor
<input type="checkbox"/> Joshua Clathar	Advisor
<input type="checkbox"/> Phil DeCarlo	Advisor
<input type="checkbox"/> Jennifer Kentera	Advisor
<input type="checkbox"/> Heather McCarthy	Advisor
<input type="checkbox"/> Ken Parker	Advisor
<input checked="" type="checkbox"/> Christine Passmore	Advisor
<input type="checkbox"/> KEVIN STOEHR	Professor (HIS WEST ETH 1)
<input type="checkbox"/> JOHN MCGRATH	Professor (REVO RUS/CHINA)
<input type="checkbox"/> CHELSEY PHILPOT	Professor (INTRO COM WRITNG)
<input type="checkbox"/> ANA ALBUQUERQUE	Professor (FINANCIAL ACCT)
<input type="checkbox"/> MOSHE HAGIGI	Professor (FINANCIAL ACCT)

Get Advising

Get Generalized Tutoring

Quick Links

Take me to...

[School Information](#)

Upcoming Appointments

- Tutoring - INTRO AF/AM LIT
Allison English
07/21/2015 04:00 PM
- Advising
Phil DeCarlo
07/22/2015 02:00 PM
- Tutoring - INTRO AF/AM LIT
Allison English
07/28/2015 04:00 PM

Click send message.

SEND A MESSAGE

Send E-mail

To: Christine Passmore

Subject:

Message:

Send Additional E-mail Notifications To:

Cancel **Send Message**

Track your study hall hours

Go to your student home page. Study hall information is located on the right side of the screen.

Student Home

[Class Information](#) [Reports](#) [Calendar](#) [Send a Message](#)

Classes This Term

Actions ▾						
<input type="checkbox"/>	CLASS NAME	PROFESSOR	DAYS/TIMES	MID	FINAL	TAKE ACTION
<input type="checkbox"/>	CASAA103-Test Section INTRO #8/AM LIT	JOHN ANTONIACCI	MWF 9:00a-10:00a Test Location			Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	CASAA207-Test Section RACEÐNIC REL	PAUL ANDERSON	MWF 11:00a-12:00p Test Location			Request Tutor Appt. Schedule Tutor Appt.
<input type="checkbox"/>	CASAA215-Test Section ARTS OF AFRICA	MARYANN AMOED	MWF 1:00p-2:00p Test Location			Request Tutor Appt. Schedule Tutor Appt.

Assignments This Term

CLASS	DUE DATE	ASSIGNMENT	STATUS
There are no class assignments listed for this student.			



[Get Advising](#)

[Get Generalized Tutoring](#)

Quick Links

[Take me to...](#)

[School Info](#)

Study Hall Information



Study Hall Stats

Today:

0min

This Week:

0min

Required Time:

4hr 0min


Last Week

2min

You will see how much time you have completed, what you have remaining this week and your total required time.

View your calendar (sync to your Gmail calendar)

Weekly view of calendar



My Calendar

Calendar View | List of Calendar Items | Subscriptions

LEGEND ☒ ADVISING ☒ ASSIGNMENT ☒ TUTORING ☒ GENERAL ☒ COURSE ☒ FREE BUSY ☒ CANCELLED

Get Advising | Print Calendar

Jul 24 – 30 2016

today < day week month >

	SUN 7/24	MON 7/25	TUE 7/26	WED 7/27	THU 7/28	FRI 7/29	SAT 7/30
all-day							
9am		9:00 - 10:00 CASAA103-Test Section INTRO AF/AM LT		9:00 - 10:00 CASAA103-Test Section INTRO AF/AM LT		9:00 - 10:00 CASAA103-Test Section INTRO AF/AM LT	
10am							
11am		11:00 - 12:00 CASAA207-Test Section RACE/ETHNIC REL		11:00 - 12:00 CASAA207-Test Section RACE/ETHNIC REL		11:00 - 12:00 CASAA207-Test Section COMMODITY REVOL RUS/CHINA	
12pm							
1pm		1:00 - 2:00 CASAA215-Test Section CYSHJ201-1/2 HIS AFRICA		1:00 - 2:00 CASAA215-Test Section ARTS OF AFRICA		1:00 - 2:00 CASAA215-Test Section ARTS OF AFRICA	
2pm		1:00 - 2:00 WEST ETH I		2:00 - 2:30 Advising			

Practice can be added. All tutoring and advising appointments will be added automatically as well as your class schedule. You can also sync this to your gmail calendar (therefore your phone).

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Calendar View

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**Capital Projects and Bond Oversight Committee
Meeting Agenda
Tuesday, April 20, 2021 1:00 PM
Annex Room 171**



- 1. Call to Order and Roll Call**
- 2. Election of Co-Chairs**
- 3. Approval of Minutes (March 15, 2021)**
- 4. Information Items**
 - A. KRS 26A.168(1), KRS 45.793, and KRS 45.818 Quarterly Capital Projects Status Reports**
 - B. KRS 45.760(5) Research Equipment Purchase - University of Louisville**
 - C. KRS 45.812(1) School District Debt Issues**
 - 1. New Projects**
 - a. Breathitt County**
 - b. Clay County**
 - c. Daviess County**
 - 2. Refunding - Oldham County**
 - D. KRS 56.863(11) Semi-Annual Report of the Kentucky Asset/Liability Commission**
 - E. State Property and Buildings Commission Approval of Northern Kentucky University General Receipts Bonds, 2021 Taxable Series A (KERS Cessation Agency Bond Issue)**
 - F. Previous School District Debt Issues with School Facilities Construction Commission Debt Service Participation Quarterly Report**
- 5. Action Item - Public-Private Partnership Report from Kentucky State University - Construct New Residence Hall**

Dr. Elaine Farris, Chairwoman
Kentucky State University Board of Regents

Dr. M. Christopher Brown II, President
Kentucky State University

Douglas R. Allen II, Executive Vice President for Finance and
Administration/Chief Financial Officer
Kentucky State University

Meeting materials may be accessed online at <https://apps.legislature.ky.gov/CommitteeDocuments/13>
In response to COVID-19, access to the Capitol Annex and Capitol is closed to the public. Kentucky Education Television (KET) provides coverage of legislative meetings (<https://www.ket.org/legislature>) and if a scheduling conflict arises, proceedings will livestream on the following site:
<https://legislature.ky.gov/Public%20Services/PIO/Pages/Live-Streams.aspx>
State BondLink Investor Relations and Debt Calendar may be accessed at <https://bonds.ky.gov> and <https://finance.ky.gov/services/ofm/Documents/Bond%20Calendar%20Weekly/CurrentBondCalendar.pdf>.

**6. Action Item - Project Report from the Finance and Administration Cabinet -
New Project - Transportation Cabinet, General Administration and Support,
Facilities Technology Modernization**

Janice Tomes, Deputy State Budget Director
Office of the Budget Director

7. Office of Financial Management

A. Action Item - Kentucky Infrastructure Authority

Sandy Williams, Deputy Executive Director
Kentucky Infrastructure Authority

**1. Clean Water State Revolving Fund (Fund A) Program Loans and
Loan Increases**

- a. Lexington-Fayette Urban County Government (Increase),
A15-026**
- b. City of Georgetown (Increase), Scott County, A19-009**
- c. Paducah-McCracken County Joint Sewer Agency
(Increase), A20-032**
- d. City of Fleming-Neon, Letcher County, A21-026**
- e. City of Russell Springs, Russell County, A21-034**

**2. Drinking Water State Revolving Fund (Fund F) Program Loans
and Loan Increases**

- a. City of Burnside (Increase), Pulaski County, F19-005**
- b. Adair County Water District (Increase), Adair County,
F20-009**
- c. City of Fleming-Neon, Letcher County, F21-004**
- d. Louisville Water Company, Bullitt County, F21-040**
- e. City of Augusta, Bracken County, F21-052**

**B. Action Item - Cabinet for Economic Development - Economic
Development Fund Grant - City of Bowling Green on behalf of Ball
Metal Beverage Container Corporation**

Katie Smith, Commissioner, Department for Financial Services
Cabinet for Economic Development

C. Office of Financial Management

Ryan Barrow, Executive Director
Office of Financial Management

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<https://finance.ky.gov/services/ofm/Documents/Bond%20Calendar%20Weekly/CurrentBondCalendar.pdf>.

**1. Action Item - New Debt Issue - State Property and Buildings
Commission - Revenue Refunding Bonds, Project No. 125 Series
A, Series B (Federally Taxable), Series C, and Series D**

2. Previous Kentucky Housing Corporation Conduit Debt Issues

- a. KHC Conduit Multifamily Housing Revenue Bonds
(Winterwood II Portfolio), Series 2021**
- b. KHC Conduit Multifamily Housing Revenue Bonds
(Jefferson Landing Project), Series 2021**
- c. KHC Conduit Multifamily Housing Revenue Bonds (New
Hope Properties), Series 2021**

**D. Action Item - School District Debt Issues with School Facilities
Construction Commission Debt Service Participation**

Ryan Barrow, Executive Director
Office of Financial Management

1. New Projects

- a. Calloway County**
- b. Hardin County**
- c. Scott County**

2. Refunding - Boyd County

8. Next Meeting Date:

May 18, 2021, 1:00 PM, Representative Chris Freeland, Chair

9. Adjournment

Meeting materials may be accessed online at <https://apps.legislature.ky.gov/CommitteeDocuments/13>
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Kentucky Council on Postsecondary Education

Andy Beshear
Governor

100 Airport Road, 2nd Floor
Frankfort, Kentucky 40601
Phone: 502-573-1555
<http://www.cpe.ky.gov>

Aaron Thompson, Ph.D.
President

May 3, 2021

Dr. Walter Malone III
Kentucky State University
400 E. Main Street, ASB Suite 406
Frankfort, KY 40601
walter.malone@kysu.edu

Dear Dr. Malone:

Thank you again for the submission of your grant proposal. We were overwhelmed by the outstanding responses we received for the 2021 summer bridge program. I am pleased to advise you that the review committee met recently and determined that your proposal met the required score of 70 or above, and has been provisionally approved pending budget clarification.

The Kentucky Council on Postsecondary Education (CPE) currently has an existing Governor's Emergency Education Relief (GEER) funded contract with your institution. Our business office will reach out within the coming weeks to clarify items contained in your budget and discuss modifying the existing contract to add funds for the summer bridge program. A report template will be included in the contract documents that will need to be completed at the end of the summer program and will be due by Sept. 30, 2021. A final program report will be due upon the conclusion of the fall semester and will be required to be submitted by Jan. 31, 2022.

In the meantime, if you have any questions please contact Executive Director of K-12 Policies and Programs Dr. Jennifer Fraker via email at Jennifer.Fraker@ky.gov. We look forward to partnering with you and are grateful for your continued commitment to Kentucky's students.

Sincerely,

A handwritten signature in blue ink that reads "Aaron Thompson".

Aaron Thompson, Ph.D.
President

Black Colleges and Universities: Charting a Path to Transformational

D diverseeducation.com/article/212213/

In 1967, my third year at Grambling College before, in a nod to trendiness it became Grambling State University, the campus was roiled by an article in the *Harvard Educational Review*. Titled “The American Negro College,” its authors made several bold assertions, or more precisely broadside attacks against the integrity and legitimacy of Black colleges and universities, today commonly referred to as HBCUs.

Grambling was not alone in its reaction to the article. Administrators, faculty and staff, students and alumni at HBCUs across the country were deeply troubled by the article’s accusations, most of which lacked empirical support. Grambling had just begun hiring a few white faculty. Understandably, the article raised suspicions about white faculty: Why are they here? What are their motivations? Are they gathering information to write more vicious articles?



I have held on to that monograph, studied it and re-read over the past fifty years. It is worn and dog-eared yet it continues to inform me. I credit this article with inspiring me to become a serious student of HBCUs, not as an alum with romanticized notions about Greek life and college sports, but as an area of intellectual interest and scholarly pursuit. The women and men who founded Black colleges and universities understood the gravity of the mission. Their immeasurable sacrifices are embedded in the fabric of every HBCU, each of which has a unique story to tell. Then as now, HBCUs must be taken seriously and it is incumbent upon leadership to exemplify as Dr. Michael Lomax, United Negro College Fund (UNCF) President wrote recently. In a thoughtful and informative *Atlantic* article Lomax made a compelling case for increasing support of Black colleges and universities. Countless others have made the case before but history informs us that biases are not easily reversed. Unfortunately, deeply flawed perceptions of “The American Negro College” persist.

Recent, so-called “transformational” gifts to HBCUs, most notably MacKenzie Scott’s multi-million dollar beneficence to twenty-two public and private HBCUs, present huge opportunities to reimagine and reposition some extraordinary institutions. No reasonable person can deny that these gifts with no strings attached represent a significant investment in these schools as well as an affirmation of their worth. They are not, ipso facto, transformational, however. These monies have the potential to be transformational only if meaningful conversations occur among institutional leadership, governing boards and stakeholders. Transformation will occur only if the recipient institution is strategic and intentional in the use of these funds. I admit to some reticence based on experience and research and I hope that institutional leaders and governing boards will take heed.



Dr. Alvin J. Schexnider

A major challenge confronting all of higher education is achieving effective board governance. There is a dearth of leadership talent among most sectors of society and higher education is no different. Sound leadership is essential in the presidency and on governing boards. As well, governance must be shared among institutional stakeholders. The top-down, authoritarian model of leadership characteristic of many schools in the nineteenth and early twentieth centuries no longer works at the most respected research universities and liberal arts colleges.

In fifteen years as a board governance consultant I have never seen a university or college that is better than its board. Building an effective board is not unlike assembling the finest musicians to create a symphony orchestra. The talent may be there but it takes exceptional leadership to produce the desired results. Building an effective board falls on the shoulders of the board chair and the president. Together, they have the greatest influence in shaping board effectiveness. They also understand that irrespective of title—trustee, regent, visitor or curator—the fulfillment of fiduciary duties is paramount.

No president, however brilliant, visionary or energetic can lead by herself or himself. That is not to say that board governance intrudes on the executive role or vice versa. An effective board understands its role in assuring institutional oversight and accountability and appreciates the importance of strategic decision-making.

Board service is no longer honorific. An effective governing board is diverse and takes the long view and demands certain skill sets: knowledge of the academy, understanding higher education finance, technology, marketing and branding, fundraising and risk management, for example.

Recruiting and retaining high caliber talent to the presidency and to serve on governing boards of colleges and universities including HBCUs is a tall order, but it can and must be done.

Money alone will not cure what ails HBCUs and neither will it render these iconic institutions transformational. HBCUs are not monolithic. Some were in the process of transformation before receiving a multi-million dollar gift. Others may not be interested in transformation at all. For those that seek transformation funding may move them in that direction but that is not assured. And that is why the HBCUs that received recent financial windfalls recognize that transformation will not occur without intentionality and strategic choices. Otherwise, they will remain on a path toward survival when the goal must be sustainability.

Dr. Alvin J. Schexnider is a former chancellor of Winston-Salem State University. He is the author of Saving Black Colleges (Palgrave MacMillan 2013) and Confessions of a Black Academic, forthcoming.

What Kind of Board Member Are You?

BY MARLA J. BOBOWICK

DURING A YEAR-LONG PROCESS, AGB developed and published the *Principles of Trusteeship*—a set of nine principles designed to enhance the effectiveness of individual board members. It is AGB's hope that board members will adopt these principles as bedrock and then apply them to their needs and circumstances throughout their board service.

Your college or university faces daunting demands for change in the midst of great uncertainty, societal ruptures, and unprecedented fiscal pressures. You and your fellow board members hold the institution in trust for current and future generations. How is the board responding to these challenges? What are you doing as a trustee?

Boards must govern better and differently to recover from the pandemic and to overcome the underlying conditions of disruption and governance failures in higher education. Now is the time for every trustee to step up in support of good governance and the academic enterprise for which they are responsible.

To help board members rise to this challenge, AGB has developed a set of guiding principles of trusteeship. Over the course of a year, AGB engaged thought leaders and members in defining the attitudes and behaviors of highly effective trustees. We distilled them into a set of principles that are foundational to good governance. They build upon the work of the board, and they speak to the work of individual trustees.

TAKEAWAYS

- The *Principles of Trusteeship* lays out a vision for more effective boards based on more effective board members. To help individual board members become more effective, AGB developed a set of nine principles nested within three core functions: understand governance, lead by example, and think strategically.
- Being a great trustee begins with knowing what your job is, and what it isn't. It is about sharing leadership with fellow trustees, the president and administration, and the faculty—but it also requires respecting the boundaries between the board and the administration.
- Trusteeship is about the future. It means keeping an eye on the horizon and thinking about the enterprise as a whole. It is also about listening and learning. It means asking the right questions.
- Trusteeship requires impeccable integrity. Because the board sits at the top of the hierarchy in an academic enterprise, trustees must model the institution's ethics and values.
- Trusteeship is not about sitting on the board; it is about serving on the board. It is a calling for those who want to make a difference in a number of ways.

What Do We Know for Sure?

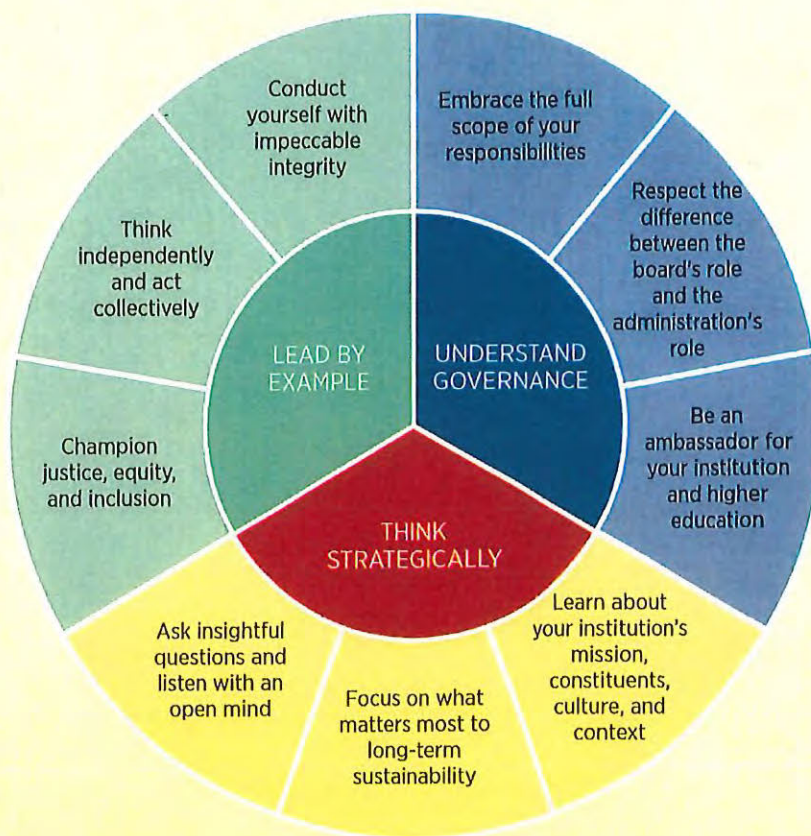
The value of the board should be greater than the sum of its parts. We focused on individual board members because the quality of governance depends on the caliber and commitment of the individuals involved. Without great trustees, boards will not have the strength and stamina to do the hard work needed to ensure vitality to our colleges and universities.

Governance is a team sport. Most governance guidance focuses on the work of

the board—hiring the president, providing financial oversight, and setting policy. These responsibilities are essential and collective, but they lack clear and compelling guidance about what individual trustees should do and how to share leadership with other partners in governance. Board work is, at its heart, a collective effort and everyone has a vital role to play.

Trusteeship is about serving—not sitting—on the board. It requires much more than showing up at board meetings. It's also

FIGURE 1. TRUSTEESHIP MANDALA



about what happens between them. When asked to describe their best board members, trustees, presidents, and board professionals shared examples of individuals who were deeply engaged in the most important issues, at the right time, and in the right way.

What Can You Do?

On the surface, it seems simple: understand governance, think strategically, and lead by example. In practice, it's not so easy. Good governance depends on clarity, communication, and collaboration. It requires real time and concerted effort. It changes as players and circumstances change. The core remains constant. To make it more accessible, we have broken it down into nine principles and nested them within the

three fundamental functions: understand governance, think strategically, and lead by example (see figure 1. Trusteeship Mandala).

Understand Governance

Being a great trustee begins with knowing what your job is and what it isn't. For starters, you should have received a statement of board responsibilities during orientation. But board work often feels unfamiliar. In our personal and professional lives, we're usually tasked with deciding what to do and then doing it. Governance bifurcates these functions and disperses them across committees, the administration, and the faculty, as well as foundations and/or systems in public enterprises. Seek to understand how this plays out at your institution.

Putting the Principles into Practice

LEADING AN ACADEMIC ENTERPRISE that changes the lives of individuals, communities, and our world should be exhilarating. But it isn't easy. How would you handle these situations?

Reading Committee Reports Closely

The board packet included an update from the student affairs committee about campus health and safety. The backup report noted that during the past 10 years the college's student population had increased by 48 percent and the number of students seen by the counseling center had increased by 176 percent. This reminded one of the new trustees of a post she saw on a social media group for parents: "My son's really struggling with anxiety this semester due to his heavy class load. Can anyone recommend a local therapist?" Several parents chimed in with similar stories. During the board meeting, the trustee asked how the college was responding to mental health issues. The director of student affairs explained that the college had a student hotline, an online self-help program, and 1.5 new staff in the counseling center. When the trustee asked

what else the college might do, the finance committee chair jumped in, "We'll have to wait until the next budget because we're under a hiring freeze this year."

Crossing the Line

The advancement committee chair emailed the university president, "Our chief development officer has been absolutely terrific on the capital campaign this year. I think a significant raise plus a sizable bonus would be in order when we set compensation for the upcoming year." The president responded, "Thanks for the positive feedback. It's so great when a regent recognizes the hard work of our leadership team." What the president didn't know was that, separately, the committee chair had asked the vice president for human resources to provide compensation data for chief development officers at 10 peer institutions.

Responding to Public Pushback

A community member cornered a board member at the local supermarket to complain about a recent controversial board decision that made the front page of the newspaper. The board member

responded, "Thanks for your concern. I'll share this with the president and the board." The board had agonized over the issue and the vote was not unanimous, but board members all agreed that the process had been thorough. Rather than being defensive or off-putting, this board member listened and responded without making any promises on behalf of the university. He also sent a quick note to the president and board chair to let them know about this encounter.

Sharing Subject Matter Expertise

A new board member with extensive experience in public financing shared that his organization had issued a century bond, which was a highly unusual business strategy at the time. The university CFO and most board members dismissed the idea at first. After considerable research, the board concluded that this approach made sense given that the university was likely to exist for another 100 years and given historically low interest rates. The board would not have pursued this option without a board member who had the experience and the patience to help the finance committee and then the board work through their concerns.

FIGURE 2. THE PRINCIPLES OF TRUSTEESHIP

The nine Principles of Trusteeship are designed to empower individual trustees to serve as partners in governance and leadership with their boards and presidents. This table unpacks the attitudes and actions of a highly effective board member. Board service extends beyond the board meeting, and trustees wear multiple hats:

- As a **fiduciary**, each trustee is responsible for the sustainability of the whole enterprise. Fiduciary work is tied to shared responsibility and collective action.
- As a **member of a team**, a trustee works alongside fellow board members and the administration. Everyone is expected to come prepared, participate productively, and support the will of the group.
- As an **individual**, a trustee brings unique expertise and experience, time and talent. This happens inside and outside the boardroom as each person contributes and collaborates in personal ways.

	FIDUCIARY	TEAM MEMBER	INDIVIDUAL
UNDERSTAND GOVERNANCE			
Embrace the full scope of your responsibilities	Fulfill your fiduciary responsibilities	Recognize that governance is a collective endeavor	Prepare in advance, show up fully present, and participate productively
Respect the difference between the board's role and the administration's role	Honor the academic norm of shared governance, which includes the president, administration, and faculty	Be humble and respect your partners in governance and leadership	Provide advice and counsel but leave operational decisions to the administration.
Be an ambassador for your institution and higher education	Advocate on behalf of your institution and higher education	Represent the institution proudly and recognize who speaks for the board and for the institution	Engage actively, appropriately, and appreciably.
LEAD BY EXAMPLE			
Conduct yourself with impeccable integrity	Act in the institution's best interests, putting them ahead of your personal preferences and political allegiances	Preemptively disclose conflicts—actual and perceived—and dualities of interest	Uphold the highest ethical standards
Think independently and act collectively	Constructively challenge and support the president, administration, and committees	Speak up on important issues, even if they are uncomfortable or unpopular	Express your concerns diplomatically to the appropriate person(s) at an appropriate time
Champion justice, equity, and inclusion	Protect and promote justice and equity throughout the enterprise	Seek diversity and model inclusion	Be mindful of how your experience shapes your assumptions
THINK STRATEGICALLY			
Learn about your institution's mission, constituents, culture, and context	Shape your institution's vision and strategy based on its unique purpose and constituents	Understand the present state of the enterprise and focus on its future needs	Become a student of higher education
Focus on what matters most to long-term sustainability	Make decisions based on the strategy and vitality of the entire enterprise	Help define what constitutes success for your institution	Focus your personal and professional talents on significant strategic issues
Ask insightful questions and listen with an open mind	Pose the right questions, rather than prescribe answers	Listen actively and seek to understand	Bring genuine curiosity and an open mind to board service

**Boards must govern better and differently to recover from the pandemic
and to overcome the underlying conditions of disruption and governance failures in higher education.
Now is the time for every trustee to step up in support of good governance
and the academic enterprise for which they are responsible.**

Being a great trustee is about sharing leadership with fellow trustees, the president and administration, and the faculty. More often than not, the heavy lifting is done by others who have more subject matter expertise and frontline responsibility. Then the board explores the issues and considers the recommendations. This hand-off requires the board to respect subject matter experts but does not require unconditional acceptance. It does not excuse or exclude trustees from governing; rather, it depends on information sharing from the administration and due diligence by board members. Follow guidance from your partners in governance, and make thoughtful and informed decisions.

Being a great trustee requires respecting the boundaries between the board and the administration. These boundaries can be blurry. Sometimes, it's about making informed decisions, but more often it's about informing decisions. Board work has always included updating investment policies and reviewing pending lawsuits. These days, it also includes tough calls about room-and-board refunds and reductions in workforce. Remember that trustees provide insight and oversight but don't manage or implement.

Think Strategically

Being a great trustee means keeping an eye on the horizon. It's easier to pay attention to the recent past and pressing present. It's harder to keep the big picture and future in focus—especially in the aftermath of a global crisis. As the board approves plans for the near term, trustees need to also keep in mind what matters to long-term sustainability. How will demands for hybrid learning and accelerated programs affect campus life? What are the implications of declining birthrates and income inequality on our business model?

Being a great trustee means asking the right questions. For board members, this can be challenging because higher education is an unfamiliar industry and academic enterprises are complex. Trustees need support from the administration in the form of good information and honest conversations. For their part, trustees need to do their homework and bring an inquisitive, open mind to their work. Institutional success is more likely when trustees serve not as rubber stamps but as strategic thought partners with the president to determine the best path forward. Come prepared to frame the issues and wrestle with the solutions together with the administration.

Lead by Example

Being a great trustee requires impeccable integrity. Because the board sits at the top of the hierarchy in an academic enterprise, trustees must model the institution's ethics and values. As a board member, you not only have to put the interests of the institution first, you also have to hold the institution accountable to those standards. If you see something, say something. Board members who look the other way about questionable business partners or unethical behavior put the institution's reputation at risk. Use your board's code of conduct and your institution's values statements as a touchstone when making decisions.

Being a great trustee requires independent thinking and collective action. *Thinking independently* happens inside the boardroom when trustees ask questions, probe for more information, and challenge assumptions. This may be uncomfortable and unpopular with fellow trustees, the administration, or other stakeholders, but used constructively, it leads to robust discussion and better solutions. *Acting collectively* happens outside the boardroom, where the board speaks with a singular voice and trustees stand united behind decisions. Respect that the president is the spokesperson for the institution, and the chair is the spokesperson for the board.

What Will You Do?

Your academic enterprise needs you, now! Better governance happens one trustee at a time. To help you bring your best, most prepared self to your board, set aside some time for reflection. Read the *Principles of Trusteeship: How to Become a Highly Effective Board Member*, which can be downloaded from www.agb.org. Reflect on how you can contribute to great governance. Talk about it with fellow trustees to bolster your board's culture and camaraderie.

Trusteeship is not an easy endeavor for the faint of heart. But it is a calling for those who want to make a difference. Leading an academic enterprise that changes the lives of individuals, communities, and our world should be an exhilarating experience. Now is the time to step up in support of good governance and the academic enterprise for which you are responsible. ■

Marla J. Bobowick is a governance consultant who served as the project director for the AGB Principles of Trusteeship project.
Email: marla@bobowickconsulting.com



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Apax Software Contract
FROM: ACADEMIC AFFAIRS
ACTION ITEM: YES

BACKGROUND: Dr. Bob Durborow is the Interim Research Associate Director and State Extension Aquaculture Specialist & Professor for the College of Agriculture, Community, and the Sciences. He is also the principal investigator for the United States Department of Agriculture Capacity Building Grant for a project entitled, *Developing a Mobile App for Fish Disease Identification and Treatment, and Expanding a Commercial Database for Fish Disease Diagnostics*. At the December 5, 2019 Board of Regents meeting, the Board approved a contract with Apax Software as a mobile application developer for this project. Dr. Durborow's **project** is now ready for Phase 2, and he now requests approval for a contract with Apax Software for \$168,000 in order to complete the mobile application development work on this project which ends on April 30, 2022.

SUMMARY OF PROGRAMS/ACTIVITIES:

Board approval is required for this contract is required pursuant to the Gold Book: Bylaws of the Kentucky State University Board of Regents, Article II, Section 2(p). Article **II, Section 2(p) of the Gold Book states that the Board shall, "[a]pprove any appropriation, expenditure, disbursement or contract greater than \$50,000..."** As such, this contract is being presented to the Board for review and approval.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: The College of Agriculture, Community, and the Sciences as well as the University as a whole will benefit from the approval.

FISCAL IMPLICATIONS: Federal funds from the USDA will fund this contract.

RECOMMENDATION: Kentucky State University Board of Regents approve the Apax Software Personal Service Contract.

ATTACHMENTS: YES



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Extended Faculty Leave Request
FROM: ACADEMIC AFFAIRS
ACTION ITEM: YES

BACKGROUND: The *Kentucky State University Faculty Handbook*, as revised on August 27, 2019, Section 2.12.4, states that:

A professor, associate professor, or assistant professor who has been employed for at least two consecutive years, may be granted an extended leave of absence without pay for a period of one full year or one semester. The leave shall be for the purpose of graduate study, teaching, and/or **research...**

Dr. John Gregory, Director of Veteran and Military Student Affairs is requesting this leave beginning in June, 2021.

SUMMARY OF PROGRAMS/ACTIVITIES: **Kentucky's** Lieutenant Governor, The Honorable Jacqueline Coleman, invited him to serve as the Executive Director of Adult Education/Kentucky Skills U for the State of Kentucky. In this role, he will help to research and reimagine education for the Education and Workforce Development Cabinet.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: None



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

RECOMMENDATION: Kentucky State University Board of Regents approve the one year extended leave for Dr. John Gregory.

ATTACHMENTS: YES



3 May 2021

MEMORANDUM THRU:

Office of Academic Affairs, Provost and Vice President

SUBJECT: Extended Leave (unpaid) from Kentucky State University (KSU) ref Dr. John C. Gregory (11084991).

FOR: Office of the President

1. I am requesting to take a one year extended leave from KSU in order to work in the Education and Workforce Development Cabinet. Lieutenant Governor Coleman selected me as the Executive Director of Adult Education/Kentucky Skills U for the state of Kentucky.

2. My duties and responsibilities as Executive Director will include the development of strategic partnerships and innovative programs in support the Lieutenant Governor's goal of reimagining the Education and Workforce Development Cabinet as the "Cradle to Career" organization. Kentucky Skills U is a network of providers, including KCTCS colleges, Boards of Education and Co-ops, 4-year universities, and community-based organizations. My goal will be to ensure that all citizens of Kentucky have the opportunity and the access to obtain a high school credential and pursue post-secondary or vocational opportunities in order to meet their academic or employment skill-building needs.

3. My selection as Executive Director will provide visibility for KSU at the highest levels of state government as well as nationally at education and workforce development conferences and seminars. Potential opportunities to explore partnerships with KSU and perhaps Simmons College to address some of the systematic challenges in locations like West Louisville that prevent eligible candidates from pursuing their educational and training aspirations is a possibility in the future.

4. This executive level leadership experience in conjunction with my military background and leadership acumen will make me a more valuable asset to KSU upon my return.

5. The point of contact for this memorandum is the undersigned, 502-597-6795, john.gregory@ksu.edu

John C. Gregory, Ph.D.

Director, Veterans & Military Student Affairs

Assistant Professor, School of Public Administration and Government

IN ALL THINGS EXCELLENCE

Kentucky State University is an equal educational and employment opportunity/affirmative action institution.



May 5, 2021

Dr. John Gregory
Director of Veteran and Military Student Affairs
Academic Services Building; Suite 305
Frankfort, KY 40601

Re: Request for Extended Leave

Dear Dr. Gregory:

I am in receipt of your letter/email dated May 3, 2021, requesting unpaid, extended leave for the 2021-2022 academic year.

The Kentucky State University Faculty Handbook, as revised on August 27, 2019, Section 2.12.4, in pertinent part, states as follows:

2.12.4 Extended Leave

A professor, associate professor, or assistant professor who has been employed for at least two consecutive years, may be granted an extended leave of absence without pay for a period of one full year or one semester. The leave shall be for the purpose of graduate study, teaching, and/or research...

After review of your submission, I will now forward your request and supporting documentation to President Brown. Please be advised that pursuant to 2.12.4 of the Faculty Handbook, an extended leave of absence without pay may be granted only on the recommendation of the President and with approval of the Board of Regents. The next regularly scheduled Board Meeting is scheduled for June 3-4, 2021.

If you have any additional questions and/or concerns, please do not hesitate to contact me.

As always, I remain

Sincerely,

Lucian Yates, III, Ph.D.
Interim Provost and VP for Academic Affairs

IN ALL THINGS EXCELLENCE

Kentucky State University is an equal educational and employment opportunity/affirmative action institution.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Interfolio Software Contract
FROM: ACADEMIC AFFAIRS
ACTION ITEM: YES

BACKGROUND: Kentucky State University entered into a three-year contract with Interfolio in 2018. The goal of this purchase was to create a sustainable and efficient process for capturing faculty activity such as professional growth, presentations, community service, and scholarly work. The initial agreement supported Faculty Activity Reporting, Review, Promotion, and Tenure, as well as a Faculty Search component.

Implementing the Interfolio service created an electronic submission platform to collect evidence from faculty on their activities. The system further allowed faculty to organize this evidence in a Dossier for submission in the Review, Promotion, and Tenure process.

Kentucky State University is now in its third year of its contractual agreement with Interfolio. Kentucky State University staff has now reviewed institutional needs and recommends renewal of the contract minus the Faculty Search component which will yield a cost savings of \$6,373.97 over the next three years.

SUMMARY OF PROGRAMS/ACTIVITIES:

Professional learning to support the use of the system along with technical assistance will increase efficiency. The Interfolio service will provide faculty a repository to place documentation of their scholarly work, teacher evaluation data, community service, professional learning, and achievements. This service is available to faculty regardless if they are planning or scheduled to enter the Review, Promotion, and Tenure process.



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Upon entering the Review, Promotion, and Tenure process, faculty will have the aforementioned repository of documentation to assemble a digital dossier ready for submission. The Interfolio system also allows the review and approval process of each candidate's dossier electronically. The review process is aligned with the KSU approved

Tenure and Promotion Schedule. All decisions, letters, and recommendations are stored in the system under the candidates' accounts.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 2: Achieve Academic Excellence Across all Programs and College, Increase Student General Education Skills, Degree Persistence, Career Readiness, and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: By maintaining the Interfolio system, continuous improvements can be achieved in the Tenure and Promotion process as well as consistency amongst the faculty as it relates to major academic achievements and to ensure that there is an adequate repository for all documents.

FISCAL IMPLICATIONS: \$82,660.68

RECOMMENDATION: Kentucky State University Board of Regents approve the Interfolio Software Contract.

ATTACHMENTS: YES



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Career Services and Professional Development Update
FROM: ACADEMIC AFFAIRS
ACTION ITEM: NO

BACKGROUND: In July of 2019, Daryl Love was hired as the AVP of Career Services and Professional Development, and given the charge of developing a Career Center that would help develop, prepare and connect our students to internships, employment and/or graduate/professional school opportunities upon graduation. This would be achieved by hiring a department staff, implementing and utilizing technology to drive student engagement and department efficiency, developing relationships with public and private sector organizations, hosting various personal/professional development workshops, and collaborating with academic affairs and student affairs.

SUMMARY OF PROGRAMS/ACTIVITIES:

1. Hired Dr. Tonika East as the Associate Director of Career and Professional Development.
2. Implemented *Handshake*, an online career services platform. Handshake provides internship/job search, manages student advising, and career fair management.
 - Activated Handshake Accounts: 1,041 undergraduates, 37 graduates, and 72 alumni
 - 4,027 employers connections and 7,516 employment opportunities posted
3. Purchased and implemented the following online tools:
 - *Quinnia* - an AI-based platform that helps students with resumes and interview skills
 - “**What** Can I do with This Major?” – shows typical careers for a given major
 - *CareerShift* - a robust job search tool, more opportunities beyond Handshake



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4. Developed key partnerships with organizations such as:
 - Kroger
 - Humana
 - Deloitte
 - Diageo
 - Dinsmore
 - Accenture
 - KY Personnel Cabinet
 - MedPro Group
 - Kentucky Chamber of Commerce
 - Kentucky Farm Bureau.
5. Conducted two Virtual Career Fairs and one Virtual Graduate and Professional Schools Fair.
6. Conducted 210 student advising sessions, and hosted ~ 17 personal/professional development workshops.
7. Expanded the career readiness of students
 - 10 students participated in the Spring Deloitte Mentoring Program
 - Five students participated in 2020 TMCF Leadership Development Institute (two students participated in 2019)
 - Two students were selected for the White House HBCU Scholars Recognition Program
 - Two students applied for the Dinsmore summer internship program
 - Two students were provided paid-internships using Project Success grant funding
 - Finalized an MOU with the MedPro Group to offer students an insurance training certificate program
8. Developed and launched the KSU Student Success Academy – 50 participants.
9. Launched a cross-campus Internship Advisory Council that created and finalized employer guidelines.



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10. Conducted the National Association of Colleges and Employers (NACE) First Destination Survey for Fall 2020 (51% response rate) and Spring 2021 (in-progress) to track post-graduation outcomes.
11. Assisted with the implementation and launch of InScribe to improve student communication and engagement.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

PROGRAM IMPLICATIONS: Increased employer and external stakeholder engagement, created and expanded resources for students in the career search process, and improved the infrastructure and efficiency of the Career Services office.

FISCAL IMPLICATIONS: Funding to support the expansion of Career Services is provided by Title III.

RECOMMENDATION: N/A

ATTACHMENTS: NO



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: CPE Diversity Plan Update
FROM: ACADEMIC AFFAIRS
ACTION ITEM: NO

BACKGROUND: In September 2016, the Kentucky Council on Postsecondary Education (CPE) established the Diversity, Equity and Inclusion Policy, requiring all University campuses to create a Diversity Plan and to submit an annual progress report outlining goal attainment. The report is submitted in the Spring semester and documents achievement of performance criteria during the previous academic year. Evaluation of the self-reported results is conducted by a blind review process at CPE and the results are shared through an evaluation rubric. The score the campus receives is divided into a Goal Score, a Total Score, and the Criteria Score. The evaluation is used to determine the **campuses' ability to offer new degree programs. A minimum score of 24 must be attained** in order for new programs to be developed on a scale that reaches 36. Kentucky State University was notified April 23, 2021 that it is in compliance with the CPE DEI Policy.

SUMMARY OF PROGRAMS/ACTIVITIES: Kentucky State University completed its Diversity Plan in 2020. In the spring 2021, Kentucky State University scored 26 of 36 possible points. **This represents a 2 point increase over the prior year's results.** Kentucky State University, per KRS 164.020 (20), is in compliance and able to offer new academic programs.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

PROGRAM IMPLICATIONS: Kentucky State University Board of Regents retains its ability to offer new degree programs.

FISCAL IMPLICATIONS: NONE

RECOMMENDATION: N/A

ATTACHMENTS: YES

Diversity, Equity, and Inclusion Plan Report Evaluation Rubric – Universities

“Opportunity” – Recruitment and Enrollment of Diverse Students	Maintaining a diverse student body is an essential contribution to the educational experience of Kentucky’s postsecondary students. Public institutions of postsecondary education in Kentucky have a responsibility to ensure residents have the opportunity to receive a rich and fulfilling educational experience that cannot be fully obtained without exposure to the different perspectives and cultures of those around them.
“Success” – Student Success	While maintaining a diverse student body is essential, institutions must commit to helping those students be successful when they arrive on campus. Unfortunately, certain diverse student populations historically have exhibited lower graduation and retention rates than the overall student population.
“Impact” – Campus Climate, Inclusiveness, and Cultural Competency	To fully realize the positive impacts of diversity, Kentucky’s public institutions must provide an inclusive and supportive environment for its diverse group of students. In order to live and thrive on a diverse campus and in an increasingly diverse world, students must become more culturally competent. Faculty and staff should also become more culturally competent in order to help the diverse student population thrive and succeed.

Criteria	2	1	0	GOAL SCORE
	Meets or Exceeds Expectations	Making Progress Towards Meeting Expectations	Does Not Meet Expectations	
Goals	Target was met or exceeded.*	Target was not met, but progress toward the target was made. For enrollment, institution will either meet or not meet expectations (score 2 or 0).	Target was not met and progress was not made toward meeting expectations.*	
Undergraduate Enrollment				
Graduate Enrollment				
1st - 2nd Year Retention (URM)	2			
1st - 2nd Year Retention (low-income)	2			
6-year Graduation Rate (URM)		1		
6-year Graduation Rate (low-income)	2			
Degrees Conferred (URM)			0	
Degrees Conferred (low-income)			0	
Workforce Diversity	2			

TOTAL SCORE:

9

*For enrollment, the institution shall demonstrate that the diversity of its student body provides its students with the opportunity to receive the educational benefits of diversity as described in the Policy. This may be substantiated by providing evidence that goals outlined in an institution’s plan were generally attained or significant progress was made toward those goals, that students have been provided the opportunity to interact with diverse peers both inside and outside the classroom, and through other means identified by the institution as supported by valid research. Progress toward any one goal shall not determine whether or not expectations have been met; an institution shall be evaluated based on the entirety of its report in this area.

<i>CPE Reviewer Comments:</i>							
Criteria	2	1	0	TOTAL SCORE			
	Meets or Exceeds Expectations	Making Progress Towards Meeting Expectations	Does Not Meet Expectations				
Implementation of Strategies with Fidelity: <i>Fidelity is defined as faithfulness in implementing programs and strategies as they were designed.</i>	Evidence is provided that all strategies were fully implemented with fidelity.	Evidence is provided that some of the identified strategies were implemented with fidelity.	Institution is unable to substantiate that any required strategies were fully implemented with any degree of fidelity.	Opportunity	Success	Impact	TOTAL
				2	2	2	6
<i>CPE Reviewer Comments:</i>							

Criteria	2	1	0	TOTAL SCORE			
	Meets or Exceeds Expectations	Making Progress Towards Meeting Expectations	Does Not Meet Expectations				
				Opportunity	Success	Impact	TOTAL
Analysis of Strategy Effectiveness	The institution has provided a full and thorough analysis of the effectiveness of each strategy in accordance with the assessment plan outlined in its Plan. If a strategy was not fully implemented or implemented at all, a thorough analysis and justifiable explanation of why this did not occur are provided.	The institution has provided an analysis of the effectiveness of each strategy only at a basic level. Strategies that were not fully implemented or not implemented at all are addressed at a basic level.	The institution's analysis of strategy effectiveness fails to provide any substantive value. Strategies that were not fully implemented or not implemented at all are not fully addressed.	2	2	1	5
CPE Reviewer Comments:	<p>The table indicating the effectiveness of the strategy on improving the time to graduation rates for low-income first generation URM students was hard to read. See the table on Page 9.</p> <p>The strategy on increasing career readiness skills for low-income, URM traditional and adult student was not very effective based on the data provided and there is still room to grow</p>						
Criteria	2	1	0	TOTAL SCORE			
	Meets or Exceeds Expectations	Making Progress Towards Meeting Expectations	Does Not Meet Expectations				
				Opportunity	Success	Impact	TOTAL
Lessons Learned and Next Steps	The institution identifies a number of takeaways from the annual review process and describes in detail how it will incorporate results from the strategy analysis to address deficiencies and either improve or continue its success rate.	The institution identifies takeaways from the annual review process but fails to describe in any detail how it will incorporate results from the strategy analysis to address deficiencies and either improve or continue its success rate.	The institution does not identify takeaways from the annual review process at any substantive level and fails to fully describe how deficiencies will be addressed or performance will be improved or continued.	2	2	2	6
CPE Reviewer Comments:							
TOTAL: CRITERIA SCORE				6	6	5	17

Maximum Composite Score = 36

Minimum Composite Score Required for New Degree Program Eligibility = 24

Total Composite Score for Unit Reviewed (For Official Use Only)

Met target to offer new academic programs (circle one) Yes No

Performance improvement plan required (circle one) Yes No

Site visit and report recommended (circle one) Yes No



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Plans for Reopening the Campus Fall 2021
FROM: ACADEMIC AFFAIRS
ACTION ITEM: NO

BACKGROUND: In an address to the First Care Clinic in Mt. Sterling, Governor Andy Beshear announced that the State of Kentucky would reopen and loosen restrictions and mandates. Given that most school districts in the state of Kentucky have some form of in person learning; and given the discipline of our faculty, staff, and students during 2020-21; and given that 1,642,449 (36.8 percent) of all Kentuckians have been fully vaccinated and 1,975,471 (44.2 percent) who have received at least one dose of the vaccine; and given that all Kentuckians age 12 and above are now eligible for the COVID-19 vaccine; and given that the Centers for Disease Control and Prevention (CDC) has relieved the mask guidelines for those that have been vaccinated, we recommend that we confidently move toward a largely normal Fall 2021 semester

SUMMARY OF PROGRAMS/ACTIVITIES: Working with the Kentucky State Health Department officials, the Centers for Disease Control and Prevention (CDC), Faculty Senate, Student Government Association, our administrators and staff, we propose the following and will work over the summer to ensure its seamless implementation.

1. **Format:** Mostly face to face with some online classes. Model classrooms for online instruction will be developed over the summer
2. **Calendar:** Return to our preapproved academic calendar
3. **Mask Policy:** Students, faculty and staff will continue to use masks indoors
4. **Health care:** Students, faculty, and staff will be encouraged to continue with asymptomatic COVID-19 testing and highly encouraged to receive one of the three vaccinations
5. **Common Areas:** Study of how to staff common areas, such as the dining halls, classrooms, library, etc. will be developed and engineered over the summer



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: N/A

ATTACHMENTS: NO



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Update on Maymester and Summer Sessions
FROM: ACADEMIC AFFAIRS
ACTION ITEM: NO

BACKGROUND: In 2019, the Faculty Senate agreed and voted to incorporate an accelerated term entitled Maymester prior to the Summer sessions which allowed another opportunity for students to accelerate their matriculation and increase graduation rates.

SUMMARY OF PROGRAMS/ACTIVITIES: Maymester will begin on Saturday, May 8, 2021 and will end on June 4, 2021. Summer school will begin June 10, 2021 until July 30, 2021 and runs for a total of 8 weeks. This will be broken down into two 4-week sessions along with the 8-week session. The first session will begin on June 5 until July 2 and the second session will begin on July 3 until July 30.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

PROGRAM IMPLICATIONS: Helps students maintain or advance their matriculation as they progress towards graduation.

FISCAL IMPLICATIONS: Tuition is the same as it has been in the past at a discounted rate of \$333 per course.

RECOMMENDATION: N/A

ATTACHMENTS: YES

BRIEF SUMMARY:

Generally speaking, the summer enrollment has been going strong. Maymester, Summer II, and Summer III enrollment went up than the previous two years. Summer I enrollment is higher than last year, but lower than 2019. The unduplicated count of students who enrolled in any Summer session this year (2021) went up almost 14 percent than last year (2020), but 10% lower than 2019.

	2019	2020	2021
Maymester	107	61	127
Summer I	289	243	254
Summer II	9	6	36
Summer III	6	31	32

Note: if a student registered in more than one summer sessions, they are counted multiple times.

	2019	2020	2021
Distinct Count	392	309	351

School of Behavior / Social Science, School of Criminal Justice and Political Science, School of Education, Human Development & Consumer Science, and School of Nursing are thriving with increasing summer enrollment and total credit hours generated.

School	Summer 2019		Summer 2020		Summer 2021	
	Headcount	Total Credit Hrs	Headcount	Total Credit Hrs	Headcount	Total Credit Hrs
Division of Literature, Languages and Philosophy	116	353	71	214	88	271
School of Agriculture, Communities and the Environment	18	52	13	28	14	35
School of Aquaculture and Aquatic Science	6	18	2	7	7	21
School of Behavioral and Social Sciences	104	310	99	295	113	345
School of Business	56	168	27	81	35	105
School of Criminal Justice and Political Science	4	12	3	9	17	51
School of Education, Human Development and Consumer Sciences	78	231	31	103	71	211
School of Humanities and Performing Arts	80	240	66	198	53	159
School of Nursing	30	74	18	41	59	128
School of Public Administration	1	3			16	48
School of Science, Technology, Engineering and Mathematics	62	227	99	337	70	260
School of Social Work					16	48
Whitney Young Honors Collegium	78	234	29	87		

Note: if a student registered in more than one summer sessions, they are counted multiple times.

The Summer sessions help students to fulfil their degree requirements in timely manner. On average, students can earned 3-4 credit hours in the summer, lead up to 10 credit hours that is counted toward their graduation.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Quarterly Media and Brand Identity Report
FROM: BRAND IDENTITY AND UNIVERSITY RELATIONS
ACTION ITEM: NO

BACKGROUND: The Quarterly Media and Brand Identity Report reveals insights into the brand reputation and position of Kentucky State University in the news and among its publics.

SUMMARY OF PROGRAMS/ACTIVITIES: Brand Identity creates content and supports University programs and activities to promote, increase, advance and monitor the brand reputation of Kentucky State University while strengthening broad public and internal support of the institution.

ALIGNMENT WITH STRATEGIC GOALS: It is our goal to position Kentucky State University among the nation's most visible post-secondary institutions and as an effective force for progress in the Commonwealth of Kentucky, working collaboratively and efficiently to boast its position of providing the highest quality education for the highest return on investment while improving the quality of life and driving economic growth in the Commonwealth.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: By establishing and monitoring strategic communications targeted to specific constituency groups, we will be able to advance and increase the brand position and reputation of Kentucky State University.

FISCAL IMPLICATIONS: The total quarterly value of Kentucky State University's free media and brand efforts is nearly \$1 million.

RECOMMENDATION: Please continue to share news, media and branding strategies with the Division of Brand Identity and University Relations via news@kysu.edu.

ATTACHMENTS: YES

KENTUCKY STATE BRAND IDENTITY

JUNE 2021 SYNOPSIS



SOCIAL MEDIA



Page Likes: 11,618

Posts: 29

Post Engagements: 19,676

Total Reach: 49,500

Video View: 1,079



Most Liked Post:

Two-time Emmy-nominated actress and screenwriter Kelsey Scott will headline Women's History Month Assembly. Scott is best known for her role as Anne Northup in the Oscar-winning "12 Years a Slave" and as Wes Gibbins' mother, Rose, on "How to Get Away With Murder."

**232 Reactions,
Comments and Shares**



6,206 Followers

Tweets: 44

Top Tweet: JUST ANNOUCED Accomplished Actor, Educator, Activist and Entrepreneur Lamman Rucker will deliver the keynote address at the 2021 Spring Commencement Convocation Friday, May 7 at 10 a.m. in Alumni Stadium.

Impressions: 8,147

Total Engagements: 230

Media Engagements: 84

Detail Expands: 57

Likes: 47

Retweets: 22

Link Clicks: 9

Hashtag Clicks: 6

Profile Clicks: 5



6,087 Followers

Media: 31

Most Interaction (598): @KyStateU is celebrating 135 years with the #TogetherThorobreds giving campaign and a new partnership with the iconic Kentucky soda brand, Ale-8-One Bottling Company.



Photos: 5

Most Viewed (19): Unity Dancers perform at Women's History Month Assembly



Videos: 4

Most Viewed (131): Academic Honors Convocation

WEBSITE

Sessions: 259,880

Users: 116,443

Page Views: 594,448

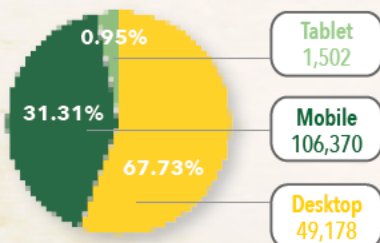
Page Sessions: 1.48

Avg. Session Duration: 2:03

Bounce Rate: 57.33%

New Sessions: 87.93%

DEVICE ENGAGEMENT



DEMOGRAPHICS

Top 10 States

Rank	State	Sessions
1.	Kentucky	77,077
2.	Indiana	8,963
3.	California	8,257
4.	Ohio	7,422
5.	Illinois	5,294
6.	Texas	5,025
7.	Tennessee	4,305
8.	Georgia	3,901
9.	Nevada	2,230
10.	Michigan	1,268

Top 10 Cities

Rank	City	Sessions
1.	Frankfort	41,457
2.	Lexington	11,277
3.	Louisville	7,740
4.	Roseville	5,740
5.	Indianapolis	4,298
6.	(not set)	3,993
7.	Las Vegas	2,234
8.	Nashville	1,454
9.	Chicago	1,181
10.	Atlanta	901

VISITORS

NEW



87.93%
102,398

RETURNING



12.06%
14,045



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Authorized Signature Policy
FROM: EXECUTIVE AND AUDIT
ACTION ITEM: YES

BACKGROUND: Policies outlining signature authority are essential to clarity in institutional operations, specifically those that bind the institution financially or legally through contracts and memoranda of agreement. Within the Commonwealth of Kentucky, KRS 164A.560, a subsection of KRS 164A. 575, vests financial management responsibility as relates to administrative regulations to the governing board and through the governing board to the University President.

In reviewing signature authorization policies from a variety of national institutions of higher education (including University of Kentucky, Eastern Kentucky and University of Louisville), common features align around assignment of signature authority for the institution, delegation of signature authority, and scope and applicability of the signature authority policy as pertains to grants, contracts, and institutional operational documents such as those generated for employment, for athletic events and other matters that would bind the university fiscally and/or contractually.

SUMMARY OF PROGRAMS/ACTIVITIES: The Authorized Signature Policy (new) outlines the scope, process, assignment of signature authorization and delegation, and institutional impact.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 3: Increase the University's Financial Strength and Operational Efficiency

PROGRAM IMPLICATIONS: Kentucky State University will have a clear and enforceable policy governing authorized institutional signatures.

FISCAL IMPLICATIONS: NONE

RECOMMENDATION: The Kentucky State University Board of Regents approve the Authorized Signature Policy

ATTACHMENTS: YES

Title: Authorized Signature Policy (PROPOSED NEW POLICY) June 2021

Policy Statement/Procedure Description

The purpose of this policy is to identify signature authority and signature authority delegation as pertains to any and all, but not limited to the following:

- External contracts with vendors, speakers, venues
- Agreements for purchases/transfers of property, rental goods, services and equipment
- Lease agreements for property, rental good, equipment
- Employment contracts
- Financial agreements and financial documents requiring institutional approval (non-contractual)
- Athletic contracts and sports-related agreements
- Grants and sponsored projects, including awards and subawards
- Commendations and citations for excellence; awards and recognitions
- Diplomas and certificates of completion
- Nondisclosure, confidentiality, and legal documents
- Articulation agreements, MOUs and MOAs
- Student, faculty and student/faculty exchange agreements
- Intellectual property, creative work, patent, trademark and invention agreements

The Kentucky University President serves as the primary signatory on any and all of the above activities that would legally and contractually bind the University or otherwise obligate it to internal or external parties. The President may delegate his/her signature authority on a pro temp basis and/or for a specific activity through the process described below in writing and/or exempt an institutional officer at the executive level, if so desired, from this policy through written authority and Board approval.

Signatures on all official University are to be “wet” with electronic signatures reserved for matters of unavoidable exigency. A wet signature on binding documents is preferred to a stamp or electronic signature for the legal authority a wet signature carries. In the absence of specified policies or instruction, a wet signature may be established at the request of any of the parties associated with a transaction, contract or other form of agreement. Signing in blue ink is legally required in some instances, though there is some discretion in this as well as the types of documents for which wet signatures are required.

It is understood that wet signatures will be expected on the documents outlined in this proposed policy unless otherwise indicated by the written directive of the signature authority. Copies of wet signatures, such as those available on premade document stamps, through digital signature software, and those that are reproduced on multiple documents, are not considered acceptable as legal signatures. Wet signatures have to be created on any multiple documents, originals and copies.

The Delegation of Signature Authority process

All delegation of authority must be made in writing, printed on University letterhead, reviewed by General Counsel and signed by the President. The delegation letter, whether internal or external, will clearly state the nature, purpose, scope and timeframe of the assignee's **ability to act on behalf of the president or the University**. The written transfer of authority will include the name and title of the assignee, the type and area to which the assignment of authority applies, any limitations, caveats or special considerations, and whether sub-assignment is permissible. The transfer of authority will be housed in the office of General Counsel. A best practices representation of levels of delegation is below:

- Executive Vice President and CFO
- Senior Vice President
- Provost and Vice President for Academic Affairs
- Vice President for Student Engagement and Campus Life
- General Counsel

The following administrative personnel have the authority to wet sign contracts, commitments or agreements up to the amounts shown in the table below **provided those documents are stamped “Approved as to form” by the Office of General Counsel** or the approver has received written document from their inline supervisor allowing the transaction to advance:

- Chairs/Directors – May sign within their authorized budget lines up to \$1000
- Assistant/Associate VPs/Vice Provosts -- May sign within their authorized budget lines up to \$2500
- Vice Presidents -- May sign within their authorized budget lines up to \$5000
- President – Must sign all expenditures over \$5000 without respect to budget line

It is understood that budget documents below the level of Vice President will be reviewed by the appropriate and assigned unit Vice President prior to submission for processing.

All signature delegation activities will be reviewed annually in line with the fiscal year calendar.

Entities Affected

The policy applies to any member of the University who may seek to approve a transaction, engage in contractual relationships, obligate the University financially or otherwise, or present themselves internally or externally as a representative of Kentucky State University. This policy further aligns with policies related to internal controls, to the institutional audit function, and such compliance and regulatory expectations for integrity in audit functions, fiduciary and non-fiduciary, as outlined in the *Principles of Accreditation* (SACSCOC) and *The Kentucky State University Gold Book*.

Policy Owner/Interpreting Authority

The policy owner is the University President and is interpreted in light of the assigned roles and duties of the University CEO as outlined by SACSCOC and stated within the Kentucky State University *Gold Book*.

Related Policies

Finance and Administration Manual
Human Resources Manual
Business Manual
Purchasing Manual
IRB Manual
Kentucky State University Board of Regents Gold Book
SACSCOC Principles of Accreditation
Integrity

Statutory or Regulatory References

745 KAR 1:1060

KRS 164A. 575 and KRS 164A. 560



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Presidential Contract Extension
FROM: EXECUTIVE AND AUDIT
ACTION ITEM: YES

BACKGROUND: Kentucky State University Board of Regents approved a revised and corrected amended employment agreement with addendum for President M. Christopher Brown II at its December 6, 2018 Quarterly Board Meeting. In that revised and corrected amended employment agreement, the Board of Regents agreed to employ Dr. Brown as President for a term of three years beginning on July 19, 2018 and ending on July 19, 2021.

SUMMARY OF PROGRAMS/ACTIVITIES: KRS 164.360(1)(a) provides that each “board of regents for the universities may **appoint a president and fix [the president’s] compensation and tenure of service, subject to the provisions of subsection (2)**”. KRS 164.360(2) provides “[n]o person shall be employed for a longer period than four (4) years.”

With the proposed Third Addendum to the Revised and Corrected Amended Employment Agreement, the Kentucky State University Board of Regents would agree to employ Dr. M. Christopher Brown II as President of Kentucky State University for a term of four years.

That four-year term would begin on July 19, 2021 and would end on July 19, 2025. By taking this action, the Board of Regents would negate the need to hold a Special Called Board meeting to address a contract renewal and would set the chronology at the expected four years.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

PROGRAM IMPLICATIONS: Extension of the revised and corrected amended **employment agreement ensures continuity of University’s programmatic goals.**

FISCAL IMPLICATIONS: Extension of the revised and corrected amended employment agreement ensures **continuity of University’s financial goals.**



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

RECOMMENDATION: Kentucky State University recommends that the Kentucky State University Board of Regents approve the 2021 Presidential Contract Extension.

ATTACHMENTS: Third Addendum to the Revised and Corrected Amended Employment Agreement.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021

SUBJECT: Approval of the 2021-2025 Strategic Plan

FROM: EXECUTIVE AND AUDIT

ACTION ITEM: YES

BACKGROUND: At the Special Call Board meeting in January 2021, the KySU Board of Regents had the opportunity to review and comment on the proposed 2021-25 Kentucky State University Strategic Plan. At the March 4, 2021 KySu Board of Regents meeting, the final version of the plan was shared as **“Vision 2025: Racing toward our highest potential.”**

SUMMARY OF PROGRAMS/ACTIVITIES: **Upon release and sharing of “ Vision 2025: Racing toward our highest potential” with the Board of Regents, the next step is to seek approval of the plan as published and presented so that implementation may commence with the new academic year in August 2021.**

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

PROGRAM IMPLICATIONS: Once approved, the 2021-25 will serve as the guiding document for campus activities, strategies and operations.

FISCAL IMPLICATIONS: TBD; it is traditional and expected that key activities will be aligned to performance metrics and that specific action items encouraged by the new



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Strategic Plan will be highlighted for targeted funding through, when applicable, grants, in addition to the institutional budget annually.

RECOMMENDATION: The Kentucky State University Board of Regents approve the 2021-25 Strategic Plan.

ATTACHMENTS: YES



VISION 2025:

Racing Toward Our Highest Potential

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MISSION STATEMENT

Kentucky State University is a public, comprehensive, historically black land-grant university committed to advancing the Commonwealth of Kentucky, enhancing society, and impacting individuals by providing quality teaching with a foundation in liberal studies, scholarly research, and public service to enable productive lives within the diverse global economy.

CAMPUS VISION

Kentucky State University will be recognized as a model of student success by embracing diversity and inclusion, inspiring innovation and demonstrating a dedication to excellence.

INSTITUTIONAL VALUES

- Student Driven
- Accountability and Consistency
- Diversity, Equity and Inclusion
- Integrity and Respect
- Partnerships and Relationships

STRATEGIC GOALS

1. Prioritize Student Success Outcomes
2. Foster a Culture of Campus Engagement
3. Ensure Sustainable Institutional Viability



STRATEGIC GOAL #1:

Prioritize Student Success Outcomes

Student Success is a fundamental component of Kentucky State University. The success of our students drives our commitment to higher education. We are devoted to the continual assessment and improvement of our student experience and dedicated to helping our students see and achieve their success.

We will demonstrate our commitment to student success outcomes through intentional engagement efforts that foster high quality student enrollment, growth and development, career and professional readiness, and degree completion.

We will enrich the lives of our students through our commitment to academic excellence, holistic support, access to resources, and involvement in inclusive programming that promotes academic, personal and professional development. These drivers of effort will help us to focus our attention, commit resources, develop strategies and tactics to achieve preferred outcomes, and measure evidence of our successes.

STRATEGIC OBJECTIVES

• Empowerment of our Students (1.1)

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Provide educational programming to prepare graduates for the world of work and lay a foundation for the continued acquisition of knowledge, skills and abilities that assure competence in an evolving work environment and global society. (1.1.1)	Add at least two new undergraduate and one graduate program each year of the plan	Academic Affairs and Faculty
Provide field-related or experiential programming to prepare graduates for the world of work and lay a foundation for the continued acquisition of knowledge, skills and abilities that assure competence in an evolving work environment and global society. (1.1.2)	Increase internships and field-related learning experiences in liberal arts disciplines by 50% by 2025.	Academic Affairs, Faculty, Office of Career and Professional Development, Student Engagement and Campus Life
Incorporate into all degree-related learning experiences requirements for teamwork, leadership, problem solving and decision making, cultural competency awareness, and creative thinking. (1.1.3)	Assess all syllabuses to create an inventory and map of degree-related learning experiences and implement one or more of these high impact practices across the graduate and undergraduate curricula by 75% in 2025.	Academic Affairs and Faculty

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Enhance student experience through career development activities that link both curricular and co-curricular programming to alumni and post-graduate success. (1.1.4)	Increase number of students who engage and benefit from services offered by the Career and Professional Development by 50% by 2023 and 75% by 2025.	Office of Career and Professional Development and Faculty and Alumni

• **Focus on Workforce Readiness (1.2)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Assess and evaluate curricular offerings using Gray and Associates data for curriculum review and planning. (1.2.1)	Launch between 1-3 new academic degrees in areas suggested by the Gray research	Academic Affairs
Apply information gathered from First Destination Survey and other stakeholder feedback resources to develop trajectories for engaged learning. (1.2.2)	Embed career and professional readiness curriculum in all academic programs by 2025 Deploy Internship Advisory Council to facilitate the promotion, recruitment and the tracking of external experiential learning opportunities	Academic Affairs, Faculty, Office of Career and Professional Development, Student Engagement and Campus Life
Increase number of and funding for student support services to improve student learning experiences and outcomes. (1.2.3)	Increase the size and secure funding for learning support services by 15% in 2023 and 10% by 2025	Academic Affairs, Office of Grants and Sponsored Programs, and University College
Apply for grants in support of curricular development and innovative student learning, leadership and professional development opportunities. (1.2.4)	Apply for at least three educational grants per academic year	Academic Affairs, Office of Grants and Sponsored Programs, University College, Office of Career and Professional Development
Leverage the Design Thinking approach to create clear and concise workforce readiness student learning outcomes. (1.2.5)	Increase course offerings with embedded workforce readiness student learning outcomes.	Faculty

• **Focus on Strategic Enrollment (1.3)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Develop a University-wide, inclusive strategic enrollment process ensuring partnership between Student Success and Academic Affairs. (1.3.1)	Create a strategic enrollment plan designed to attract world-class students from diverse backgrounds to Kentucky State University.	BREDS Office, Academic Affairs, Student Engagement and Campus Life, University College
Create a strategic enrollment plan with specific, but not exclusive, focus on enhancing our commitment to our service counties, increasing educational opportunities for underrepresented student populations, and serving students with diverse academic needs. (1.3.2)	Assign resources and create a strategic enrollment plan to boost engagement with service county student populations, especially from diverse backgrounds	BREDS Office, Academic Affairs, Student Engagement and Campus Life, University College
Recruit a prepared, intellectually curious, diversified student body. (1.3.3)	Create a focused enrollment plan for honors high school students, dual enrollment students, and students from diverse backgrounds	BREDS Office, Academic Affairs, Student Engagement and Campus Life, University College
Evaluate and redirect University resources, including student financial aid, to levels sufficient to support the enrollment management plan. (1.3.4)	Increase the number of scholarships and awards available to support world-class student enrollment	Office of Advancement, Finance and Administration and KSU Foundation/ Alumni

• **Increase Efforts to Retain and Graduate Students (1.4)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Develop and promote University-wide best practices that provide collaborative and innovative student engagement in and out of the classroom beginning with First- and Second-Year Experience. (1.4.1)	Implementation of six cohort classification modules to enhance student success by 2025.	University College, Academic Affairs, Student Engagement and Campus Life, and Faculty
Employ coordinated, data-driven advising that uses intentional intervention milestones. (1.4.2)	Implement the early alert system and increase number of faculty and advising staff who use it for intervention and intrusive advising practices by 35% annually.	University College and Faculty

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Design student experiences University-wide by curating student environments and increasing participation in student organizations, and student-life programs. (1.4.3)	Increased number of student involvement through Student Engagement and Campus Life by 20% each year to attain 100% engagement by 2025 to promote retention and persistence to graduation.	Student Engagement and Campus Life
Implement operations to meet student needs in alignment with policies and processes. (1.4.4)	Review policies annually to identify gaps and areas for improvement. Create a regular review cycle and update all appropriate policies by 2025.	Student Engagement and Campus Life

• **Increase the Utilization of State-of-the-Art Technology (1.5)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Deploy resilient technology that supplements, optimizes, facilitates, and drives institutional efficiency and connectivity. (1.5.1)	Increase access to existing and new strategic and institutional improvement software.	Information Technology, BREDS Office, Student Success, Academic Affairs, and Student Engagement and Campus Life
Improve classrooms with new smart technology campus wide. (1.5.2)	Increase innovation at a rate of 25% annually.	Academic Affairs
Develop new or transition existing programs to be offered online. (1.5.3)	Add/Transition at least two undergraduate programs every two years.	Academic Affairs and Faculty
Provide access to Liberal Studies Core Curriculum. (1.5.4)	Increase rate of Liberal Studies Core online course offerings by 25% annually.	Academic Affairs and Faculty



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WE VOTE!**

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**They fought.
WE VOTE!**

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STRATEGIC GOAL #2:

Foster a Culture of Campus Engagement

At Kentucky State University, we will foster a culture of outreach and engagement through innovative teaching and scholarship, as well as valuing engagement with our communities.

We will demonstrate our commitment to a culture of campus engagement by actively seeking out strategic opportunities that promote diversity, inclusion, and equity on the campus and within Frankfort and our service county communities.

Engagement in our campus community allows us to grow our appreciation for the unique tradition, history and culture of Kentucky State University among our stakeholders. Our commitment to enhancing our efforts of engagement will increase cultural competencies and visibility of KSU in the Frankfort area and service counties.

STRATEGIC OBJECTIVES

• Increase Awareness of KSU (2.1)

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Partner with community stakeholders to increase visibility of Kentucky State University in the Frankfort area and service counties. (2.1.1)	Increase the number of the community relationships and diversify activities/initiatives by 10% each year.	Community Partnership Advisory Board, Brand Identity and University Relations, Office of Advancement
Increase the perception of the University by communicating a clear and authentic brand narrative for the University through a strategic mix of paid advertising, earned news coverage, owned channels and social networking. (2.1.2)	Based on media use metrics, chart name recognition and brand awareness to increase funding for outlets that track as having favorable perceptions of Kentucky State by 15% per year.	Brand Identity and University Relations, Office of Advancement, Finance and Administration
Increase the knowledge of University accomplishments by communicating Kentucky State's impact through a strategic mix of paid advertising, earned news coverage, owned channels and social networking. (2.1.3)	Increase news coverage of key brand drivers (e.g. faculty research and expertise, student accomplishments, and institutional impact on the state/nation/world) and track improvements in channel-specific metrics to expand media footprint annually.	Brand Identity and University Relations

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Raise the external academic profile of KSU and its faculty by diversifying our research and community engagement portfolio. (2.1.4)	Increase faculty publishing, grant acquisition, and participation in civic engagement activities within their discipline annually.	Faculty, Office of Grants and Sponsored Programs

• **Capitalize on Diversity, Equity, and Inclusion (2.2)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Collaborate with campus units to increase cultural competencies. (2.2.1)	Implement cultural competencies training and digital badging initiative for 100% of workforce by 2022.	Chief Diversity Officer, C.R.E.E.D. Center, Atwood Institute
Seek collaborative community enhancement grants and legislative support for broader interactions on issue of social justice, diversity and inclusion. (2.2.2)	Apply for at least one campus and community grant project per year.	Community Partnership Advisory Board, Chief Diversity Officer, Office of Grants and Sponsored Research, C.R.E.E.D. Center, Atwood Institute
Increase the number of faculty that focus on race and social disparities (RSD). (2.2.3)	Increased number of RSD focused faculty	Academic Affairs and Faculty

• **Heighten Community Engagement (2.3)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Seek out collaborative opportunities within the Frankfort community to strengthen "town-gown" relationships. (2.3.1)	Support at least two "town-gown" events per semester or academic year.	Community Partnership Advisory Board, Brand Identity and University Relations, Office of Advancement
Continue to expand accessibility to the University's digital tools, information resources, and course materials. (2.3.2)	Fund additional digital resources (e.g., course materials, website information, library materials, and data bases) that are accessible to the entire University community.	Academic Affairs, Office of Information Technology, Finance and Administration

• **Provide Opportunities for Historical Enrichment (2.4)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Increase and expand historical content in Introduction to University Learning Course. (2.4.1)	Implement new content each year to enrich the KSU 118 curriculum.	University College, First Year Experience Office, and Whitney Young Honors College
Structure student experiences University-wide by offering events and programs that grow appreciation for the unique tradition, history and culture of Kentucky State University. (2.4.2)	Schedule quarterly historical enrichment events/programs through Student Engagement and Campus Life and Academic Affairs.	Academic Affairs, C.R.E.E.D. Center, Student Engagement and Campus Life





STRATEGIC GOAL #3:

Ensure Sustainable Institutional Viability

Institutional Viability is the cornerstone upon which any successful strategic plan rests. At Kentucky State University, it supports our commitment to academic excellence; without it, the University's ability to launch, sustain, grow, and evaluate quality academic programs and services is severely compromised.

We will demonstrate our commitment to ensuring sustainable institutional viability by increasing operational efficiencies in support of stewardship and campus sustainability.

The viability of our institution is imperative to help us live out our mission, and allow all of our students to reach their full potential. As we continue to invest in our strategic goals, we will implement clear and transparent policies and practices to support this acute need.

STRATEGIC OBJECTIVES

• Optimize Campus and Capital Resources (3.1)

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Implement clear and transparent policies and practices to support operational efficiencies in support of stewardship and campus sustainability. (3.1.1)	Create an annual schedule to update and maintain university policies and practices with an emphasis on equity, access, and alignment across the campus units	Vice Presidents, Office of General Counsel and Chief of Staff

• Increase External Support (3.2)

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Increase the network of advocates, partners, and champions for KSU through effectively communicating with external stakeholders and involving them in the life of the institution. (3.2.1)	Create an institutional initiatives funding plan to support sustainability for student success initiatives	Brand Identity and University Relations, Office of Advancement
Ensure that staffing, financial resources, and infrastructure is aligned to successfully support the University's philanthropic efforts. (3.2.1)	Increased alumni, corporate, and private giving	Brand Identity and University Relations, Office of Advancement

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Increase revenue from private sources by engaging alumni, friends, and corporate partners to expand support for the campus community. (3.2.2)	Create a focused institutional fundraising and advancement plan to generate alumni fundraising and gifts by 25% annually.	Brand Identity and University Relations, Office of Advancement
Augment institutional resources through grants and contracts from federal and state agencies, corporations, and foundations in support of student aid and capital projects. (3.2.3)	Monitor and apply for public and private funding in support of educational and capital improvements	Office of Grants and Sponsored Research, Finance and Administration, Academic Affairs
Monitor the timeline for capital projects and annually review the campus maintenance schedule to align with legislative and grant priorities when applicable. (3.2.4)	Identify additional capital enhancements for continued energy savings and utilities efficiencies.	Finance and Administration
Embed facilities and operational sustainability and growth projects among annual legislative priorities. (3.2.5)	Review and add capital projects and maintenance annually to legislative priorities for the campus.	Finance and Administration and Office of Government Relations

• **Maintain Commitment to Affordability (3.3)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Maintain a business model in which tuition and fees will allow maximum student access to quality education. (3.3.1)	Monitor cost of attendance and adjust scholarships to maintain affordability for 80% of the student population.	Finance and Administration
Employ strategic and cost-efficient use of resources to assure sustainable delivery of the highest quality instruction at the lowest possible cost to students and their families. (3.3.2)	Reduce the number of students with unmet need by 60%	Finance and Administration, Academic Affairs
Provide training in general financial literacy and financial aid processes for all students. (3.3.3)	All students will complete financial literacy training during new student orientation or at time of entry for transfers.	Finance and Administration, BREDS Office, University College, Park Community Credit Union

• **Prioritize Campus Improvements (3.4)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Review, refine, and purpose of campus facilities to support a 21st Century living and learning community. (3.4.1)	Complete 25% of renovations and updates to student, academic, and service facilities annually.	Finance and Administration, Student Engagement and Campus Life, and Student Success
Ensure that Resident Life and Residential Facilities continue to meet the University's dedication to excellence. (3.4.2)	Complete 25% of renovations and furniture upgrades to existing residence halls annually.	Residence Life
Mitigate the university's deferred maintenance needs. (3.4.3)	Complete 25% of the deferred campus maintenance needs annually.	Finance and Administration, Facilities Management, and Residence Life

• **Provide Competitive Compensation (3.5)**

STRATEGIES	METRICS	ACCOUNTABILITY (responsible party)
Provide competitive pay for faculty by identifying and addressing market gaps. (3.5.1)	Increase faculty salaries to achieve alignment with CUPA-HR data.	Academic Affairs, Finance and Administration, and Chief of Staff
Provide competitive pay for staff and administration by identifying and addressing market gaps. (3.5.2)	Increase staff and administration salaries in alignment with CUPA-HR (College and University Professional Association-Human Resources) data.	Finance and Administration and Chief of Staff

VISION 2025:

Racing Toward Our Highest Potential

MISSION STATEMENT

Kentucky State University is a public, comprehensive, historically black land-grant university committed to advancing the Commonwealth of Kentucky, enhancing society, and impacting individuals by providing quality teaching with a foundation in liberal studies, scholarly research, and public service to enable productive lives within the diverse global economy.

CAMPUS VISION

Kentucky State University will be recognized as a model of student success by embracing diversity and inclusion, inspiring innovation and demonstrating a dedication to excellence..

INSTITUTIONAL VALUES

Student Driven | Integrity and Respect | Diversity and Inclusion | Accountability and Consistency |
Partnerships and Relationships

STRATEGIC GOAL #1:

Prioritize Student Success Outcomes

OBJECTIVES:

- Empowerment of our Students (1.1)
- Focus on Workforce Readiness (1.2)
- Focus on Strategic Enrollment (1.3)
- Increase Efforts to Retain and Graduate Students (1.4)
- Increase Utilization of State-of-the-Art Technology (1.5)

We will demonstrate our commitment to student success outcomes through intentional engagement efforts that fosters high quality student enrollment, growth and development, career and professional readiness, and degree completion.

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STRATEGIC GOAL #2:

Foster a Culture of Campus Engagement

OBJECTIVES:

- Increase Awareness of KSU (2.1)
- Capitalize on Diversity, Equity, and Inclusion (2.2)
- Heighten Community Engagement (2.3)
- Provide Opportunities for Historical Enrichment (2.4)

We will demonstrate our commitment to a culture of campus engagement by actively seeking out strategic opportunities that promote diversity, inclusion, and equity to the campus and Frankfort communities.

STRATEGIC GOAL #3:

Ensure Sustainable Institutional Viability

OBJECTIVES:

- Optimize Campus and Capital Resources (3.1)
- Increase External Support (3.2)
- Maintain Commitment to Affordability (3.3)

We will demonstrate our commitment to ensuring sustainable institutional viability by increasing operational efficiencies in support of stewardship and campus sustainability.



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KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Internal Audit Flowchart
FROM: EXECUTIVE AND AUDIT
ACTION ITEM: NO

BACKGROUND: On or about June 4, 2020, Mr. James Harris, KSU's Internal Auditor, submitted an Audit Charter to the Board of Regents that defined the role, responsibilities, and processes of the Internal Auditor. On or about September 3, 2020, Auditor Harris submitted a Memorandum in which he summarized the six (6) assigned priorities for the 2020-21 Audit Plan. At the March 4, 2021 Board of Regents Meeting, a request was made for the creation of a flowchart to demonstrate the process by which the reports of the Internal Auditor come to the Board.

SUMMARY OF PROGRAMS/ACTIVITIES: The attached flowchart consolidates both the June 4, 2020 Audit Charter and the September 3, 2020 Memorandum to demonstrate the process of how the Internal Audit Reports reaches the Board.

ALIGNMENT WITH STRATEGIC GOALS:

Goal [1] Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal [2] Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal [3] Increase the University's Financial Strength and Operational Efficiency.

Goal [4] Enhance the Impact of External Relations and Development

Goal [5] Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria. Goals, Objectives, Timelines for Deployment and Results, and Responsibilities



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: YES

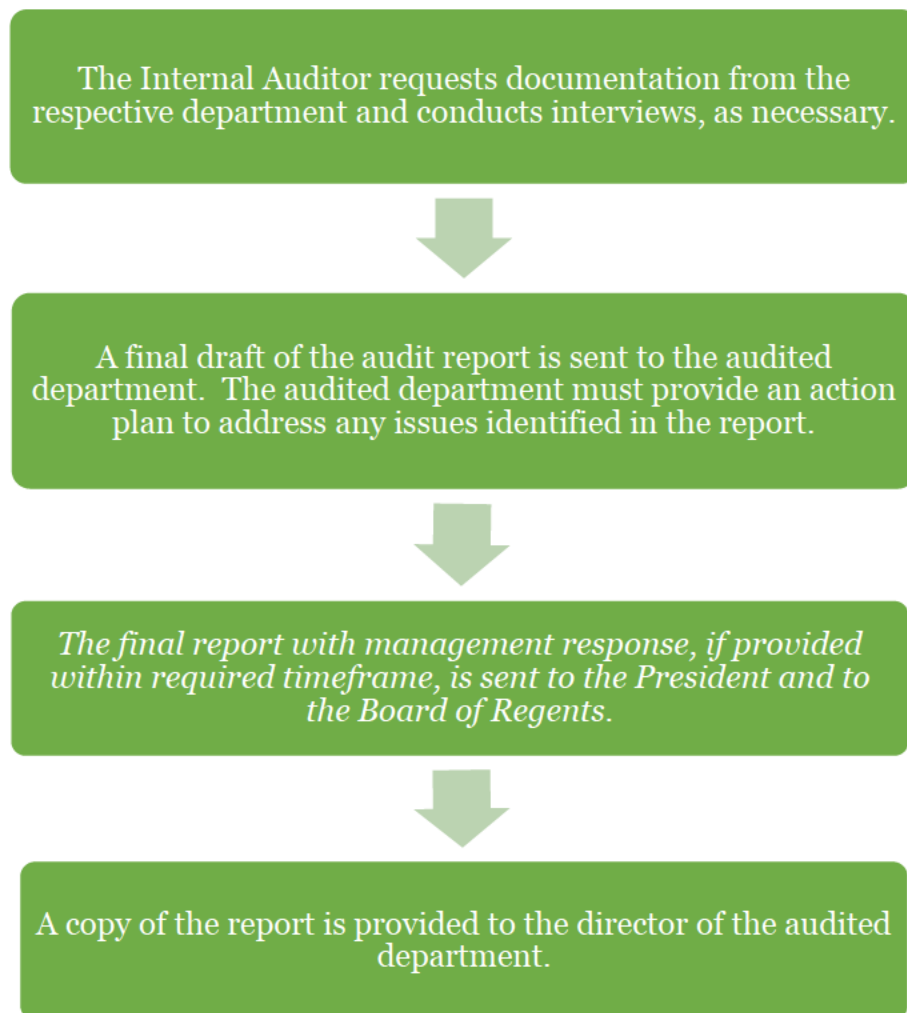
RECOMMENDATION: N/A

INTERNAL AUDIT FLOWCHART

On September 3, 2020, the Board of Regents approved the Internal Audit proposed plan which targeted the following priorities:

1. Analyze Title III allocations and expenditures.
2. Review the University's Hiring, Promotions and Termination Policies and Procedures (staff only) to confirm compliance.
3. Analyze the University's complaint and grievance procedures to confirm compliance.
4. Analyze vendor payments and process to confirm compliance.
5. Review all University contracts and agreements to confirm current need and feasibility.
6. Conduct an Annual Risk Assessment (including COVID-19 related guidelines.)

For each priority, the process is as illustrated below:





KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Internal Audit Report
FROM: EXECUTIVE AND AUDIT
ACTION ITEM: NO

BACKGROUND: The Internal Auditor is responsible for defining a specific mission statement to help ensure that the expectations for the Office of Internal Audit are available to all interested parties. This includes faculty, staff and the Board of Regents. The Internal Auditor has documented the mission statement for the Kentucky State University Office of Internal Audit as follows:

To proactively provide independent, objective information on the University's system of internal controls which will assist both staff and faculty in providing high-quality services in a manner that is accountable, efficient, effective and ethical.

SUMMARY OF PROGRAMS/ACTIVITIES: Business units should define their mission in order to ensure resources and strategies are focused to obtain the actual goals and objectives for which they exist.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: N/A

ATTACHMENTS: YES



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of FY2021-2022 Tuition & Fees
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: YES

BACKGROUND: The Council on Postsecondary Education approved a flexible tuition and fee structure for FY 2021-2022 not to exceed 2.75%. The campus acknowledges the guidance of the 2016 HB303 management plan requiring the University must assess tuition and fees to more closely align with University operations and program activities. In light of the continuing uncertainty of COVID-19, the campus recommends a zero percent (0%) tuition increase. Additionally, there is a zero percent (0%) adjustment in fees.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: The Kentucky State University Board of Regents approve the 2021-2022 Tuition & Fees.

ATTACHMENTS: YES

Tuition Schedule	Current Rate	Proposed Rate 0.0% Increase	Diff (+/-)
Full Time Resident - 12 to 18* Hours	\$3,850	\$3,850	\$0
Full Time Resident - 19 Hours	\$4,170	\$4,170	\$0
Full Time Resident - 20 Hours	\$4,490	\$4,490	\$0
Full Time Resident - 21 Hours	\$4,810	\$4,810	\$0
Full Time Non-Resident - 12 to 18*	\$5,775	\$5,775	\$0
Full Time Non-Resident - 19 Hours	\$6,255	\$6,255	\$0
Full Time Non-Resident - 20 Hours	\$6,735	\$6,735	\$0
Full Time Non-Resident - 21 Hours	\$7,215	\$7,215	\$0
Undergraduate Resident - Per Credit Hour	\$325	\$325	\$0
Undergraduate Non-Resident - Per Credit Hour	\$488	\$488	\$0
Online Undergraduate - Per Credit Hour	\$400	\$400	\$0
Online Graduate - Per Credit Hour	\$500	\$500	\$0
Graduate Resident - Per Credit Hour	\$420	\$420	\$0
Graduate Non-Resident - Per Credit Hour	\$630	\$630	\$0
Dual Enroll High School <2> - Per Credit Hour	\$58	\$58	\$0
Dual Credit Math 115 <3> - Per Credit Hour	\$20	\$20	\$0
Dual Credit English <3> - Per Credit Hour	\$20	\$20	\$0
Residence Halls	Current Rate	Proposed Rate 0.0% Increase	Diff (+/-)
Chandler Hall - Single	\$2,220	\$2,220	\$0
Chandler Hall - Double	\$1,670	\$1,670	\$0
Chandler Hall - Suite	\$2,120	\$2,120	\$0
Kentucky Hall - Single	\$2,220	\$2,220	\$0
Kentucky Hall - Double	\$1,670	\$1,670	\$0
Kentucky Hall - Triple	\$1,620	\$1,620	\$0
Kentucky Hall - Suite	\$2,120	\$2,120	\$0
The Halls - Single	\$2,220	\$2,220	\$0
The Halls - Double	\$1,670	\$1,670	\$0
Combs Hall - Double	\$1,670	\$1,670	\$0
Hunter Hall - Double	\$1,670	\$1,670	\$0
McCullin Hall - Single	\$2,220	\$2,220	\$0
McCullin Hall - Suite	\$2,120	\$2,120	\$0
Young Hall - Suite	\$2,120	\$2,120	\$0
Meal Plans	Current Rate	Proposed Rate 0.0% Increase	Diff (+/-)
Plan A \$355 declining balance	\$335	\$335	\$0
Plan B \$490 declining balance	\$460	\$460	\$0
Plan C \$620 declining balance	\$570	\$570	\$0
14 Weekly Meals w/\$500 declining	\$1,675	\$1,675	\$0
9 Weekly Meals w/\$650 declining	\$1,675	\$1,675	\$0
Mandatory Fees	Current Rate	Proposed Rate 0.0% Increase	Diff (+/-)
Activity Fee	\$170	\$170	\$0
Asset Preservation Fee - Resident/Non-Resident) – Per Semester	\$150	\$150	\$0
Asset Preservation Fee - Part Time – Per Credit Hour	\$10	\$10	\$0
Course Material Fee - Full Time <4>	\$195	\$195	\$0
Course Material Fee – Part Time Undergraduate & Graduate <4>	\$45	\$45	\$0
Homecoming Fee (fall semester only)	\$50	\$50	\$0
Safety & Security Fee – Resident/Non-Resident – Per Semester	\$50	\$50	\$0
Safety & Security Fee – Part Time – Per Credit Hour	\$10	\$10	\$0
Technology Fee – Resident/Non-Resident – Per Semester	\$155	\$155	\$0
Technology Fee – Part Time – Per Course	\$30	\$30	\$0
Non-Mandatory Fees	Current Rate	Proposed Rate	Diff (+/-)
Application Fee – International Students	\$100	\$100	\$0
Auto Registration – Student Green Parking (per year)	\$30	\$100	\$70
Auto Registration - Student Gold Parking (per year)	\$0	\$500	\$500

Auto Registration - Staff/Faculty Green Parking (per year)	\$100	\$100	\$0
Auto Registration - Staff/Faculty Gold Parking (per year)	\$0	\$250	\$250
Auto Registration - Thorobred Parking (per year)	\$0	\$500	\$500
Auto Registration - 1886 Parking (per year)	\$0	\$1,000	\$1,000
Visitor Parking (per day)	\$0	\$5	\$5
Metered Parking (per hour)	\$0	\$1	\$1
Deferred Payment Fee (per semester)	\$75	\$80	\$5
Diploma Replacement (undergraduate)	\$13	\$15	\$2
Diploma Cover Replacement (undergraduate)	\$20	\$20	\$0
Diploma Replacement (graduate)	\$20	\$20	\$0
Diploma Cover Replacement (graduate)	\$40	\$40	\$0
Drop/Add Fee	\$30	\$30	\$0
Enrollment Deposit (non-refundable)	\$50	\$50	\$0
Graduation Fee-Undergraduate (excluding cap/gown) Non-refundable	\$75	\$80	\$5
Graduation Fee-Graduate (excluding cap/gown) Non-refundable	\$125	\$130	\$5
ACT Test Fee National	\$34	\$34	\$0
ACT Test Fee Residual	\$34	\$34	\$0
Correspondence Exams	\$25	\$25	\$0
MAT (Miller Analogies Test)	\$77	\$77	\$0
NLN/ACE	\$70	\$70	\$0
NCLEX Review	\$350	\$350	\$0
Housing Reservation – Room Maintenance Fee	\$100	\$105	\$5
ID/Meal Replacement (per card)	\$20	\$20	\$0
Late Registration (per semester)	\$100	\$105	\$5
Laundry Fee (per semester)	\$50	\$50	\$0
Nursing student Liability Insurance (fall semester)	\$16	\$15	(\$1)
Nursing Student Drug Screening	\$50	\$50	\$0
Orientation Fee	\$35	\$35	\$0
Payment Plan System Maintenance Fee	\$25	\$25	\$0
Re-Instatement Fee	\$50	\$50	\$0
Return Check Fee	\$50	\$50	\$0
Transcript Fee	\$10	\$10	\$0
Thesis Fee	\$30	\$30	\$0
SAT 1 Test (Reasoning)	\$49	\$49	\$0
SAT 2 Test – subject (each)	\$22	\$22	\$0
SAT 2 Test (Listening)	\$22	\$22	\$0
TOEFL Test	\$125	\$125	\$0
Testing Transcript (per copy)	\$5	\$5	\$0



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of FY2021-22 Operating Budget
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: YES

BACKGROUND: KRS 164.350 directs the Kentucky State University Board of Regents, upon recommendation of the President, to adopt an allocation process for distributing funds appropriated to Kentucky State University by the General Assembly. KRS 164.350 also directs the Board of Regents to assure that the budget planning and implementation processes are consistent with the adopted strategic agenda, the biennial budget, and the mission of Kentucky State University.

Allocations proposed for the FY 2022 Operating Budget as shown in the attachment (See Attach. **The Commonwealth's appropriation** to the operating budget as part of HB 192 was approved at the close of the Spring 2021 General Assembly as signed by the Governor. The appropriation is based on the metrics established in the performance based funding model (SB 135) and reflects the Land Grant Matching Fund allocation of \$3, 071.014. In an effort to respond to increases in cost of living, the proposed budget includes a 1.1886% COLA for all permanent full-time staff members. The FY 2020-21 budget allocation for Auxiliary Enterprises and Non-mandatory Fees were set to reflect a 40% institutional residency capacity. The requested increases in the FY 2021-22 budget align the cost of return to 100% residency and full campus operations to projected expenses.

SUMMARY OF PROGRAMS/ACTIVITIES: Adoption of the proposed FY2022 campus operations budget ensures sustainable institutional operations and ongoing viability. After an unprecedented year, the FY2022 Proposed Budget reflects a return to standard operating expenses.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

Goal 3: **Increase the University's Financial Strength and Operational Efficiency**

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: The proposed budget aligns ongoing revenues with essential expenses.

RECOMMENDATION: The Kentucky State University Board of Regents approve the FY 2021-22 Proposed Financial Plan and Budget Resolution.

ATTACHMENTS: YES

PROPOSED FY2022 CAMPUS OPERATIONS REVENUE BY SOURCE

REVENUE	APPROVED FY17 BUDGET	APPROVED FY18 BUDGET	APPROVED FY19 BUDGET	AMENDED FY20 BUDGET	APPROVED FY21 BUDGET	PROPOSED FY22 BUDGET	REVENUE VARIANCE
State Appropriation	\$26,729,600	\$26,729,600	\$25,459,000	\$25,259,100	\$24,115,086	\$27,186,100	\$3,071,014
Tuition and Mandatory Fees	\$15,437,500	\$15,887,500	\$15,960,000	\$17,000,000	\$13,598,000	\$15,666,000	\$2,068,000
Non-mandatory Fees	\$430,600	\$430,600	\$430,000	\$500,000	\$400,000	\$675,000	\$275,000
Employee Parking	\$33,400	\$33,400	\$35,000	\$35,000	\$28,000	\$30,000	\$2,000
Interest Income	\$100,000	\$100,000	\$100,000	\$175,000	-	\$10,586	\$10,586
Indirect Cost Recovery	\$500,000	\$500,000	\$500,000	\$500,000	\$400,000	\$400,000	0
Auxiliary Enterprises	3,734,500	3,734,500	4,500,000	5,205,000	\$2,082,000	6,021,000	\$3,939,000
TOTAL	\$46,965,600	\$47,415,600	\$46,984,000	\$48,674,100	\$40,623,086	\$49,988,686	\$9,365,600



Kentucky State University

FY2022

Budget Snapshot

	AMMENDED FY20 BUDGET	APPROVED FY21 BUDGET	PROPOSED FY22 BUDGET
		1200 FTE Students	1500 FTE Students
SOURCE OF REVENUE FUNDS			
State Appropriation	\$ 25,259,100	\$ 24,115,085	\$ 27,186,100
Tuition and Mandatory Fees	\$ 17,000,000	\$ 13,598,000	\$ 15,666,000
Non-mandatory (Optional) Fees	\$ 500,000	\$ 400,000	\$ 675,000
Employee Parking	\$ 35,000	\$ 28,000	\$ 30,000
Interest Income	\$ 175,000	\$ -	\$ 10,586
Indirect Cost Recovery	\$ 500,000	\$ 400,000	\$ 400,000
Auxiliary Enterprises	\$ 5,205,000	\$ 2,082,000	\$ 6,021,000
TOTAL REVENUE	\$ 48,674,100	\$ 40,623,086	\$ 49,988,686
EXPENDITURES BY UNIT			
ACADEMIC AFFAIRS			
Personnel	\$ 7,955,800	\$ 8,121,647	\$ 9,326,707
Fringe Benefits	\$ 2,830,335	\$ 2,030,412	\$ 2,145,143
Operating Expenses	\$ 7,434,620	\$ 7,480,372	\$ 9,063,360
	\$ 18,220,755	\$ 17,632,431	\$ 20,535,210
AUXILIARY SERVICES			
Personnel	\$ 132,759	\$ 116,200	\$ 142,048
Fringe Benefits	\$ 47,793	\$ 34,860	\$ 45,455
Operating Expenses	\$ 4,708,530	\$ 2,223,806	\$ 3,908,160
	\$ 4,889,082	\$ 2,374,866	\$ 4,095,663
BOARD OF REGENTS			
Personnel	\$ 165,000	\$ 375,992	\$ 399,280
Fringe Benefits	\$ 59,400	\$ 93,998	\$ 91,834
Operating Expenses	\$ 60,000	\$ 118,364	\$ 19,710
	\$ 284,400	\$ 588,354	\$ 510,824
BRAND IDENTITY AND UNIVERSITY RELATIONS			
Personnel	\$ 631,500	\$ 715,892	\$ 707,280
Fringe Benefits	\$ 227,340	\$ 214,768	\$ 162,674
Operating Expenses	\$ 250,500	\$ 182,236	\$ 296,260
	\$ 1,109,340	\$ 1,112,896	\$ 1,166,214
CAMPUS ATHLETICS			
Personnel	\$ 1,489,000	\$ 1,181,242	\$ 1,367,002
Fringe Benefits	\$ 536,040	\$ 354,373	\$ 314,411
Operating Expenses	\$ 720,500	\$ 332,252	\$ 445,262
	\$ 2,745,540	\$ 1,867,867	\$ 2,126,675

	AMMENDED FY20 BUDGET	APPROVED FY21 BUDGET	PROPOSED FY22 BUDGET
		1200 FTE Students	1500 FTE Students
FACILITIES AND INFRASTRUCTURE			
Personnel	\$ 1,903,759	\$ 1,241,659	\$ 1,434,411
Fringe Benefits	\$ 685,353	\$ 682,912	\$ 860,646
Operating Expenses	\$ 3,216,862	\$ 3,043,871	\$ 2,494,379
	\$ 5,805,974	\$ 4,968,442	\$ 4,789,436
FINANCE AND ADMINISTRATION			
Personnel	\$ 3,290,881	\$ 2,392,763	\$ 1,997,319
Fringe Benefits	\$ 1,184,717	\$ 717,829	\$ 739,008
Operating Expenses	\$ 6,527,200	\$ 6,012,148	\$ 7,584,192
	\$ 11,002,798	\$ 9,122,739	\$ 10,320,519
GENERAL COUNSEL			
Personnel	\$ -	\$ 311,281	\$ 307,915
Fringe Benefits	\$ -	\$ 77,820	\$ 76,979
Operating Expenses	\$ -	\$ 114,350	\$ 201,125
	\$ -	\$ 503,451	\$ 586,019
PRESIDENT'S OFFICE			
Personnel	\$ 1,190,870	\$ 70,177	\$ 414,398
Fringe Benefits	\$ 428,713	\$ 17,544	\$ 95,312
Operating Expenses	\$ 479,500	\$ 186,166	\$ 316,900
	\$ 2,099,083	\$ 273,887	\$ 826,610
STUDENT ENGAGEMENT AND CAMPUS LIFE			
Personnel	\$ 1,604,212	\$ 476,015	\$ 1,459,153
Fringe Benefits	\$ 559,516	\$ 142,805	\$ 461,793
Operating Expenses	\$ 353,400	\$ 109,444	\$ 257,631
	\$ 2,517,128	\$ 728,264	\$ 2,178,577
STUDENT SUCCESS			
Personnel	\$ -	\$ 959,419	\$ 1,314,644
Fringe Benefits	\$ 559,516	\$ 287,826	\$ 347,245
Operating Expenses	\$ 353,400	\$ 202,643	\$ 216,050
	\$ -	\$ 1,449,888	\$ 1,877,939
1.886% STAFF INITIATIVE			
Personnel			\$ 650,000
Fringe Benefits			\$ 325,000
			\$ 975,000
TOTAL EXPENDITURES	\$ 48,674,100	\$ 40,623,086	49,988,686
NET POSITION		\$ -	\$ -

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Kentucky State University

FY2022

Budget Allocations

Kentucky State University

E&G Funds

Budget Allocation FY2022

SOURCE OF REVENUE FUNDS	Amended FY20 Budget	Approved FY21 Budget	Proposed FY22 Budget
State Appropriation	\$ 25,259,100	\$ 24,115,085 *	\$ 27,186,100
Tuition and Mandatory Fees	\$ 17,000,000	\$ 13,598,000	\$ 15,666,000
Non-mandatory (Optional) Fees	\$ 500,000	\$ 400,000	\$ 675,000
Employee Parking	\$ 35,000	\$ 28,000	\$30,000
Interest Income	\$ 175,000	\$ -	\$ 10,586
Indirect Cost Recovery	\$ 500,000	\$ 400,000	\$ 400,000
Auxiliary Enterprises	\$ 5,205,000	\$ 2,082,000 **	\$ 6,021,000
TOTAL REVENUE	\$ 48,674,100	\$ 40,623,086	\$ 49,988,686

Budget Notes

- * On April 30, 2020, the State Budget Director anticipated a General Fund revenue shortfall in the current fiscal year. All public postsecondary institutions' general appropriation funds were reduced by 1.0%. In anticipation of a subsequent budget reduction for FY2021, the state appropriation amount listed above includes a 5% reduction of \$1,269,215
- ** Revenue for Auxiliary Services has been reduced by 50% due to an expected decline in students residing on campus

EXPENDITURES

ACADEMIC AFFAIRS

Personnel	\$ 7,955,800	\$ 8,121,647	\$ 9,326,707
Fringe Benefits	\$ 2,830,335	\$ 2,030,412	\$ 2,145,143
Operating Expenses	\$ 7,434,620	\$ 7,480,372	\$ 9,063,360
	\$ 18,220,755	\$ 17,632,431	\$ 20,535,210

Budget Notes

- Total Personnel: 197
- Operating expenses include general operating supplies, accreditation fees, state match appropriation of federal land-grant, and service/maintenance contracts including: Blackboard, CampusLabs, and Interfolio

AUXILIARY SERVICES

Personnel	\$ 132,759	\$ 116,200	\$ 142,048
Fringe Benefits	\$ 47,793	\$ 34,860	\$ 45,455
Operating Expenses	\$ 4,708,530	\$ 2,223,806	\$ 3,908,160
	\$ 4,889,082	\$ 2,374,866	\$ 4,095,663

Budget Notes

- Total Personnel: 2.5
- Operating expenses include general operating supplies and service/maintenance contracts including: Sodexo, Ricoh, and Follett

BOARD OF REGENTS

Personnel	\$ 165,000	\$ 375,992	\$ 399,280
Fringe Benefits	\$ 59,400	\$ 93,998	\$ 91,834
Operating Expenses	\$ 60,000	\$ 118,364	\$ 19,710
	\$ 284,400	\$ 588,354	\$ 510,824

Budget Notes

1. Total Personnel: 3
2. Operating expenses include general operating supplies, travel expenses, and membership dues and fees

BRAND IDENTITY/UNIVERSITY RELATIONS

Personnel	\$ 631,500	\$ 715,892	\$ 707,280
Fringe Benefits	\$ 227,340	\$ 214,768	\$ 162,674
Operating Expenses	\$ 250,500	\$ 182,236	\$ 296,260
	\$ 1,109,340	\$ 1,112,896	\$ 1,166,214

Budget Notes

1. Total Personnel: 10
2. Operating expenses include general operating supplies, travel and service/maintenance contracts such as Raiser's Edge, Rave, Juicer, and Meltwater

CAMPUS ATHLETICS

Personnel	\$ 1,489,000	\$ 1,181,242	\$ 1,367,002
Fringe Benefits	\$ 536,040	\$ 354,373	\$ 314,411
Operating Expenses	\$ 720,500	\$ 332,252	\$ 445,262
	\$ 2,745,540	\$ 1,867,867	\$ 2,126,675

Budget Notes

1. Total Personnel: 24
2. Operating expenses include general operating supplies, travel, KORT Training Services, and NCAA Membership dues

FACILITIES AND INFRASTRUCTURE

Personnel	\$ 1,903,759	\$ 1,241,659	\$ 1,434,411
Fringe Benefits	\$ 685,353	\$ 682,912	\$ 860,646
Operating Expenses	\$ 3,216,862	\$ 3,043,871	\$ 2,494,379
	\$ 5,805,974	\$ 4,968,442	\$ 4,789,436

Budget Notes

1. Total Personnel: 49
2. Operating expenses include general operating supplies and service/maintenance contracts including: utilities, bus leases, and the University water treatment

FINANCE AND ADMINISTRATION

Personnel	\$ 3,290,881	\$ 2,392,763	\$ 1,997,319
Fringe Benefits	\$ 1,184,717	\$ 717,829	\$ 739,008
Operating Expenses	\$ 6,527,200	\$ 6,012,148	\$ 7,584,192
	\$ 11,002,798	\$ 9,122,739	\$ 10,320,519

Budget Notes

1. Total Personnel: 41
2. Operating expenses include University insurance premiums, student scholarships, and service/maintenance contracts including: BANNER, student default prevention, telephone services, and external auditing services

GENERAL COUNSEL

Personnel	\$ -	\$ 311,281	\$ 307,915
Fringe Benefits	\$ -	\$ 77,820	\$ 76,979
Operating Expenses	\$ -	\$ 114,350	\$ 201,125
	<u>\$ -</u>	<u>\$ 503,451</u>	<u>\$ 586,019</u>

Budget Notes

1. Total Personnel: 4
2. Operating expenses include general operating supplies and service/maintenance contracts including: external legal services

PRESIDENT'S OFFICE

Personnel	\$ 1,190,870	\$ 70,177	\$ 414,398
Fringe Benefits	\$ 428,713	\$ 17,544	\$ 95,312
Operating Expenses	\$ 479,500	\$ 186,166	\$ 316,900
	<u>\$ 2,099,083</u>	<u>\$ 273,887</u>	<u>\$ 826,610</u>

Budget Notes

1. Total Personnel: 9
2. Operating expenses include general operating supplies, travel expenses, and membership dues and fees

STUDENT ENGAGEMENT/CAMPUS LIFE

Personnel	\$ 1,604,212	\$ 476,015	\$ 1,459,153
Fringe Benefits	\$ 559,516	\$ 142,805	\$ 461,793
Operating Expenses	\$ 353,400	\$ 109,444	\$ 257,631
	<u>\$ 2,517,128</u>	<u>\$ 728,264</u>	<u>\$ 2,178,577</u>

Budget Notes

1. Total Personnel: 26
2. Operating Expenses include general operating supplies, homecoming expenses, and mental health services

STUDENT SUCCESS

Personnel	\$ -	\$ 959,419	\$ 1,314,644
Fringe Benefits	\$ 559,516	\$ 287,826	\$ 347,245
Operating Expenses	\$ 353,400	\$ 202,643	\$ 216,050
	<u>\$ -</u>	<u>\$ 1,449,888</u>	<u>\$ 1,877,939</u>

Budget Notes

1. Total Personnel: 36
2. Operating expenses include general operating supplies, service/maintenance contracts for the following areas:
University College, PreCollege Academy, and New Student Orientation

1.886% STAFF INITIATIVE

Personnel		\$ 650,000
Fringe Benefits		\$ 325,000
		<u>\$ 975,000</u>

TOTAL EXPENDITURES	\$ 48,674,100	\$ 40,623,086	49,988,686
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Kentucky State University

FY2022

Budget Details

ACADEMIC AFFAIRS		FY2021	FY2022
610	SALARY AND WAGES	8,121,647	9,326,707
620	FRINGE BENEFITS	2,030,415	2,145,143
	PERSONNEL BUDGET	10,152,062	11,471,850
7100	GENERAL OPERATING SUPPLIES	53,500	75,000
7110	TRAVEL	5,000	15,000
7130	SERVICES	39,500	257,950
7140	INTERDEPARTMENTAL	40,000	30,000
7160	MEMBERSHIPS AND DUES	80,281	80,280
7191	INSURANCE	12,000	18,000
7200	BOOKS AND PERIODICALS	70,221	350,000
7250/7270	EQUIPMENT	31,067	26,730
	LAND GRANT STATE MATCH	7,148,800	8,210,400
	OPERATING BUDGET	7,480,369	9,063,360
	TOTAL DEPARTMENT	17,632,431	20,535,210

AUXILIARY SERVICES		FY2021	FY2022
610	SALARY AND WAGES	116,200	142,048
620	FRINGE BENEFITS	34,860	45,455
	PERSONNEL BUDGET	151,060	187,503
7100	GENERAL OPERATING SUPPLIES	21,800	18,015
7130	SERVICES	1,818,755	3,500,675
7140	INTERDEPARTMENTAL	10,000	15,000
7170	RENT/LEASE	224,260	224,130
7191	INSURANCE	122,992	123,340
7250/7270	EQUIPMENT	26,000	27,000
	OPERATING BUDGET	2,223,807	3,908,160
	TOTAL DEPARTMENT	2,374,867	4,095,663

BOARD OF REGENTS		FY2021	FY2022
610	SALARY AND WAGES	375,992	399,280
620	FRINGE BENEFITS	93,998	91,834
	PERSONNEL BUDGET	469,990	491,114
7100	GENERAL OPERATING SUPPLIES	10,850	3,000
7110	TRAVEL	10,014	4,500
7130	SERVICES	87,000	7,500
7140	INTERDEPARTMENTAL	4,500	2,500
7160	MEMBERSHIPS AND DUES	6,000	2,210
	OPERATING BUDGET	118,364	19,710
	TOTAL DEPARTMENT	588,354	510,824

BRAND IDENTITY / UNIVERSITY RELATIONS		FY2021	FY2022
610	SALARY AND WAGES	715,892	707,280
620	FRINGE BENEFITS	214,768	162,674
	PERSONNEL BUDGET	930,660	869,954
7100	GENERAL OPERATING SUPPLIES	42,500	56,390
7110	TRAVEL	30,000	40,000
7120	COMMUNICATION	10,000	10,000
7130	SERVICES	72,396	154,970
7140	INTERDEPARTMENTAL	10,840	15,000
7160	MEMBERSHIPS AND DUES	9,000	10,000
7250/7270	EQUIPMENT	7,500	10,000
	OPERATING BUDGET	182,236	296,260
	TOTAL DEPARTMENT	1,112,896	1,166,214

CAMPUS ATHLETICS		FY2021	FY2022
610	SALARY AND WAGES	1,181,242	1,367,002
620	FRINGE BENEFITS	354,373	314,411
	PERSONNEL BUDGET	1,535,615	1,681,413
7100	GENERAL OPERATING SUPPLIES	35,000	35,000
7110	TRAVEL	92,000	155,000
7130	SERVICES	120,022	175,022
7140	INTERDEPARTMENTAL	40,000	35,000
7160	MEMBERSHIPS AND DUES	30,000	30,000
7170	RENT/LEASE	3,000	3,000
7250/7270	EQUIPMENT	12,230	12,240
	OPERATING BUDGET	332,252	445,262
	TOTAL DEPARTMENT	1,867,867	2,126,674

FACILITIES ADMINISTRATION		FY2021	FY2022
610	SALARY AND WAGES	1,241,659	1,434,411
620	FRINGE BENEFITS	682,912	860,646
	PERSONNEL BUDGET	1,924,571	2,295,057
7100	GENERAL OPERATING SUPPLIES	76,000	54,780
7120	COMMUNICATION	3,000	
7130	SERVICES	2,450,271	1,950,099
7170	RENT/LEASE	217,000	217,000
7191	INSURANCE	147,600	147,600
8200	CAPITAL CONSTRUCTION	150,000	124,900
	OPERATING BUDGET	3,043,871	2,494,379
	TOTAL DEPARTMENT	4,968,442	4,789,436

FINANCE AND ADMINISTRATION		FY2021	FY2022
610	SALARY AND WAGES	2,392,762	1,997,319
620	FRINGE BENEFITS	717,829	739,008
	PERSONNEL BUDGET	3,110,591	2,736,327
7100	GENERAL OPERATING SUPPLIES	25,000	25,000
7110	TRAVEL	15,000	20,000
7120	COMMUNICATION	125,000	125,000
7130	SERVICES	952,148	984,192
7140	INTERDEPARTMENTAL	10,000	15,000
7191	INSURANCE	650,000	650,000
7213	STUDENT SCHOLARSHIPS	3,335,000	5,100,000
7250/7270	EQUIPMENT	150,000	165,000
***	DEBT SERVICE	750,000	500,000
	OPERATING BUDGET	6,012,148	7,584,192
	TOTAL DEPARTMENT	9,122,739	10,320,519

OFFICE OF GENERAL COUNSEL		FY2021	FY2022
610	SALARY AND WAGES	311,281	307,915
620	FRINGE BENEFITS	77,820	76,979
	PERSONNEL BUDGET	389,101	384,894
7100	GENERAL OPERATING SUPPLIES	750	500
7130	SERVICES	112,710	200,000
7140	INTERDEPARTMENTAL	890	625
	OPERATING BUDGET	114,350	201,125
	TOTAL DEPARTMENT	503,451	586,019

PRESIDENT'S OFFICE		FY2021	FY2022
610	SALARY AND WAGES	70,117	414,398
620	FRINGE BENEFITS	17,544	95,312
	PERSONNEL BUDGET	87,661	509,710
7100	GENERAL OPERATING SUPPLIES	35,000	45,000
7110	TRAVEL	60,000	70,000
7120	COMMUNICATION	1,200	1,200
7130	SERVICES	70,120	174,300
7140	INTERDEPARTMENTAL	5,400	10,000
7160	MEMBERSHIPS AND DUES	12,006	12,900
7250/7270	EQUIPMENT	2,500	3,500
	OPERATING BUDGET	186,226	316,900
	TOTAL DEPARTMENT	273,887	826,610

STUDENT ENGAGEMENT / CAMPUS LIFE		FY2021	FY2022
610	SALARY AND WAGES	473,015	1,459,153
620	FRINGE BENEFITS	142,805	461,793
	PERSONNEL BUDGET	615,820	1,920,946
7100	GENERAL OPERATING SUPPLIES	25,000	65,000
7110	TRAVEL	15,000	20,000
7130	SERVICES	26,444	122,361
7140	INTERDEPARTMENTAL	7,500	10,000
7170	RENT/LEASE	37,000	37,770
7250/7270	EQUIPMENT	1,500	2,500
	OPERATING BUDGET	112,444	257,631
	TOTAL DEPARTMENT	728,264	2,178,576

STUDENT SUCCESS		FY2021	FY2022
610	SALARY AND WAGES	959,419	1,314,644
620	FRINGE BENEFITS	287,826	347,245
	PERSONNEL BUDGET	1,247,245	1,661,889
7100	GENERAL OPERATING SUPPLIES	15,000	20,000
7110	TRAVEL	15,000	20,000
7130	SERVICES	158,883	161,050
7140	INTERDEPARTMENTAL	13,760	15,000
	OPERATING BUDGET	202,643	216,050
	TOTAL DEPARTMENT	1,449,888	1,877,939

1.886% STAFF INITIATIVE		FY2021	FY2022
610	SALARY AND WAGES		650,000
620	FRINGE BENEFITS		325,000
	PERSONNEL BUDGET		975,000
	TOTAL DEPARTMENT		975,000

OFFICE OF THE PRESIDENT

president@ky su.ed u | 502.597.6260 | Hume Hall, S uite 201
400 E. Main S tr eet | F rankfo rt, KY 40601

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KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Approval of Pouring Rights Contract
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: YES

BACKGROUND: On September 3, 2020, the Kentucky State University Board of Regents **approved the University's Pouring Rights** RFP solicitation seeking one (1) qualified vendor to provide a national brand of beverages for the Kentucky State University and University events. The University then solicited proposals for competitive negotiation in accordance with KRS 45A.085 and 200 KAR 5:307.

The University received two proposals in response to the University's Pouring Rights RFP solicitation to provide KSU with non-vending fountain beverages for campus dining, catering, university retail and concession and to provide KSU with an in-kind contribution.

The University formed a committee of five (5) members drawn from various campus units to evaluate and score the proposals.

The University Purchasing Officer opened the sealed bids included with the proposals.

The committee evaluated those two proposals and identified the responsible and responsive vendor whose proposal was determined to be the most advantageous to the Commonwealth, taking in to consideration price and the evaluation factors set forth in the request for proposal.

The committee recommended that the University select that vendor and begin contract negotiations.

The University brought that recommendation to the Kentucky State University Board of Regents. The Kentucky State University Board of Regents approved that recommendation at the March 4, 2021 board meeting.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

SUMMARY OF PROGRAMS/ACTIVITIES: The University has now awarded the pouring rights contract to the selected vendor based on the objective criteria of the RFP. The proposed negotiated contract **includes both KSU's commitment to grant the vendor** exclusive rights to beverage distribution as well as reflecting the **winning vendor's** proposed in-kind contributions to KSU.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: A pouring rights contract with a national brand of beverages allows for a diversified product portfolio to students, staff and visitors on campus.

FISCAL IMPLICATIONS: KSU negotiated a contract with the selected vendor to provide agreed upon in-**kind contributions to KSU in exchange for KSU's commitment to use the** vendor exclusively for beverage distribution.

RECOMMENDATION: Kentucky State University Board of Regents approve the selection of a new pouring rights vendor.

ATTACHMENTS: YES



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Annual Report from the Kentucky State University Foundation
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: NO

BACKGROUND: Annually the Kentucky State University (KSU) Foundation provides a holistic report of their activities for the prior fiscal year. Representatives from the KSU Foundation will present their Annual Report.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: KSU Foundation Income and performance.

RECOMMENDATION: N/A

ATTACHMENTS: N/A

Kentucky State University
Board of Regents
June 3, 2021

KSU Foundation Miscellaneous Data

I. Accounts

318 Number of Accounts
60 Number of Endowed Accounts
258 Number of Restricted Accounts

II. Covid Student Relief through April 27, 2021

COVID-HEERF	\$596,553
COVID CARES	\$ 33,260
STU. EMERG.	\$ 23,505
	\$653,318

III. KSUF (Through 3/31/2021)

Total Income	\$2,856,953
Contributions	\$1,129,265
Interest, Dividends, Gains (Realized and Unrealized)	\$1,727,688

Expenditures

Scholarships	\$190,509
Student Support	\$682,105
University Support	\$375,152

Total Income	\$2,856,953
Total Expenses	\$1,479,087
NET INCOME	\$1,377,865

IV. KSUF

Employees 2 Part-time (KSU retirees)
 1 Volunteer (KSU & KSUF retiree)
Contract CPA's and Legal Counsel Are Retained

V. As of 3/31/2021

	Income	Expenses	
Endowed	\$1,318,195	\$327,447	\$990,748
Restricted	\$1,135,970	\$957,311	\$178,659
Unrestricted	\$402,787	\$194,327	\$208,460

VI. Expenditure Ratio

KSU	87%
KSUF	13%

VII. 3/31/2021 Assets	\$12,278,359
Liabilities	\$12,278,359



KENTUCKY STATE UNIVERSITY FOUNDATION

FACT BOOK

MAY 2021



MISSION

The purpose of the Kentucky State University Foundation shall be to receive contributions, gifts, grants, devises or bequests, or real or personal property, or both from individuals, foundations, partnerships, associations, governmental bodies, and public or private corporations, and to maintain, use and apply such property and income therefrom for the benefit of Kentucky State University or for any student, faculty or staff members, or any line of work, teaching or investigation of Kentucky State University.



HISTORY

The Kentucky State University Foundation was established on July 7, 1968, by President Carl M. Hill as an alumni-based entity to solicit, receive, invest and dispense private-source funds on behalf of Kentucky State University. The KSU Foundation

Board of Trustees, composed of alumni and others from various professions and who have varied experiences, governs the foundation.

The Kentucky State University Foundation Inc. is currently staffed on a part-time basis by three individuals. In addition, legal counsel is retained as well as persons who are designated as CPAs.

GENERAL OPERATIONAL INFORMATION

- **What funds are deposited at the foundation and what to the university?**

Private-source contributions/gifts/donations are deposited at the foundation and are invested. (Since the foundation's inception in 1968 and prior to the Dr. Mary L. Smith administration, all private-source funds were deposited and disbursed through the KSU Foundation. From approximately 1992 until 2006, private-source funds received by the university were deposited at the university. Funds received directly by the KSU Foundation were deposited at the foundation. For those years, similar accounts were maintained and continue to be maintained by the university (External Relations and Development/Institutional Advancement) and the KSU Foundation. The foundation is, and has been since the 2006-2007 fiscal year, the sole depository for funds raised from private sources. During that year, all private-source fund accounts were transferred from the university to the foundation.

With the exception of donations to the Vision 20/20 endowment campaign (Bucks for Brains funds) for the university and managed by Fifth Third Bank, funds received in the Office of Institutional Advancement continue to be deposited into the foundation. The Office of Institutional Advancement accounts for private-source giving; the KSU Foundation accounts for income and expenditures, investments, earnings and fees.

- **The parameters for students to qualify for various scholarships and documentation to provide to the foundation, etc.**

The vast majority of scholarships at the foundation are governed by donor-specific criteria and guidelines. Those guidelines/criteria have to be met by the student in addition to the student being currently enrolled, making acceptable academic progress, being in good standing and demonstrating need. Over-awarding of scholarships is not knowingly practiced as the majority of foundation scholarships are not refundable to the student unless specified by the donor. Some scholarships are donor awarded or program awarded – that is, the Barkley Memorial, Permanent Alumni Scholarship, Cincinnati Alumni Chapter, Cleveland Alumni Chapter, etc.

- **Information and documentation required to be provided to the foundation to request funds from any account.**

Disbursements originating at KSU must be input onto a KSU/KSUF Disbursement Request Form by the requestor. Supervisory, departmental and presidential approval (signatures) are required. After verification of accounts and funds availability, the final approval is granted by the KSUF Executive Secretary. Documentation required includes an invoice, quote or detailed breakdown with supporting documentation/receipts for the expenditure and any proof of university involvement and/or support.

KENTUCKY STATE UNIVERSITY FOUNDATION INC.

Board Members 2020-2021

Mr. Cuttie W. Bacon IV
Upper Marlboro, Maryland

Mrs. Naomi Barkley
Cincinnati, Ohio

Dr. Clifford Claiborne
Chicago, Illinois

Mrs. Tava T. Clay
Lexington, Kentucky

Mr. Kevin Cooke
Washington, D.C.

Mr. A. Frazier Curry
Louisville, Kentucky

Mr. Lytle T. Davis
Cleveland, Ohio

Ms. LaTonya Dawson
Cincinnati, Ohio

Mrs. Ann B. Garvin
Topeka, Kansas

Ms. Kendra Hamilton
McKinney, Texas

Dr. Lee Charles Harris
Frankfort, Kentucky

Mr. Donald W. Lyons
Lexington, Kentucky

Mr. Jeffrey McDonald
Chesterfield, Missouri

Dr. George Moore
Mason, Ohio

Mr. Willie E. Peale Jr.
Frankfort, Kentucky

Ms. Lea Robertson
Cincinnati, Ohio

Dr. Johnny Sheppard
Frankfort, Kentucky

Ms. Kathleen Sloan
Cincinnati, Ohio

Mrs. M. Loretta Steward
Louisville, Kentucky

Mrs. Patricia W. Stone
Cincinnati, Ohio

Dr. Herbert Watkins
Louisville, Kentucky

Mr. Charles Whitehead
Villa Hills, Kentucky

MAJOR FUNDRAISING EFFORTS

CAPITAL CAMPAIGN

Kentucky State University had never conducted a capital campaign. Consequently, the university's first-ever capital campaign was launched in 2012 with the goal of raising \$12 million.

BAND UNIFORMS

The Mighty Marching Thorobreds Band is the pride of the university, with members serving as musical ambassadors at NFL games at halftime, parades, festivals and numerous other events besides those at home. The band, however, needed a new look, and most importantly – new uniforms. The alumni pitched in with donations for a successful campaign that gave the marching band its sharp new look.

THE DR. HENRY E. CHEANEY LEGACY PLAZA

The late Dr. Henry E. Cheaney was one of KSU's most beloved professors, infusing hundreds of students with his passion for history. His memory has been honored with the construction of the Cheaney Plaza, which also serves as a fundraiser for the university. Alumni, foundations, organizations, companies, the campus community and other individuals can financially contribute to KSU through the purchase of bricks that surround Cheaney's bust and line pathways through the center of campus.

WHITNEY YOUNG STATUE

Alumni desired to pay tribute to Whitney M. Young Jr., one of KSU's most well-known graduates. As its leader, he transformed the National Urban League into a prominent civil rights organization that aggressively worked for equitable access to socioeconomic opportunity. Young's statue stands at the front of the campus.

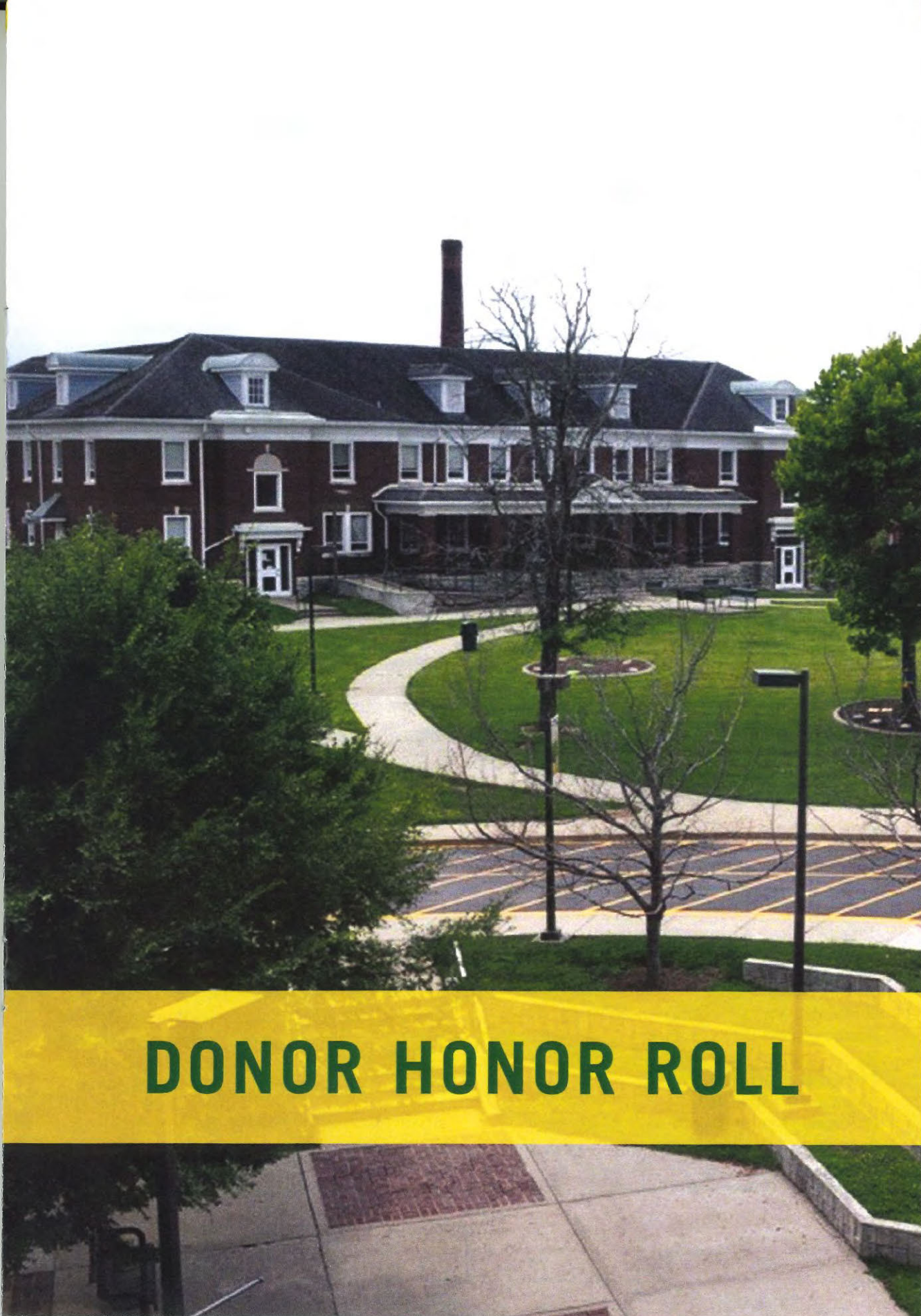
MAJOR KSU FOUNDATION EXPENDITURES ON BEHALF OF KSU

ALUMNI STADIUM SCOREBOARD

The university's scoreboard had gotten old and out of date with contemporary football fans. The new scoreboard not only displays basic game information, it also markets KSU with focused messages that scroll across the board.

ELECTRONIC MESSAGE BOARD

The Electronic Message Board at the campus' main entrance was launched as an additional resource to market to and inform both the university's external and internal audiences about Kentucky State University. The message board is used for various purposes, including to promote special events, announce important dates such as registration and announce school closings due to inclement weather.



DONOR HONOR ROLL

DONOR HONOR ROLL

July 2015 through June 2020

*For the purposes of this report, the Donor Honor roll does not contain those individuals within the Vision 20/20 endowment program qualifying for categories represented.

\$300,000 and Above

Verizon Foundation

\$100,000 - \$299,999

Kentucky Utilities Company
LG&E and KU Services
Toyota Motor Manufacturing, N.A., Inc.

\$50,000 - \$99,999

Charles E. Schnell Foundation
Estate of Sarah Livingston
Indiana Black Expo, Inc.
Park Community Credit Union
Thurgood Marshall College Fund

\$10,000 - \$49,999

American Honda Motor Co., Inc.
Anonymous
Ashland, Inc.
Atkins, James & Artie
Barkley, Naomi
Boline, William
Bourbon on the Banks Festival, Inc.
Brown-Forman Corporation
Chicago Alumni Chapter
Coca-Cola Consolidated
Community Baptist Church
Community Trust Bank
Cook, Vassie

Davis, Lytle T.
Davis, Margaret B.
Davis-Jackson, Christy E.
Deloitte Services, LLC
Gardner-Sparrow, Jacqueline L.
General Motors, LLC
Grimes, Terrence K.
Hamilton, Bennyce E.
Helen Fishter Frye Estate
Klist Entertainment, LLC
Learfield Licensing Partners
Lexington KSU Alumni Chapter
Meritor Heavy Corporation
Mitchell, Marilyn J.
Moore, George T.
Rainmake Hospitality
Schwab Charitable Fund
Sixth Episcopal District of the A.M.E.
Church
Snorton, Andrew
Tom Joyner Foundation
United Supreme Council Charitable
Foundation
Verbena Scott Estate
Watkins, Herbert N. & Beverly A.
Wordlaw, Isaac

DONOR HONOR ROLL

July 2015 through June 2020

\$5,000 – \$9,999

Alpha Upsilon Foundation, Inc.
Beauchamp, Patrick L
Bullitt, J.T.
Camp Marketing Services, LLC
Cincinnati KSU Alumni Chapter
Collins, Powhatan
Contemporary Services Corporation
Danville Area KSU Alumni Chapter
Dr. Maya Angelou Foundation
Elliott, Willie L. & Deloris R.
Fidelity Charitable
Fullman, Jason F.
Gatewood & Associates Realty Group
GE Foundation
Indianapolis KSU Alumni Chapter
Johnson, Lonnie & Azalene
Jones, Rhonda M.
Kentucky Region KSU Alumni Association
Kentucky Teacher's Retirement System
Kentucky Transportation Cabinet
Lillian B. Perkins Estate
Louisville KSU Alumni Chapter
Morris & Morris, PSC
National Christian Foundation
Neil Huffman Automotive Group
Networking & Engineering Technologies
The Philadelphia Foundation
Proctor & Gamble Fund
Republic Bank & Trust Co.
Sperry Van Ness
State Farm Companies Foundation
Stone, Patricia W.
Stratpaths, Inc.
Tabernacle Missionary Baptist Church
Thornton, Walter E.
Traditional Bank
Valvoline, LLC
Whiting, Patricia P.

BEQUESTS

ALUMNI LEAVE LASTING LEGACIES

Kentucky State University alumni have fond memories of their time on campus. They've met lifelong friends, learned valuable lessons and were shaped into men and women who went out to change the world and benefit their communities.

To ensure that other students have the same experiences they had, many alumni are choosing to make significant contributions to their alma mater via bequests.

Three such alumna featured below had donated to the university through bequests. Mary Faulkner left \$219,398 to establish the Mary Faulkner Endowed Scholarship Fund. Laura Gaskins bequeathed \$247,500, creating the Ashby U. and Laura Grevious Gaskins Endowed Scholarship Fund. Pearl Dale contributed \$300,000, establishing the Pearl Dale and Audrey Parker Endowed Scholarship Fund.

Estate giving allows alumni and others to leave a lasting legacy at the university via contributions of real or personal property, a sum of money or a percentage of the estate. By designating KSU as a beneficiary in their will, individuals will avoid estate taxes on the amount donated to the university.

MARY FAULKNER

For many years, Mary Faulkner worked as an educator in the Detroit Public Schools, first as a home economics teacher and then as a counselor. Though she never had children of her own, she considered her students part of her family and supported all of the children in her life who pursued a higher education.

"She was convinced that education was the way out and the way up in life, and she put her money where her mouth was," Maureen Stapleton, Faulkner's goddaughter and executor of her will, has said.

When Faulkner passed away in February 2008, she left a large portion of her estate to Kentucky State University and the United Negro College Fund. It was money that her family and friends never knew she had, but that she had been putting away to help better the lives of students who wanted to pursue their dreams.

"She strongly believed in the benefits of an education, specifically an education at historically black colleges and universities," Stapleton said. "Having that opportunity available for students was exceptionally important to her."

PEARL DALE

Pearl Dale was never one to talk about money. She lived a modest life on her teacher's salary.

So when she left funds to Kentucky State University, her friends were shocked. That was not the extent of her educational giving however. She also set up a scholarship fund in her native Dayton, Ohio, to provide young African Americans the funds necessary to attend college.

After she graduated in 1938, she taught third grade at Dayton's Wogaman Elementary – same grade, same school – for 30 years.

George Hicks, who had known Dale since they were both young, used to see Dale during the summers when he was in Lexington and she was attending KSU.

"She appreciated having an education, because in those days, it was not easy to get a job," Hicks said. "When she graduated from college, she went to the board of education in Dayton and got a job right away."

Dale was extremely close to her parents and sister, Audrey Parker '35, who left Dale a portion of her estate, which she used to establish her Dayton scholarship fund.

LAURA GASKINS

Laura Gaskins remembered what it was like to struggle. An advocate of higher education, she was determined to earn a college degree and thought everyone should be afforded the same opportunities.

When she died on Nov. 19, 2006, at the age of 92, she left funds to Kentucky State University to establish the Ashby U. and Laura Grevious Gaskins Endowed Scholarship Fund. "She really had a good, but also a very difficult time at KSU as related to expenses," said Peggy Hampton, Gaskins' niece. "Her family was very poor, as many were at that time. But her parents encouraged all of their 10 children to get a higher education."

Gaskins graduated from KSU in 1939 with a degree in sociology. She later became one of the first African American social workers and supervisors in the Minneapolis, Minn., area, where she and her husband, Ashby, lived. She also worked as an adjunct professor of social work at the University of Minnesota. Together, she and her husband amassed a good income that allowed them to give back and ultimately leave a sizable bequest to her alma mater. She also had two sisters, the older Grace Grevious and younger Alice Grevious, who also graduated from KSU.

"She was always a strong supporter of the university, not only with her dollars, but with her words and deeds," Hampton said. "She encouraged others to attend Kentucky State, and she was involved with the alumni."

12 BENEFITS OF A COLLEGE DEGREE

Providing scholarship funds and financial assistance to Kentucky State University students is a principal objective of the KSU Foundation. As such, points from the article titled “12 Surprising Benefits Of A College Degree” follow citing advantages for college graduates:

1. Higher Job Satisfaction
2. Build a Social Network
3. Improve the Social Mobility of Families
4. Improve the Future of Offspring
5. More Likely to Exercise
6. More Likely to Live Longer
7. Less Likely to Be a Smoker
8. Have Healthier Children
9. Become a Better Communicator
10. Be inspired to Give Back to the Community
11. Be More Likely to Vote
12. Become More Confident



By: Sarah Goldy-Brown, Content Writer
For: PLEXUSS.COM
September 15, 2017

Independent Auditor's Report

Board of Trustees
Kentucky State University Foundation, Inc.
Frankfort, Kentucky

We have audited the accompanying financial statements of Kentucky State University Foundation, Inc. (The Foundation) which comprise the statement of financial position as of June 30, 2020 and 2019 the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Kentucky State University Foundation, Inc. as of June 30, 2020 and 2019, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matter

Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The supplementary information included on pages 17-18 is presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Charles T. Mitchell Co.

Frankfort, Kentucky
March 10, 2021

Kentucky State University Foundation, Inc.
Statements of Financial Position
June 30, 2020 and 2019

	2020	2019
Assets		
Current Assets		
Cash and Cash Equivalents	\$ 1,205,650	\$ 1,705,717
Investments, at Fair Value	9,658,675	9,722,111
Property and Equipment:		
Equipment	140,400	140,400
Buildings and Improvements	65,526	65,526
Total Property and Equipment	205,926	205,926
Accumulated Depreciation	(189,634)	(186,759)
Property and Equipment, Net	16,292	19,167
Other Assets	32,119	37,833
Total Assets	<u>\$ 10,912,736</u>	<u>\$ 11,484,828</u>
Liabilities and Net Assets		
Current Liabilities		
Accounts Payable	\$ 12,250	\$ 3,463
Accrued Liabilities	4,697	3,618
Total Liabilities	16,947	7,081
Net Assets		
Without Donor Restrictions		
Undesignated	85,268	192,739
Board Designated for Endowment	1,884,774	2,240,078
Total Without Donor Restrictions	1,970,042	2,432,817
With Donor Restrictions		
Purpose Restriction	4,837,222	5,052,179
Time-Restricted for Future Periods	82,000	82,000
Perpetual in Nature	4,006,525	3,910,751
Total With Donor Restrictions	8,925,747	9,044,930
Total Net Assets	10,895,789	11,477,747
Total Liabilities and Net Assets	<u>\$ 10,912,736</u>	<u>\$ 11,484,828</u>

See accompanying notes.



KENTUCKY STATE UNIVERSITY FOUNDATION INC.

Willie E. Peale Jr., Esq., President

Donald W. Lyons, Executive Secretary

P.O. Box 4210
Frankfort, KY 40604

Phone (502) 875-0187
Fax (502) 875-0188

Email: ksufndt@aol.com
Website: www.kysufoundation.org



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Annual Staff Calendar
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: NO

BACKGROUND: The Board previously approved a five (5) year staff Holiday Schedule. Attached is the proposed Holiday Schedule for Academic Year 2021-22.

SUMMARY OF PROGRAMS/ACTIVITIES: Annual review of the upcoming Staff Holiday Schedule, aligned with the Academic Calendar.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 3: Increase the University's Financial Strength and Operational Efficiency

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: Staff Holiday Schedule Fiscal Year 2020-21

RECOMMENDATION: N/A

ATTACHMENTS: YES

Annual Staff Holiday Calendar 2021-22

Holiday	Observed	Day(s) Observed
Independence Day	July 5	Monday
Labor Day	September 6	Monday
Columbus Day	October 11	Monday
Veterans Day	November 11	Thursday
Thanksgiving Break	November 22-27	Monday -Saturday
Winter Break	December 14, 2021- January 3, 2022	Tuesday - Monday
Martin Luther King, Jr. Day	January 17	Monday
President's Day	February 21	Monday
Spring Break	March 14-18	Monday- Friday
Good Friday	April 15	Friday
Memorial Day	May 30	Monday



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Fall 2021 Admissions Update
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: NO

BACKGROUND: The attached chart represents data on the number of admissions applications, applicants offered admission, admit rate, number of applicants enrolled and matriculation percentage.

SUMMARY OF PROGRAMS/ACTIVITIES: This preliminary data is to inform the Board of the status of recruitment efforts and enrollment behaviors in advance of the 2021-22 AY.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Converting our prospective students into enrolled students at KSU will increase revenue from funds generated by the collection of tuition and fees.

RECOMMENDATION: N/A

ATTACHMENTS: YES

Undergraduate Enrollment and Admissions Statistics

	<u>2016</u>	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>	<u>2021</u>
Number of Applicants	3,725	2,270	3,184	2,390	3,635	4,013*
Applicants Offered Admissions	1,945	1,787	2,237	1,920	3,051	2,406*
Admit Rate (%)	52.2%	78.7%	70.3%	80.3%	83.9%	59.9%
Applicants Enrolled	396	488	412	648	672	TBD
Matriculation (%)	20.4%	27.3%	18.4%	33.8%	22.0%	TBD

**As of May 21, 2021*



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Quarterly Budget Update
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: NO

BACKGROUND: The Board of Regents approved the FY2021 budget on June 4, 2020.

SUMMARY OF PROGRAMS/ACTIVITIES: All expenses and revenues are divided by program and/or department.

Operating Revenues:

- State Appropriation - Revenue forecast has been increased to reflect additional Land Grant funding and replenishment of anticipated, but unrealized, mid-year budget reduction of \$1,592,091.
- Student Tuition and Fees – Revenue as of 4/30/2021 has exceeded the annual amount budgeted and includes Fall, Wintermester, and Spring tuition revenues.
- Auxiliary Services – Fall and Spring revenue for Auxiliary Services has exceeded the annual amount budgeted by \$3,593,169.

Operating Expenses

- Academic Affairs – Unfavorable to budget as of 4/30/2021 due to increase in adjunct and faculty hires. This increase is as a result of the increase in student enrollment for the 2020-2021 Academic Year.
- Auxiliary Services – Unfavorable to budget as of 4/30/2021 due to contractual obligations for housing and dining services. The FY2021 budget for Auxiliary Services was originally based on 40% student capacity on campus. As previously stated, due to increased revenues for Auxiliary Services, this budget is expected to be favorable by 6/30/2021.
- Board of Regents – Slightly unfavorable to budget as of 4/30/2021, but expected to be favorable by 6/30/2021
- Brand Identity/University Relations – Slightly unfavorable to budget as of 4/30/2021, but expected to be favorable by 6/30/2021
- Campus Athletics – Favorable to budget as of 4/30/2021.



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- Facilities Administration – Unfavorable to budget as of 4/30/2021 due to various deferred maintenance projects.
- Land Grant – Favorable to budget as of 4/30/2021.
- Office of General Counsel – Unfavorable to budget as of 4/30/2021 due to additional outside legal fees.
- Office of the President – Slightly unfavorable to budget as of 4/30/2021, but expected to be favorable as of 6/30/2021
- Student Engagement – Unfavorable to budget as of 4/30/2021. The Student Engagement budget was reduced in anticipation of low student enrollment due to the COVID-19 Pandemic. As student enrollment increased, additional expenses were incurred.
- Student Success – Unfavorable to budget as of 4/30/2021. The University has reinvested in centralized advising, counseling and career services and University College. Education and General operating funds were utilized as University College was expected to be funded through Title III

Note: While some areas fared better than others budgetarily, because our budgeted revenue far exceeded our budgeted expenses, **the University's overall Budget to Actual is favorable as of 4/30/2021 and forecasted to be favorable as of 6/30/2021.**

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

PROGRAM IMPLICATIONS: Not Applicable

FISCAL IMPLICATIONS: While some areas may show over budget, overall, the university is operating within its FY2020 Board approved budget.

RECOMMENDATION: Information Only

ATTACHMENTS: YES

Kentucky State University
FY2020-21 Budget to Actual
as of 4/30/2021

		YTD			FISCAL YEAR		
Account	Description	Assessed Revenue	Budgeted for 7/1-4/30/21	Actual/Budget Variance	Forecast	Approved Budget	Variance
5310	State Appropriation	\$ 20,323,215	\$ 20,095,905	\$ 227,310	\$ 25,707,177	\$ 24,115,086	\$ 1,592,091
ALL	Tuition, Fees, and Other Rev	\$ 21,111,626	\$ 13,756,667	\$ 7,354,960	\$ 23,620,000	\$ 16,508,000	\$ 7,112,000
	Total Revenues	\$ 41,434,841	\$ 33,852,572	\$ 7,582,269	\$ 49,327,177	\$ 40,623,086	\$ 8,704,091
		Actual Expenses	Budgeted for 7/1-4/30/21	Actual/Budget Variance	Forecast	Approved Budget	Variance
2000	Academic Affairs	\$ 10,444,540	\$ 8,974,938	\$ 1,469,603	\$ 12,541,088	\$ 10,769,925	\$ 1,771,163
5080	Auxiliary Services	\$ 4,920,670	\$ 1,979,056	\$ 2,941,614	\$ 6,490,709.69	\$ 2,374,867	\$ 4,115,843
1010	Board of Regents	\$ 517,539	\$ 502,795	\$ 14,744	\$ 610,547	\$ 603,354	\$ 7,193
5000	Brand Identity/University Relations	\$ 996,341	\$ 981,580	\$ 14,761	\$ 1,250,663	\$ 1,177,896	\$ 72,767
1050	Campus Athletics	\$ 1,525,415	\$ 1,544,056	\$ (18,640)	\$ 1,769,071	\$ 1,852,867	\$ (83,796)
5040	Facilities Administration	\$ 4,762,095	\$ 3,973,702	\$ 788,393	\$ 5,602,576	\$ 4,768,442	\$ 834,134
4000	Finance and Administration	\$ 6,658,293	\$ 7,067,456	\$ (409,162)	\$ 7,547,585.71	\$ 8,480,947	\$ (933,361)
2050	Land Grant	\$ 6,745,072	\$ 5,957,333	\$ 787,739	\$ 8,210,400	\$ 7,148,800	\$ 1,061,600
1020	Office of General Counsel	\$ 711,532	\$ 419,543	\$ 291,990	\$ 792,371	\$ 503,451	\$ 288,920
1000	The President's Office	\$ 499,044	\$ 480,304	\$ 18,741	\$ 582,900	\$ 576,364	\$ 6,535
3010	Student Engagement/Campus Life	\$ 1,732,658	\$ 758,894	\$ 973,764	\$ 1,831,905.53	\$ 910,673	\$ 921,233
3000	Student Success	\$ 1,874,511	\$ 1,212,917	\$ 661,595	\$ 2,000,976.46	\$ 1,455,500	\$ 545,477
	Total Expenses	\$ 41,387,712	\$ 33,852,572	\$ 7,535,140	\$ 49,230,793	\$ 40,623,086	\$ 8,607,707
	Operating Income/Loss	\$ 47,129	\$ (0)	\$ 47,129	\$ 96,384	\$ (0)	\$ 96,384



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DATE: June 3, 2021
SUBJECT: Quarterly Facilities Update
FROM: FINANCE AND ADMINISTRATION
ACTION ITEM: NO

BACKGROUND: The University continues to maintain and enhance the existing campus infrastructure through asset preservation related activities using the **Commonwealth's capital construction process and internal staff and resources.**

SUMMARY OF PROGRAMS/ACTIVITIES: See project descriptions in this document.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: See Attached

RECOMMENDATION: N/A

ATTACHMENTS: NO



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KSU Capital Projects				<i>June 3, 2021</i>
Account Number	Title	Funding Source	Budget	Status
N/A	No State funded projects at this time	State	N/A	N/A
C8XM	Renovate Hunter Hall	Federal	\$2,296,476	Bids due May 25, 2021
CA20	Repair & Paving of Farm Roads	Federal	\$230,000	Design Phase May 2021
C913	Develop Master Plan	Agency	\$425,000	Target December 2021
C9U8	Kentucky Hall Re-roof	Agency	\$355,000	Bids Received – contract to be executed May 2021
C915	Campus Roof Evaluation and Repair	Agency	\$9,300,000	TBD
C96P	Replace Exterior Stairs at Bell Gym	Agency	\$70,000	TBD
C96Q	Construct Softball Fields	Agency	\$48,000	TBD
C97F	Mold Remediation in Exum Basement	Agency	\$25,000	TBD
C97Y	Install Access Control at Hume Hall	Agency	\$10,000	TBD



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DATE: June 3, 2021
SUBJECT: 2021 General Assembly Review
FROM: GOVERNANCE
ACTION ITEM: NO

BACKGROUND: The Coronavirus necessitated a condensed 2021 Kentucky General Assembly session as it had in 2020. Gaveled to its close on March 31, 2021, primary activities for the session were focused on on-going state-level management of public health and safety, addressing issues with the retirement system and considering an annual budget for continuity of operations.

Legislation impacting post-secondary institutions, most notably the performance based funding bill, passed with other items returned to the Interim Session from May-December 2020 for further review. The General Assembly authorized the performance based funding advocated for as part of the Kentucky State University Legislative Priorities as approved by the Kentucky State University Board of Regents. The Land Grant Match Solution continues in discussion and the Kentucky Work Readiness Support approved priority was incorporated into the passed SB 270 on West Louisville, which will be enacted initially and as a pilot through association with Simmons College and the opening of KSU-West Louisville (see Attachment A for listing of 2021 legislative actions).

Beyond budgetary items, Kentucky State University actively participated in discussion of **SB 10: The Commission on Race and Access to Opportunity, alerting the bill's sponsors** to the role and presence of the C.R.E.E.D. Center. The University also engaged in discussion leading to the passage of SB 8 on Immunizations as part of CPE-sponsored discussion of how campuses would address vaccination of their respective campus communities. SB 128 on the supplemental education year was incorporated into the work of **the BREDS Admission's office as they engaged in targeted recruitment** campaigns using AdmitHub to encourage Kentucky high school seniors to consider enrolling at Kentucky State instead of taking the additional year to repeat courses or take supplemental classes to boost their high school GPAs. Finally, decisions made surrounding access to public records through open records requests (HB 312) and those **on the teacher's retirement system (HB 8 and HB 258) were among several bills**



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effecting Commonwealth employees that will also effect Kentucky State University human resources and financial operations.

SUMMARY OF PROGRAMS/ACTIVITIES:

General Fund and State Appropriations HB 192

The University received a total of \$25,859, 200 for the remainder of the FY 2021 cycle, which includes the Land Grant increase of \$1,061,600 to meet the required match of \$8, 210,400.

For FY 22, the University was allocated \$27, 186, 100.

The breakdown is shown below:

Allocation	FY 2021	FY 2022
General Fund	25, 384, 300	25, 384, 300
KSU Land Grant Increase	1, 061, 600	1, 061, 600
Pension Relief		558, 200

In addition, Kentucky State was awarded \$182,000 for debt service related to the approved Capital Project for Roof Replacement on Kentucky Hall (\$5 M total).

Land Grant Matching Fund/Mandated Programs:

Under federal law, the FY 21 match requirement is \$8, 210, 400. This amount was reflected and incorporated into the institutional budget as submitted by CPE on behalf of the public institutions.

Performance Funding Formula:

SB 135 on performance based funding eliminated both the stop-loss provision and the hold harmless provisions of prior budget models. The elimination of these items resulted in a performance based funding model that establishes a floor for budget increases for all institutions with an increase beyond the floor associated with performance metrics as established by CPE and met by each participating state campus. The revised funding formula acknowledges the uniqueness of the mission of each university and the ability of each campus, given its size, scope and scale, to meet metrics associated with student success, course completion, degree production, STEM field



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education success and access and attainment of post-secondary credentials by URM students.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 3: Increase the University's Financial Strength and Operational Efficiency

PROGRAM IMPLICATIONS: The Kentucky General Assembly's actions largely benefitted Kentucky State University, especially as regards SB 135, as described above. The Land Grant Match Solution remains of seminal importance in order for Kentucky State to receive both its full match for each budget cycle and to remain in compliance with USDA requirements for matching and spending of designated federal funds. Advocacy efforts will continue into the next session for addressing this issue as any change in general fund appropriations or harmful legislation actions will adversely affect program development, operation and capital projects and student success and degree attainment.

FISCAL IMPLICATIONS: General fund appropriations directly inform the campus' ability to achieve its mission, grow its academic programs, and maintain a safe environment for the community. Non-budget focused activities also have an impact on institutional operations at the educational, research and operational levels.

RECOMMENDATION: N/A

ATTACHMENTS: YES

General Fund Changes for Kentucky State University in 21 RS HB 192 FCCR

FY21	General Fund	Description
20 RS HB 352	25,384,300	FY21 appropriation from one-year budget, which already includes \$7,148,800 for the Land Grant Match.
Federal Funds Replacement	(586,700)	Governor recommended a one-time reduction of General Fund at each institution which was replaced with an equal amount of Federal Funds.
KSU Land Grant Increase	1,061,600	The additional \$1.06 m required by USDA to meet the FY21 match brings the Land Grant Match total to \$8,210,400.

FY21 Appropriation **25,859,200** **21 RS HB 192 FCCR**

FY22	General Fund	Description
20 RS HB 352	25,384,300	FY21 appropriation from one-year budget, which already includes \$7,148,800 for the Land Grant Match.
Pension Relief	558,200	Additional General Fund to conform to HB 8. This amount is line-itemed under KSU's Mandated Programs in budget language.
KSU Land Grant Increase	1,061,600	The additional \$1.06 m required by USDA to meet the FY21 match brings the Land Grant Match total to \$8,210,400. It is understood that there could be another increase in the Land Grant Match in FY22 which will be addressed next session, once the USDA releases the final FY22 numbers.
Debt Service	182,000	Debt service for \$5 million Bond Funds for a Roof Replacement Pool

FY22 Appropriation **27,186,100** **21 RS HB 192 FCCR**

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 1	AN ACT relating to reopening the economy in the Commonwealth of Kentucky in response to the state of emergency declared by the Governor of Kentucky beginning in March 2020 and continuing throughout the year of 2021 and declaring an emergency.	Bart T. Rowland	Provide relief to businesses and individuals during the COVID-19 state of emergency; provide guidelines for opening of businesses and schools; suspend interest on unpaid unemployment insurance contributions and provide guidelines for noncustodial parental visitation during the COVID-19 state of emergency; provide guidelines for visitation at long-term care facilities during the COVID-19 state of emergency; retroactive to March 6, 2020; EMERGENCY.	<p>2/2/2021 8:06:02 PM N became law without Governor's Signature</p> <p>2/2/2021 6:46:29 PM N delivered to Secretary of State (Acts Ch. 3)</p> <p>2/2/2021 6:15:58 PM S enrolled, signed by President of the Senate</p> <p>2/2/2021 6:08:50 PM H enrolled, signed by Speaker of the House</p> <p>2/2/2021 6:08:25 PM H received in House</p> <p>2/2/2021 5:26:35 PM S Bill passed 29-8</p> <p>2/2/2021 5:15:51 PM S veto overridden</p> <p>2/2/2021 5:02:53 PM S posted for passage for consideration of Governor's veto</p> <p>2/2/2021 4:21:51 PM S taken from Rules</p> <p>2/2/2021 4:21:40 PM S to Rules (S)</p> <p>2/2/2021 4:21:38 PM S received in Senate</p> <p>2/2/2021 4:02:43 PM H bill passed 72-22</p> <p>2/2/2021 3:27:50 PM H veto overridden</p> <p>2/2/2021 2:55:53 PM H placed in the Orders of the Day for consideration of Governor's veto.</p> <p>2/2/2021 2:55:24 PM H taken from Rules</p> <p>2/2/2021 2:54:00 PM H to Rules (H)</p> <p>2/2/2021 2:53:00 PM H received in House</p> <p>1/19/2021 3:49:29 PM N Vetoes</p> <p>1/19/2021 4:14:11 PM N delivered to Governor</p> <p>1/19/2021 4:09:18 PM S enrolled, signed by President of the Senate</p> <p>1/19/2021 4:08:14 PM H enrolled, signed by Speaker of the House</p> <p>1/19/2021 3:10:14 PM H passed 74-21</p> <p>1/19/2021 3:09:47 PM H House concurred in Senate Committee</p>	<p>HCS - 1</p> <p>Retain original provisions except make technical changes; amend to include school districts in addition to schools and local governments to the entities impacted; and reference long-term care facilities as defined in KRS 216A.010; EMERGENCY.</p> <p>HFA - 1 Rowland, Bart</p> <p>Amend to make technical changes; to expand potential care visitors; to allow a plan to be prepared by a local or state agency; to delete requirement plan be submitted to health department; to delete retroactivity provision; to provide that allowing visitors is discretionary with the facility; EMERGENCY.</p> <p>SFA - 1 Schickel, John</p> <p>Allow any business, for-profit or not-for-profit organizations, local governments, schools, and school districts to remain open in person as long as they obtain and follow an operating plan.</p> <p>SCS - 1</p> <p>Retain original provisions, except make technical changes; provide that business opening plans shall meet or exceed the least restrictive of those issued by the Centers for Disease Control and Prevention or the executive branch; EMERGENCY.</p> <p>SFA - 2 Higdon, Jimmy</p> <p>Amend to specify application to in-person operations and services and to indicate the Centers for Disease Control and Prevention applicable as of January 1, 2021 apply to (1).</p> <p>HFA - 2 Hutton, Angie</p> <p>Amend HB/HCS 1 to remove the provision that would require essential personal care visitors to waive liability claims against a residential long term care facilities they enter for exposure to viruses and other diseases.</p> <p>HFA - 3 Rowland, Bart</p> <p>Amend to remove references to churches and religious institutions in (1) of Section 1; EMERGENCY.</p> <p>SFA - 3 Southworth, Adrienne</p> <p>Amend to make technical changes; remove requirement that plans meet or exceed guidance from the Centers for Disease Control and Prevention and to provide that entities may remain open and fully operational for in-person services so long as it adopts an operating plan;</p>
HB 5	AN ACT relating to reorganizations and declaring an emergency.	Michael Lee Meredith	Amend KRS 12.028 to remove the provisions related to temporary reorganizations; repeal KRS 12.027, which requires temporary reorganizations to be set forth by executive order; EMERGENCY	<p>2/2/2021 8:10:59 PM N became law without Governor's Signature</p> <p>2/2/2021 6:46:29 PM N delivered to Secretary of State (Acts Ch. 5)</p> <p>2/2/2021 6:16:35 PM S enrolled, signed by President of the Senate</p> <p>2/2/2021 6:08:50 PM H enrolled, signed by Speaker of the House</p> <p>2/2/2021 6:08:25 PM H received in House</p> <p>2/2/2021 5:31:20 PM S Bill passed 30-7</p> <p>2/2/2021 5:30:57 PM S veto overridden</p> <p>2/2/2021 5:04:44 PM S posted for consideration of Governor's veto</p> <p>2/2/2021 4:24:19 PM S taken from Rules</p> <p>2/2/2021 4:24:15 PM S to Rules (S)</p> <p>2/2/2021 4:24:08 PM S received in Senate</p> <p>2/2/2021 4:04:04 PM H bill passed 71-23</p> <p>2/2/2021 3:40:57 PM H veto overridden</p> <p>2/2/2021 3:04:07 PM H placed in the Orders of the Day for consideration of Governor's veto.</p> <p>2/2/2021 3:03:50 PM H taken from Rules</p> <p>2/2/2021 3:02:00 PM H to Rules (H)</p> <p>2/2/2021 3:00:00 PM H received in House</p> <p>1/19/2021 3:50:08 PM N Vetoes</p> <p>1/19/2021 2:35:28 PM N delivered to Governor</p> <p>1/19/2021 1:30:05 PM S enrolled, signed by President of the Senate</p> <p>1/19/2021 12:26:05 PM S enrolled, signed by Speaker of the House</p>	

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 8	AN ACT relating to the Kentucky Employees Retirement System's (KERS) nonhazardous actuality; amend KRS 61.565 and replace amendments to KRS 212.192 with a new section of KRS Chapter 212 to specify how Kentucky Employees Retirement System (KERS) retirement costs are allocated if a district health department ceases to operate or has a county or counties withdraw from the district; provide that the allocation of KERS costs shall be based upon the withdrawing county's proportion of taxable property in the district health department; require the Dept. for Public Health to provide certification of taxable property to the retirement system; provide that the Kentucky Retirement Systems (KRS) may allocate costs for any district health department who ceases to operate prior to the effective date of the Act.	Jim DuPlessis	Amend KRS 61.565 to change the Kentucky Employees Retirement System's (KERS) nonhazardous actuality; amend KRS 212.192 with a new section of KRS Chapter 212 to specify how Kentucky Employees Retirement System (KERS) retirement costs are allocated if a district health department ceases to operate or has a county or counties withdraw from the district; provide that the allocation of KERS costs shall be based upon the withdrawing county's proportion of taxable property in the district health department; require the Dept. for Public Health to provide certification of taxable property to the retirement system; provide that the Kentucky Retirement Systems (KRS) may allocate costs for any district health department who ceases to operate prior to the effective date of the Act.	<p>3/1/2021 1:26:09 PM delivered to Governor</p> <p>3/1/2021 1:30:31 AM enrolled, signed by President of the Senate</p> <p>3/1/2021 10:24:26 AM enrolled, signed by Speaker of the House</p> <p>3/1/2021 9:19:12 PM passed 94-0</p> <p>3/1/2021 8:21:09 PM House concurred in Senate Committee Substitute and floor amendment (3)</p> <p>3/1/2021 2:53:34 PM posted for passage for concurrence in Senate Committee Substitute and floor amendment (3)</p> <p>3/1/2021 2:38:41 PM to Rules (H)</p> <p>3/1/2021 2:38:41 PM received in House</p> <p>3/5/2021 12:08:11 PM passed 34-0 with Committee Substitute and floor amendment (3)</p> <p>3/5/2021 12:02:49 PM floor amendments (1) and (2) withdrawn</p> <p>3/5/2021 11:58:06 AM 3rd reading</p> <p>3/4/2021 3:47:07 PM floor amendment (3) filed to Committee Substitute</p> <p>3/4/2021 3:18:35 PM passed over and retained in the Orders of the Day</p> <p>3/3/2021 4:53:35 PM floor amendments (1) and (2) filed to Committee Substitute</p> <p>3/3/2021 1:54:27 PM posted for passage in the Regular Orders of the Day for Thursday, March 4, 2021</p> <p>3/3/2021 9:35:34 AM reported favorably, to Rules with Committee Substitute (1)</p> <p>2/28/2021 11:51:40 AM returned to Appropriations & Revenue (S)</p>	<p>HCS - 1</p> <p>Retain original provisions except to further amend KRS 61.565 and replace amendments to KRS 212.192 with a new section of KRS Chapter 212 to specify how Kentucky Employees Retirement System (KERS) retirement costs are allocated if a district health department ceases to operate or has a county or counties withdraw from the district; provide that the allocation of KERS costs shall be based upon the withdrawing county's proportion of taxable property in the district health department; require the Dept. for Public Health to provide certification of taxable property to the retirement system; provide that the Kentucky Retirement Systems (KRS) may allocate costs for any district health department who ceases to operate prior to the effective date of the Act.</p> <p>SCS - 1</p> <p>Retain original provisions; amend KRS 61.565 to establish an appeals process beginning July 1, 2021, with a final determination by December 31, 2021, regarding the assignment of liabilities to each Kentucky Employees Retirement System (KERS) employer and any potential errors in assignment based upon the last participating employer or university employees who are providing services to the state through a contract between the university and the state; amend KRS 61.522 to provide that the discount rate for universities who voluntarily cease participating in KERS with the soft freeze option and pay by lump-sum shall be 5.25%; amend KRS 61.575 to provide that if a KERS nonhazardous employer is delinquent for 90 days or more in making the required employer contributions on or after July 1, 2021, the systems shall not allow future service credit accruals by the employer's employees until such time payments are up to date; may file action in Franklin Circuit Court to collect delinquent employer contributions; and shall notify the Finance & Administration Cabinet who may choose to withhold state appropriations to the employer until such time the contributions are made; create a new section of KRS 61.510 to 61.705 to require certain quasi-governmental employers participating KERS to report the use of contracted or leased employees to the system and to require the system to report the compiled data annually to the state budget director and the Legislative Research Commission; provide system with authority to audit an employer to verify the use of contracted/leased employees; provide intent language that provides future appropriations to help subsidize retirement costs for these employers based upon process of bringing contracted/leased employees back into KERS.</p>
HB 10	AN ACT relating to the limitation of liability during the COVID-19 pandemic and declaring an emergency.	Steve E. Shredon	Create a new section of KRS Chapter 411 to define "person"; to provide a defense to a person who acts in good faith while operating a business during a state of emergency for ordinary negligence for a personal injury resulting from alleged or actual exposure to COVID-19 provided the person acts as an ordinary, reasonable, and prudent person would act under similar circumstances; create a new section of KRS Chapter 411 to provide that a public school official's decision to open or close a school is discretionary for purposes of determining qualified immunity; apply section retroactively; EMERGENCY.	<p>2/28/2021 11:51:25 AM 2nd reading</p> <p>2/24/2021 9:11:09 AM to Economic Development, Tourism, & Labor (S)</p> <p>2/24/2021 9:10:45 AM to Committee on Committees (S)</p> <p>2/19/2021 5:08:57 PM resolved in Senate</p> <p>1/9/2021 3:40:56 PM 3rd reading, passed 75-21 with Committee Substitute (1)</p> <p>1/8/2021 3:05:30 PM returned to the Orders of the Day</p> <p>1/8/2021 3:05:17 PM 3rd reading</p> <p>1/8/2021 2:55:07 PM placed in the Orders of the Day</p> <p>1/8/2021 2:54:27 PM taken from Rules (H)</p> <p>1/8/2021 1:11:50 PM reported favorably, to Rules with Committee Substitute (1) and committee amendment (1)</p> <p>1/7/2021 3:24:21 PM posted in committee</p> <p>1/7/2021 2:20:08 PM returned to Judiciary (H)</p> <p>1/7/2021 2:19:57 PM 2nd reading</p> <p>1/7/2021 2:19:44 PM taken from Judiciary (H)</p> <p>1/6/2021 7:36:57 PM returned to Judiciary (H)</p> <p>1/6/2021 3:06:21 PM 1st reading</p> <p>1/6/2021 3:06:12 PM taken from Judiciary (H)</p> <p>1/6/2021 3:06:05 PM to Judiciary (H)</p> <p>1/6/2021 3:03:25 PM to Committee on Committees (H)</p> <p>1/6/2021 1:53:08 PM introduced in House</p>	<p>HCS - 1</p> <p>Retain the original provisions except for technical changes to the definition of "person" to add "charity or nonprofit organization"; change "Kentucky Department of Public Health" to the "Centers for Disease Control and Prevention"; remove the definition of "retail business"; make the rebuttable presumption applicable to "any person, as defined in subsection 1"; change "injury" to "exposure"; and clarify Section 2 so that executive order relates to school closure; EMERGENCY</p> <p>HCA - 1</p> <p>Cantrill, McKenzie</p> <p>Amend HB10PHS 1 to include a provision that maintains employees' rights to access benefits under KRS Chapter 342.</p>
HB 17	AN ACT relating to state employee compensation.	James Tipton	Amend KRS 18A.355 to provide for an annual cost of living adjustment for state employees with the cost of living adjustment being the average of the consumer price index for the two calendar years prior to the biennial and not less than zero; amend KRS 18A.110 and 48.130 to conform; EFFECTIVE JULY 1, 2022.	<p>1/5/2021 9:02:55 AM to Committee on Committees (H)</p> <p>1/5/2021 7:12:21 AM introduced in House</p> <p>7/9/2020 9:53:10 AM Prefiled by the sponsor(s).</p>	

Bill	No.	Title	Primary Sponsor	Summary	History	Amendments
HB	25	AN ACT relating to the Kentucky Educational Excellence Scholarship.	Killian Timoney	Amend KRS 164.7914 to delete the requirement that an eligible high school student and eligible postsecondary student not be a convicted felon for KEEES eligibility purposes.	<p>Date of Action Description Chamber</p> <p>3/11/2021 9:14:58 AM 2nd reading, to Rules S</p> <p>3/11/2021 11:48:43 AM reported favorably, 1st reading, to Calendar S</p> <p>3/1/2021 12:58:13 PM to Education (S) S</p> <p>2/25/2021 2:41:51 PM to Committee on Committees (S) S</p> <p>2/25/2021 1:57:23 PM received in Senate S</p> <p>2/24/2021 3:10:51 PM 3rd reading, passed 95-2 H</p> <p>2/10/2021 2:43:01 PM posted for passage in the Regular Orders of the Day for Thursday, February 11, 2021 H</p> <p>2/10/2021 8:03:52 AM 2nd reading, to Rules H</p> <p>2/9/2021 10:26:14 AM reported favorably, 1st reading, to Calendar H</p> <p>2/4/2021 4:12:07 PM posted in committee H</p> <p>12/2/2021 8:49:31 AM to Education (H) H</p> <p>1/5/2021 9:02:55 AM to Committee on Committees (H) H</p> <p>1/5/2021 7:12:21 AM introduced in House H</p> <p>9/25/2020 2:05:39 PM Prefiled by the sponsor(s). N</p>	
HB	31	AN ACT relating to production of vital medical supplies.	Daniel B. Elliott	Amend KRS 154.32-010 to further define manufacturer as a producer of personal protective equipment, and define vital medications and personal protective equipment; amend KRS 154.32-020 to declare a purpose of the subchapter is to provide an economic stimulus to bolster in-state production of vital medications and personal protective equipment and allow facilities that produce vital medications and personal protective equipment to qualify for tax incentives under the subchapter; amend KRS 154.32-060 to allow for facilities that are rehabilitated or replaced in order to produce vital medications and personal protective equipment to be eligible for tax benefits under the subchapter; amend KRS 154.34-010 to include a facility that reinvests in the production of vital medications and personal protective equipment to be eligible for benefits under the subchapter and define personal protective equipment; amend KRS 154.35-010 to define vital medications and personal protective equipment; amend KRS 154.35-050 to direct the University of Louisville research centers to identify facilities and businesses capable of producing vital medications and personal protective equipment, and work with health departments to assess the needs of medical facilities and communities.	<p>Date of Action Description Chamber</p> <p>1/5/2021 9:02:55 AM to Committee on Committees (H) H</p> <p>1/5/2021 7:12:21 AM introduced in House H</p> <p>10/22/2020 12:05:53 PM Prefiled by the sponsor(s). N</p>	
HB	36	AN ACT relating to ensuring basic liberties regarding immunizations and declaring an emergency.	Savannah Maddox	Amend KRS 214.035 to prohibit required immunization of any person by any state agency or instrumentality; create the short title "Ensuring Bodily Autonomy and Informed Consent Act"; EMERGENCY.	<p>Date of Action Description Chamber</p> <p>1/5/2021 9:02:55 AM to Committee on Committees (H) H</p> <p>1/5/2021 7:12:21 AM introduced in House H</p> <p>9/9/2020 1:56:17 PM Prefiled by the sponsor(s). N</p>	
HB	90	AN ACT relating to postsecondary readiness.	Matthew R. Koch	Amend KRS 159.6455 to require inclusion of the Armed Services Vocational Aptitude Battery test as a measurement of postsecondary readiness for the state accountability system.	<p>Date of Action Description Chamber</p> <p>3/5/2021 9:59:43 AM to Education (S) S</p> <p>3/4/2021 2:09:57 PM to Committee on Committees (S) S</p> <p>3/4/2021 1:51:05 PM received in Senate S</p> <p>3/2/2021 3:54:52 PM 2nd reading, passed 37-0 H</p> <p>3/2/2021 12:12:09 PM posted for passage in the Regular Orders of the Day for Wednesday, March 3, 2021 H</p> <p>3/2/2021 6:03:25 AM 2nd reading, to Rules H</p> <p>3/1/2021 3:35:46 PM reported favorably, 1st reading, to Calendar H</p> <p>2/25/2021 5:19:47 PM posted in committee H</p> <p>2/23/2021 1:08:13 PM to Education (H) H</p> <p>1/5/2021 9:02:55 AM to Committee on Committees (H) H</p> <p>1/5/2021 7:12:21 AM introduced in House H</p> <p>11/17/2020 2:07:11 PM Prefiled by the sponsor(s). N</p>	
HB	101	AN ACT prohibiting vaccination requirements for postsecondary education students.	Mark Allen Hart	Create a new section of KRS Chapter 164 to prohibit a public or private postsecondary educational institution from requiring a student to receive any vaccination for disease unless the student is participating in an educational program that involves the delivery of health care services.	<p>Date of Action Description Chamber</p> <p>1/5/2021 9:02:55 AM to Committee on Committees (H) H</p> <p>1/5/2021 7:12:21 AM introduced in House H</p> <p>12/3/2020 1:27:28 PM Prefiled by the sponsor(s). N</p>	

Bill	No.	Title	Primary Sponsor	Summary	History	Amendments
HB	113	AN ACT relating to the Teachers' Retirement System.	Jerry T. Miller	Create a new section of KRS 161.220 to 161.716 to permit the Teachers' Retirement System (TRS) to use and accept electronic signatures as deemed appropriate; amend KRS 161.220 to add anti-salary splicing measures to the definition of "final average salary" and provide nonconflicting language detailing that change shall not increase benefits or increase liability to the system; amend KRS 161.260 to require all public employees to grant paid leave to teachers' spouses serving on the TRS board; amend KRS 161.310 to specify the types of remuneration included in retirement incentives; require that costs billed to employers for retirement incentives not be an obligation of the date, and specify that retirement incentives shall not increase final average salary or annual compensation; amend KRS 161.340 to add insurance to the items for which TRS may contract, delete the requirement for the procurement of fiduciary bonds for board trustees and the executive secretary, and authorize the board to expend funds as necessary for indemnification and self-insurance; amend 161.470 to specify when a member who leaves service prior to retirement is eligible for a refund and to make technical amendments; amend KRS 161.480 to automatically designate a TRS member's spouse as beneficiary for an active member's account balance upon employment until changed by the member and declare the active member or the member fails to designate a beneficiary; amend KRS 161.500 to specifically address service credit for contracts exceeding 185 days; amend KRS 161.515 to reference new retirement factors for out-of-state service purchases; amend KRS 161.540 to specify eligibility requirements for including annual leave credit in the retirement calculation for calendar-year contracts; amend KRS 161.545 to provide that full-time sabbatical leave by university staff participating in the TRS shall be deemed full-time employment for retirement purposes and require employee and employer contributions to be paid during the period of sabbatical leave; amend KRS 161.553 to adjust the cost schedules of providing statutory benefit improvements for annuitants; amend KRS 161.560 to adjust the methods by which participating employers file contribution data and set penalties for noncompliance; amend KRS 161.587 to require a refund of prior installment payments and a reduction in service credit for a member in default on installment payments; amend KRS 161.605 to provide that breaks in service are required before returning to work for the employer even if in a position not covered by TRS, begin running of breaks in service from date of retirement, prohibit prearranged agreements between a retiring member and employer for a member to return to work after retirement and require certification thereof, and require retiring members returning to work for state-supported universities and community colleges to comply with the separations-from-service requirements; amend KRS 161.612 to reduce the \$500 minimum monthly disability benefit dollar-for-dollar by benefit payments from all state-administered retirement systems for part-time employees and substitute teachers participating in TRS who apply for disability benefits on or after July 1, 2021.	3/30/2021 11:04:49 PM 3/30/2021 11:05:07 PM 3/30/2021 11:05:46 PM 3/30/2021 8:20:18 PM 3/30/2021 8:19:36 PM 3/30/2021 8:19:43 PM 3/30/2021 7:34:29 PM 3/30/2021 6:40:35 PM 3/30/2021 6:39:48 PM 3/30/2021 6:38:58 PM 3/30/2021 6:59:24 AM 3/29/2021 10:05:31 PM 3/29/2021 12:05:46 PM 3/29/2021 10:31:31 PM 3/29/2021 9:45:11 AM 2/22/2021 9:02:54 AM 2/19/2021 5:24:58 PM 2/19/2021 3:56:41 PM 2/19/2021 2:19:09 PM 2/19/2021 2:19:09 PM 2/22/2021 12:27:41 PM 2/26/2021 7:37:23 AM 2/26/2021 7:37:23 AM 2/26/2021 4:33:22 PM 2/25/2021 8:24:57 AM 2/24/2021 1:34:04 PM 2/22/2021 6:26:17 PM 2/4/2021 10:27:59 AM 1/5/2021 11:52:15 AM	Amendment Description SFA - 1. Mills, Robby. Retain original provisions; make technical amendment to KRS 161.515(5) to conform to related legislation. SFA - 2. Paneth, Dennis. Retain original provisions; amend KRS 61.680 to provide that retirees of the Teachers' Retirement System (TRS) who suspend their retirement benefits in order to return to covered employment and build upon their existing TRS account as provided by current law, may utilize unutilized service (service that should have been reported for prior full-time work) in the Kentucky Retirement Systems (KRS) that was purchased during the period of unemployment for purposes of determining eligibility and the amount of benefits upon subsequent retirement from both KRS and TRS; and make the provision retroactive to retirements on or after December 31, 2009.
HB	45	AN ACT relating to student discipline at public postsecondary education institutions.	Kim Banta	Amend KRS 164.370 to require each governing board of a public postsecondary education institution to adopt a student code of conduct that includes disciplinary procedures; set minimum requirements for the disciplinary procedures; require the institution to provide additional rights to students housing to appeal to the governing board; require a governing board to permit a student organization whose affiliation with the institution has been terminated to appeal to the governing board; permit a student to appeal a final decision of a governing board in accordance with KRS Chapter 138 except that the student shall have 1 year to appeal; define "student"; file the Act "The Kentucky Student Administrative Rights Protection Act."	3/30/2021 12:27:41 PM 2/26/2021 7:37:23 AM 2/26/2021 7:37:23 AM 2/26/2021 4:33:22 PM 2/25/2021 8:24:57 AM 2/24/2021 1:34:04 PM 2/22/2021 6:26:17 PM 2/4/2021 10:27:59 AM 1/5/2021 11:52:15 AM	Amendment Description HFA - 1. Banta, Kim. Amend all original provisions except amend the definition of "complainant"; require the record to contain pleadings and evidence; require the presence of a support person at any phase in the disciplinary process; reflect federal limitations on the cross-examination of all students; permit the governing board to designate an authority to issue a final order in a student disciplinary proceeding; specify that nothing in the bill is intended to impede an institution's ability to take reasonable interim measures during a timely investigation and adjudication of a student disciplinary matter, including adjustments to student housing arrangements; remove approximate household income as a required student demographic in the student discipline report filed by public postsecondary education institutions; require institutions to report the general source of allegations in the student discipline report. HFA - 2. Banta, Kim. Amend definition of "complainant" to include all crime victims; require that cross-examination conducted personally by a participant be conducted by written questions submitted to be reviewed and read by a neutral hearing officer; permit an institution to require one or more representatives of a class of student victims to serve as the complainant in a disciplinary proceeding when the class-action requirements are met.

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 149	AN ACT relating to opportunities in education.	Chad McCoy	Create new sections in KRS Chapter 141 to establish the Education Opportunity Account Program; define terms and eligibility for the program; establish the requirements of the account-granting organizations and the Department of Revenue; allow auditing of account-granting organizations by the Department of Revenue; create a tax credit for taxes imposed by KRS 141.020, 141.040, and 141.040; require reporting to the Interim Joint Committee on Appropriations and Revenue; create short title; amend KRS 141.0205 to order the tax credit; amend KRS 131.190 to allow reporting by the Department of Revenue.	<p>2/22/2021 5:46:09 PM floor amendments (6), (7), (8) and (9) filed H</p> <p>2/29/2021 3:42:12 PM floor amendment (5) filed H</p> <p>2/24/2021 4:17:25 PM floor amendments (1), (2), (3) and (4) filed H</p> <p>2/24/2021 4:00:50 PM floor amendments (1), (2), (3) and (4) filed H</p> <p>2/22/2021 8:50:22 AM to Appropriations & Revenue (H) H</p> <p>1/5/2021 12:02:55 PM to Committee on Committees (H) H</p> <p>1/5/2021 11:59:22 AM introduced in House H</p>	<p>HFA - 1 Raymond, Josie Require educational service providers to comply with open meetings and open records in KRS Chapter 61 in the same manner as public agencies; require the Kentucky Department of Education to promulgate regulations to ensure compliance.</p> <p>HFA - 2 Raymond, Josie Permit students who qualify as homeless children and youth under federal guidelines to participate in an EOA program.</p> <p>HFA - 3 Raymond, Josie Require an educational service provider to provide educational materials and services to students who are fluent in English and students who are English language learners; define English language learners.</p> <p>HFA - 4 Raymond, Josie Require an educational service provider to participate in the state's assessment and accountability system; set terms of participation; authorize the Kentucky Board of Education to promulgate necessary administrative regulations.</p> <p>HFA - 5 Bojanowski, Tina Repeal original provisions and provide that the tax credit sunset in four years.</p> <p>HFA - 6 Raymond, Josie Require an AGO to provide demographic information on eligible students who apply for an EOA; require the Kentucky Board of Education to promulgate an administrative regulation to establish the required demographic information to be collected.</p> <p>HFA - 7 Raymond, Josie Require educational service providers to comply with reporting requirements established by the Kentucky Board of Education for student behavior and discipline.</p>
HB 153	AN ACT relating to dual credit scholarships.	James Tipton	Amend KRS 164.786 to add two career and technical education courses per year to the Dual Credit Scholarship; amend KRS 164.787 to delete the dual credit provisions from the Work Ready Scholarship.	<p>1/5/2021 12:08:35 PM to Committee on Committees (H) H</p> <p>1/5/2021 12:02:55 PM introduced in House H</p>	
HB 158	AN ACT relating to local air boards.	Deanna Frazier	Amend KRS 163.132 to allow a state university having an aviation degree track and also operating as a fixed-base operator to an airport governed by a local air board to submit for confirmation two additional members to the six-member board for a total of eight members and to provide for a contingency if all local governments withdraw their participation in the air board to allow a participating university to assume control of airport upon agreement with local governments and dissolution of the air board.	<p>3/11/2021 2:33:01 PM received in House H</p> <p>3/11/2021 2:09:33 PM signed by Governor (Acts Ch. 38) N</p> <p>3/11/2021 7:47:34 PM delivered to Governor N</p> <p>3/11/2021 4:16:57 PM enrolled, signed by President of the Senate S</p> <p>3/11/2021 3:58:59 PM enrolled, signed by Speaker of the House H</p> <p>3/11/2021 2:33:01 PM received in House H</p> <p>3/5/2021 2:09:25 PM 3rd reading, passed 95-0 S</p> <p>3/4/2021 2:49:30 PM posted for passage in the Consent Orders of the Day for Friday, March 5, 2021 S</p> <p>3/4/2021 2:49:04 PM 2nd reading, to Rules S</p> <p>3/3/2021 10:48:35 AM reported favorably, 1st reading, to Consent Calendar S</p> <p>2/22/2021 9:03:29 AM to Transportation (S) S</p> <p>2/9/2021 4:32:27 PM received in Senate S</p> <p>2/9/2021 3:18:50 PM 3rd reading, passed 95-0 with Committee Substitute and floor amendment (1) H</p> <p>2/4/2021 4:00:50 PM floor amendment (1) filed to Committee Substitute H</p> <p>2/4/2021 11:01:02 AM posted for passage in the Regular Orders of the Day for Tuesday, February 9, 2021 H</p> <p>2/4/2021 9:45:29 AM 2nd reading, to Rules H</p> <p>2/3/2021 1:52:38 PM reported favorably, 1st reading, to Calendar with Committee Substitute H</p> <p>2/2/2021 5:47:18 PM posted in committee H</p> <p>1/13/2021 12:32:13 PM to Local Government (H) H</p> <p>1/5/2021 12:20:58 PM to Committee on Committees (H) H</p> <p>1/5/2021 9:02:55 AM introduced in House H</p>	<p>HCS - 1 Retain original provisions of bill, but stipulate that an eligible university shall be part of an air board if the local governments pass ordinances and amend any existing memorandum of agreement to conform, delete requirement that an eligible university serves as a fixed-base operator, remove language regarding the transfer of the airport facilities to a university if all local governments cease participating, and include the possibility of the university being a successor member of the board while providing a state university seeks to withdraw participation. It shall not be entitled to the return of any moneys advanced to the board.</p> <p>HFA - 1 Frazier, Deanna Make technical correction.</p>

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 181	AN ACT relating to accreditation of students of public institutions of postsecondary education.	Joel Raymond	Create a new section of KRS Chapter 184 to require public postsecondary institutions to provide accommodations for students; specify the accommodations required; allow institutions to use existing facility space to meet the requirements; require reasonable time to accommodate the student's needs without academic penalty; require institutions subject to this section audit policies ensuring this section is implemented.	Date of Action Description Chamber 1/6/2021 7:00:26 PM to Committee on Committees (H) H 1/6/2021 2:09:34 PM introduced in House. H	
HB 184	AN ACT relating to Kentucky Educational Excellence Scholarships.	Chris Freeland	Amend KRS 184.7679 to allow a student who attends an out of state high school or Department of Defense school due to a parent's military transfer to earn a Kees award if the student earned a base amount in a previous year at a Kentucky high school.	Date of Action Description Chamber 3/1/2021 12:11:47 PM 2nd reading, to Rules S 3/1/2021 12:11:47 PM reported favorably, 1st reading, to Calendar S 3/5/2021 10:00:20 AM to Education (S) S 3/4/2021 2:11:46 PM to Committee on Committees (S) S 3/4/2021 1:52:38 PM received in Senate S 3/3/2021 2:30:20 PM 3rd reading, passed 95-0 H 3/2/2021 12:12:09 PM posted for passage in the Regular Orders of the Day for Wednesday, March 3, 2021 H 3/2/2021 8:03:26 AM 2nd reading, to Rules H 3/1/2021 3:36:49 PM reported favorably, 1st reading, to Calendar H 2/25/2021 5:20:20 PM posted in committee H 2/23/2021 1:09:04 PM to Education (H) H 1/6/2021 7:00:26 PM to Committee on Committees (H) H 1/6/2021 2:12:01 PM introduced in House H	
HB 192	AN ACT relating to appropriations measures providing funding and establishing conditions for the operations, maintenance, support, and functioning of the government of the Commonwealth of Kentucky and its various officers, cabinets, departments, boards, commissions, institutions, subdivisions, agencies, and other state supported activities.	Jason Patisle	The State/Executive Branch Budget: Detail Part I, Operating Budget; appropriate to General Government: 2020-2021: \$2,230,159,200, 2021-2022: \$1,799,151,900; appropriate to the Economic Development Cabinet: 2020-2021: \$30,692,000, 2021-2022: \$32,698,000; appropriate to the Department of Education: 2020-2021: \$5,087,565,800, 2021-2022: \$5,236,333,800; appropriate to the Education and Workforce Development Cabinet: 2020-2021: \$294,230,300, 2021-2022: \$266,419,100; appropriate to the Energy and Environment Cabinet: 2020-2021: \$301,178,900, 2021-2022: \$276,653,300; appropriate to the Health and Family Services Cabinet: 2020-2021: \$16,559,358,900, 2021-2022: \$15,709,573,800; appropriate to the Justice and Public Safety Cabinet: 2020-2021: \$1,642,204,900, 2021-2022: \$1,293,490,400; appropriate to the Labor Cabinet: 2020-2021: \$1,515,559,358,900, 2021-2022: \$1,293,490,400; appropriate to the Public Protection Cabinet: 2020-2021: \$1,270,159,200, 2021-2022: \$1,025,343,600; appropriate to the Tourism, Arts and Heritage Cabinet: 2020-2021: \$1,025,343,600, 2021-2022: \$1,025,343,600; appropriate to the Budget Reserve Trust Fund: 2020-2021: \$8,598,931,500, 2021-2022: \$8,077,761,600; detail Part II, Capital Projects Budget; detail Part III, General Provisions; detail Part IV, State Salary/Compensation, Benefit, and Employment Policy; detail Part V, Funds Transfer; detail Part VI, General Fund Budget Reduction Plan; detail Part VII, General Fund Surplus Expenditure Plan; detail Part VIII, Road Fund Surplus Reduction Plan; detail Part IX, Road Fund Surplus Expenditure Plan; detail Part X, Phase I Tobacco Settlement; and detail Part XI, Executive Branch Budget Summary; APPROPRIATION.	Date of Action Description Chamber 3/30/2021 3:54:42 PM delivered to Secretary of State N 3/30/2021 3:50:19 PM enrolled, signed by President of the Senate S 3/30/2021 3:17:02 PM enrolled, signed by Speaker of the House H 3/29/2021 5:55:54 PM received in House H 3/29/2021 8:00:44 PM Bill passed 31-7 S 3/29/2021 8:36:11 PM line item vetoes #1, #3, #4, #7, #8, #10, #11 H #12, #13, #14, #15, #16, #17, #18, #19, and #20 overridden S 3/29/2021 8:00:31 PM line items #2, #5, #6, and #9 sustained H 3/29/2021 7:36:50 PM posted for passage for consideration of Governor's veto S 3/29/2021 7:53:03 PM to Rules (S) S 3/29/2021 7:49:25 PM received in Senate S 3/29/2021 7:31:00 PM Bill passed 71-24 H 3/29/2021 7:11:54 PM line item vetoes #1, #2, #3, #4, #5, #7, #9, #10, #11, #12, #13, #14, #15, #16, #17, #18, #19, and #20 overridden H 3/29/2021 6:31:27 PM line item vetoes #6 and #9 sustained H 3/29/2021 3:31:10 PM posted for passage for consideration of Governor's veto H 3/29/2021 3:30:42 PM to Rules (H) H 3/29/2021 12:56:39 PM received in House H 3/29/2021 4:25:57 PM line items 1 through 20 vetoed N 3/16/2021 4:26:04 PM delivered to Governor N 3/16/2021 11:57:18 AM enrolled, signed by President of the Senate S 3/16/2021 10:23:17 AM enrolled, signed by Speaker of the House H 3/15/2021 9:33:36 PM Bill passed 74-23 H 3/15/2021 9:33:10 PM Free Conference Committee report adopted H	HCS - 1 The State/Executive Branch Budget: Detail Part I, Operating Budget; appropriate to General Government: 2019-2020: \$2,153,000, 2020-2021: \$1,794,495,300, 2021-2022: \$1,733,957,100; appropriate to the Economic Development Cabinet: 2020-2021: \$29,994,800, 2021-2022: \$29,994,800; appropriate to the Department of Education: 2020-2021: \$5,123,942,200, 2021-2022: \$5,053,380,200; appropriate to the Energy and Environment Cabinet: 2020-2021: \$301,178,900, 2021-2022: \$276,653,300; appropriate to the Health and Family Services Cabinet: 2020-2021: \$16,559,358,900, 2021-2022: \$15,709,573,800; appropriate to the Justice and Public Safety Cabinet: 2020-2021: \$1,642,204,900, 2021-2022: \$1,293,490,400; appropriate to the Labor Cabinet: 2020-2021: \$1,515,559,358,900, 2021-2022: \$1,293,490,400; appropriate to the Public Protection Cabinet: 2020-2021: \$1,270,159,200, 2021-2022: \$1,025,343,600; appropriate to the Tourism, Arts and Heritage Cabinet: 2020-2021: \$1,025,343,600, 2021-2022: \$1,025,343,600; appropriate to the Budget Reserve Trust Fund: 2020-2021: \$8,598,931,500, 2021-2022: \$8,077,761,600; detail Part II, Capital Projects Budget; detail Part III, General Provisions; detail Part IV, State Salary/Compensation, Benefit, and Employment Policy; detail Part V, Funds Transfer; detail Part VI, General Fund Budget Reduction Plan; detail Part VII, General Fund Surplus Expenditure Plan; detail Part VIII, Road Fund Surplus Reduction Plan; detail Part IX, Road Fund Surplus Expenditure Plan; detail Part X, Phase I Tobacco Settlement; and detail Part XI, Executive Branch Budget Summary; APPROPRIATION. SCS - 1 The State/Executive Branch Budget: Detail Part I, Operating Budget; appropriate to General Government: 2019-2020: \$2,153,000, 2020-2021: \$1,794,495,300, 2021-2022: \$1,728,272,709;

Dark Green = Signed by Governor/Became Law

Light Green = Delivered to Governor

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 208	AN ACT relating to education and declaring an emergency.	Regina Huff	Provide that school districts may request approval for more than 10 student attendance days under a nontraditional instruction plan related to the COVID-19 emergency; require a school to submit a nontraditional instruction plan for the 2021-2022 school year within the Comprehensive District Improvement Plan by May 1, 2021; provide for how districts shall measure daily student participation while operating under an approved nontraditional instruction plan due to COVID-19; support any extended school calendar requirement for an educational program for state agency children; allow school districts to provide additional emergency leave days for staff due to the COVID-19 public health emergency; direct the Kentucky Department of Education to seek federal waivers regarding school meal service rules in relation to the COVID-19 public health emergency; provide that the Kentucky Board of Education may waive statewide assessment and accountability system requirements in accordance with federal waivers received; direct the Kentucky Department of Education to seek federal waivers from the Individuals with Disabilities Education Act in relation to the COVID-19 public health emergency; allow a local board of education to revise its certified evaluation opportunity days to COVID-19 public health emergency; allow a local board to award additional educational enhancement opportunity days to students to meet obligations that occur prior to the last day of school due to the COVID-19 public health emergency; allow school districts to email required notices to school district employees; allow school districts to use the school district attendance data selected by the district pursuant to 2020 Senate Bill 177 to calculate average daily attendance; allow a school to count up to 30 minutes as instructional time when used for COVID-19 related activities for in-person instruction; make the provisions of the Act retroactive to the 2020-2021 school year and effective for the 2021-2022 school year, if applicable; EMERGENCY.	<p>3/4/2021 1:55:13 PM posted for passage in the Regular Orders of the Day for Wednesday, March 3, 2021</p> <p>3/3/2021 1:18:40 PM reported favorably, to Rules with Committee Substitute (1) S</p> <p>3/1/2021 7:39:35 PM returned to Education (S) S</p> <p>3/1/2021 7:39:27 PM 2nd reading S</p> <p>3/1/2021 7:39:14 PM taken from Education (S) S</p> <p>3/1/2021 12:39:46 PM to Education (S) S</p> <p>2/26/2021 12:30:36 PM returned to Committee on Committees (S) S</p> <p>2/26/2021 12:30:22 PM 1st reading S</p> <p>2/26/2021 12:29:45 PM taken from Committee on Committees (S) S</p> <p>2/25/2021 2:42:26 PM to Committee on Committees (S) S</p> <p>2/25/2021 1:58:20 PM received in Senate S</p> <p>2/24/2021 2:55:44 PM 3rd reading, passed 87-8 with Committee</p>	<p>Amendment Description</p> <p>HCS -1</p> <p>Retain original provisions except remove references to a declared state of emergency; provide that school districts must report attendance data for the 2021-2022 school year; make the provisions of the Act retroactive to the 2020-2021 school year only except as provided; EMERGENCY.</p> <p>HFA -1 Rabourn, Felicia</p> <p>3/4/2021 3:22:24 PM enrolled, signed by Speaker of the House</p> <p>3/4/2021 3:20:45 PM passed 81-15 H</p> <p>3/4/2021 3:20:45 PM House concurred in Senate Committee Substitute H</p> <p>3/4/2021 2:49:13 PM Committee Substitute H</p> <p>3/4/2021 2:47:28 PM to Rules (H) H</p> <p>3/4/2021 2:17:53 PM received in House H</p> <p>3/3/2021 4:45:53 PM 3rd reading, passed 28-8 with Committee Substitute (1) S</p> <p>3/3/2021 1:55:13 PM posted for passage in the Regular Orders of the Day for Wednesday, March 3, 2021</p> <p>3/3/2021 1:18:40 PM reported favorably, to Rules with Committee Substitute (1) S</p> <p>3/1/2021 7:39:35 PM returned to Education (S) S</p> <p>3/1/2021 7:39:27 PM 2nd reading S</p> <p>3/1/2021 7:39:14 PM taken from Education (S) S</p> <p>3/1/2021 12:39:46 PM to Education (S) S</p> <p>2/26/2021 12:30:36 PM returned to Committee on Committees (S) S</p> <p>2/26/2021 12:30:22 PM 1st reading S</p> <p>2/26/2021 12:29:45 PM taken from Committee on Committees (S) S</p> <p>2/25/2021 2:42:26 PM to Committee on Committees (S) S</p> <p>2/25/2021 1:58:20 PM received in Senate S</p> <p>2/24/2021 2:55:44 PM 3rd reading, passed 87-8 with Committee</p>
HB 217	AN ACT relating to emergencies and declaring an emergency.	Savannah Maddox	Create a new section of KRS Chapter 48 to prohibit the use of governmental funds in contravention of the provisions of KRS Chapters 39A to 39F; amend KRS 39A.080 to apply emergency order limitations to executive orders issued under KRS Chapters 39A to 39F; amend KRS 39A.100 to require that emergency orders issued by the Governor be limited to 15 days, with extension only as provided by the General Assembly; require that emergency orders issued by a chief executive of a local government be limited to 15 days with extension only as provided by the legislative body of the local government; remove the ability of the Governor to change the manner of the election in an emergency; require the Governor to report to the General Assembly or the Legislative Research Commission every 30 days expenditures relating to contracts issued during the emergency and all revenues received from the federal government and their expenditure; allow the Governor to suspend a statute in an emergency only under certain conditions; place certain limitations on the issuance of an emergency executive order; define the burden of proof in actions for declaratory or injunctive relief to enforce the requirements; waive immunity for declaratory and prospective equitable relief under the Eleventh Amendment to the Constitution of the United States for cases brought under KRS 448.350 or to enforce the provisions in KRS 39A.100; prohibit the Governor from issuing an emergency executive order that imposes restrictions on freedom of speech, press, and religion; prohibit any governmental entity from using governmental funds in contravention of KRS 39A.100 or 194A.025; amend KRS 39A.180 removing authority for the Governor to suspend laws and administrative regulations during an emergency; amend KRS 39A.280 to specify immunity during a declared emergency; amend KRS 39A.390 to make a violation of Chapter 39A subject to a fine instead of a misdemeanor charge; amend KRS 350.040 to exempt any officer whose vacancy is filled by the Constitution of Kentucky from the definition of "office" and specify that those officers are exempt from the provisions of filling an office during an emergency; amend KRS 367.374 to conform; amend KRS 194A.025 to prohibit the secretary of health and family services from taking any action contrary to the requirements of KRS 39A.100; name the Act the "Ensuring Basic Checks and Balances and Basic Liberties During Emergencies Act"; provide a severability clause; EMERGENCY.	<p>3/15/2021 3:14:30 PM 2nd reading S</p> <p>3/15/2021 3:14:25 PM taken from State & Local Government (S)</p> <p>3/12/2021 12:14:33 PM returned to State & Local Government (S)</p> <p>3/12/2021 12:14:11 PM 1st reading S</p> <p>3/12/2021 12:14:00 PM taken from State & Local Government (S)</p> <p>3/12/2021 9:51:07 AM to State & Local Government (S) S</p> <p>3/12/2021 9:47:57 AM received in Senate S</p> <p>3/11/2021 8:04:37 PM 3rd reading, passed 74-22 with Committee Substitute H</p> <p>3/11/2021 1:26:46 PM placed in the Orders of the Day H</p> <p>3/11/2021 1:20:13 PM taken from Rules (H) H</p> <p>3/11/2021 1:13:44 PM reported favorably, to Rules with Committee Substitute H</p> <p>3/2/2021 5:20:20 PM posted in committee H</p> <p>3/1/2021 9:05:04 PM returned to State Government (H) H</p> <p>3/1/2021 9:04:59 PM 2nd reading H</p> <p>3/1/2021 9:04:54 PM taken from State Government (H) H</p> <p>1/9/2021 3:57:44 PM to State Government (H) H</p> <p>1/9/2021 3:57:44 PM to State Government (H) H</p> <p>1/9/2021 3:57:19 PM 1st reading H</p> <p>1/9/2021 3:57:13 PM taken from Committee on Committees (H)</p>	<p>Amendment Description</p> <p>HCS -1</p> <p>Delete original provisions; amend KRS 39A.010 to specify the importance of properly delegated legislative authority in an emergency; amend KRS 39B.010 to specify that the local emergency management agencies shall be under the direction of the Governor when authorized under KRS 39A.100; amend KRS 211.025 to restrict the authority of the Cabinet for Health and Family Services in matters of public health; amend KRS 211.180 to require that the Cabinet for Health and Family Services promulgate administrative regulations in regulating diseases; EMERGENCY.</p>

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 234	AN ACT relating to the Kentucky Community and Technical College System, making an appropriation therefor, and declaring an emergency.	Daniel Finter	Create a new section of KRS Chapter 164 to establish the Kentucky Community and Technical College endowment matching fund; transfer \$3 million annually to the fund from general funds; authorize promulgation of administrative regulations.	Date of Action Description Chamber 1/9/2021 6:31:35 PM to Committee on Committees (H) H 1/9/2021 9:46:38 AM Introduced in House H	
HB 235	AN ACT relating to the Kentucky Educational Excellence Scholarship.	Edward Matthew Lockett	Amend KRS 164.7803 to provide Kentucky Educational Excellence Scholarships eligibility for enrollment in a Navy Reserve Officers Training Corps program at an out-of-state institution located in a state within the Southern Regional Education Board	Date of Action Description Chamber 3/12/2021 9:19:41 AM 2nd reading, to Rules S 3/11/2021 12:21:00 PM reported favorably, 1st reading, to Calendar S 3/1/2021 1:00:37 PM to Education (S) S 2/26/2021 9:18:50 AM to Committee on Committees (S) S 2/26/2021 9:05:13 AM received in Senate S 2/25/2021 4:35:19 PM 3rd reading, passed SB-Q H 2/24/2021 5:53:43 AM posted for passage in the Regular Orders of the Day for Thursday, February 25, 2021 H 2/24/2021 8:42:59 AM 2nd reading, to Rules H 2/23/2021 10:14:57 AM reported favorably, 1st reading, to Calendar H 2/10/2021 4:55:53 PM posted in committee H 2/4/2021 10:33:28 AM to Education (H) H 1/9/2021 6:31:35 PM to Committee on Committees (H) H 1/9/2021 9:55:50 AM Introduced in House H	
HB 239	AN ACT relating to student loan services.	Paul Minter	Establish Subtitle 12 of KRS Chapter 285 and create sections thereof, define terms; establish licensing requirements for certain student loan servicers; establish requirements for student loan servicing activities; require student loan servicers to comply with applicable state and federal law; establish fines for violations of the subtitle; establish a private right of action for violations of the subtitle; permit the commissioner to monitor risk to consumers relating to servicing student loans; require the commissioner to designate a student loan ombudsman; set forth requirements for student loan ombudsmen; state that provisions of this Act shall be severable	Date of Action Description Chamber 1/9/2021 10:33:56 AM to Committee on Committees (H) H 1/9/2021 10:33:56 AM Introduced in House H	
HB 242	AN ACT relating to compliance with state and federal law.	Lynn Beecher	Amend KRS 83.133 to require a local law enforcement agencies and Kentucky State Police to enforce all laws; create a new section of KRS Chapter 85 to define terms, including "sanctuary" and "sanctuary policy"; prohibit local governments from adopting sanctuary policies; establish hearing procedures for determination of sanctuary status; provide for the withholding of state funding from sanctuaries; create new sections of KRS Chapter 164 to prohibit postsecondary educational institutions from enrolling, employing, or contracting with illegal aliens; require postsecondary educational institutions to keep records of immigration status; provide for the withholding of state funding from postsecondary educational institutions that enroll, employ, or contract with illegal aliens; limit who may be considered a Kentucky resident for in-state tuition purposes; EFFECTIVE in part January 1, 2022.	Date of Action Description Chamber 1/9/2021 12:29:24 PM to Committee on Committees (H) H 1/9/2021 12:29:24 PM Introduced in House H	
HB 247	AN ACT relating to college student criminal history inquiries.	Lisa Wilner	Create a new section of KRS Chapter 164 to prohibit a public or private postsecondary institution from inquiring about a student's criminal history on the institution's admissions application.	Date of Action Description Chamber 1/11/2021 6:58:09 PM to Committee on Committees (H) H 1/11/2021 11:06:10 AM Introduced in House H	
HB 253	AN ACT relating to high school graduation requirements.	Regina Huff	Create a new section of KRS Chapter 158 to require completion of the Free Application for Federal Student Aid form as a high school graduation requirement; provide waiver options for meeting the requirement; require local boards of education to develop policies and procedures to assist students in completing the requirement; require the Kentucky Department of Education to develop a process for local districts to report student data.	Date of Action Description Chamber 3/29/2021 3:56:28 PM recommitted to Appropriations & Revenue (H) H 3/29/2021 1:07:30 PM taken from the Orders of the Day H 2/24/2021 9:53:43 AM posted for passage in the Regular Orders of the Day for Thursday, February 25, 2021 H 2/24/2021 8:42:59 AM 2nd reading, to Rules H 2/23/2021 10:15:20 AM reported favorably, 1st reading, to Calendar H 2/10/2021 4:56:09 PM posted in committee H 2/10/2021 2:29:56 PM to Education (H) H 1/11/2021 6:58:09 PM to Committee on Committees (H) H 1/11/2021 3:15:10 PM Introduced in House H	

Bill	No.	Title	Primary Sponsor	Summary	History	Amendments
HB	258	AN ACT relating to the Teachers' Retirement System.	C. Ed Massey	Create new sections of KRS 161.220 to 161.716 to specify that new members of the Teachers' Retirement System on after January 1, 2022, shall receive a foundational benefit component, which shall be a traditional defined benefit plan with risk adjustments, and a supplemental benefit component, which shall be a plan based upon the member's account balance; provide that the cost of the foundational benefit for these new members shall be assessed annually in the actuarial valuation; provide that if the funding level of the foundational benefit component for new nonuniversity or university members falls below 90%, the TRS board shall make one or more of the following changes to keep the foundational benefit component employer costs within 8% of pay for nonuniversity members and 5.75% of pay for university members: utilize monies in the stabilization reserve account from any excess contributions above costs of the new plan components for new members only; establish the supplemental benefit component for new members who enter the TRS on or after January 1, 2022, that pays benefits based upon mandatory employee and employer contributions of 10% of pay for nonuniversity members only, and 5% of pay for university members only, and interest on the accounts equal to the five-year rolling yield on a 30-year Treasury bond; provide for vesting of employer contributions after five years; provide that a new member may take a refund, distribution, or annuitize their supplemental benefit account balance into a monthly payment based upon assumptions established by the TRS board; amend KRS 161.155 to provide that new nonuniversity TRS members on or after January 1, 2022, shall not have any lump-sum sick leave payments added to their foundational benefit component calculation but may have the payment deposited into the supplemental benefit component; repeal, reenact, and amend KRS 161.220 to establish definitions for the new foundational benefit component and supplemental benefit component for new members and make technical amendments to conform to a recent court decision; repeal, reenact, and amend KRS 161.540 to specify employee contribution rates for new TRS members on or after January 1, 2022, which for new nonuniversity members shall be 14.75% of pay with 9% going to fund the foundational benefit component, 2% to fund the supplemental benefit component, and 3.75% to fund retiree health benefits and for new university members shall be 9.775% of pay with 5% going to fund the foundational benefit component, 2% to fund the supplemental benefit component, and 2.775% to fund retiree health benefits; specify level of reduction to retiree health contribution once retiree health fund achieves 100% funding; repeal, reenact, and amend KRS 161.550 to conform language to a recent court decision and specify actual fixed employer rates being paid; provide that the maximum employer contribution rate for new members on or after January 1, 2022, shall be 10.75% for nonuniversity members with 8% going to fund the foundational benefit component, 2% to fund the supplemental benefit component, and 0.75% to fund retiree health benefits and 9.775% for university members with 5.775% going to fund the supplemental benefit component, 2% to fund the supplemental benefit component, and 2% to fund retiree health benefits; repeal the foundational benefit component, 2% to fund the supplemental benefit component, and 2% to fund retiree health benefits; specify level of reduction to retiree health contribution once retiree health fund achieves 100% funding; repeal, reenact, and amend KRS 158.791 to set forth the intent of the General Assembly with regard to reading instruction, supports, and interventions; define the Department of Education's role in assisting local school districts with regard to reading instruction, supports, and interventions; require the department to collaborate with designated agencies on reading programming, materials, and activities; amend KRS 158.305 to replace references to "response to intervention" systems with "multi-tiered system of supports"; require school districts to submit evidence of implementation of a multi-tiered system of supports by October 1 of each year; require the department to provide technical assistance and training on a multi-tiered system of supports upon the request of a school district; require the department to develop and maintain lists of approved universal screeners, diagnostic assessments, and comprehensive reading programs; require a local board of education to adopt and implement a reading universal screener and reading diagnostic assessment by January 1, 2022; permit a local school district to adopt a common comprehensive reading program for K-3; require all 3 teachers to be trained in any reading diagnostic assessment and universal screener adopted by a local board; establish requirements for the administration of reading universal screeners by grade-level; define and establish the requirements for a reading improvement plan; require the department to establish reading teacher academies or coaching models by September 1, 2022. If funds are appropriated; amend KRS 158.840 to require CPE and EPSB to report to the LUCE on teacher preparation programs compliance; create a new section of KRS Chapter 164 to require postsecondary institutions offering early childhood or elementary teacher preparation programs to include designated pilot program; define the purposes and objectives of the pilot program; outline the three-year structure of the program; require an evaluation report be submitted to the Legislative Research Commission by December 1, 2024; establish the short title of "Kentucky Early Career Teachers Act"; EMERGENCY.	<p>3/29/2021 11:30:28 PM delivered to Secretary of State (Acts Ch. 157) N</p> <p>3/29/2021 10:22:17 PM enrolled, signed by President of the Senate S</p> <p>3/29/2021 9:52:50 PM enrolled, signed by Speaker of the House H</p> <p>3/29/2021 8:31:32 PM received in House H</p> <p>3/29/2021 8:12:28 PM Bill passed 25-13 S</p> <p>3/29/2021 6:12:10 PM veto overridden S</p> <p>3/29/2021 5:14:38 PM posted for passage for consideration of Governor's veto S</p> <p>3/29/2021 4:24:06 PM to Rules (S) S</p> <p>3/29/2021 4:21:35 PM received in Senate S</p> <p>3/29/2021 3:53:18 PM Bill passed 63-31 H</p> <p>3/29/2021 3:52:39 PM veto overridden H</p> <p>3/29/2021 3:52:24 PM posted for passage for consideration of Governor's veto H</p> <p>3/29/2021 3:12:58 PM to Rules (H) H</p> <p>3/29/2021 12:56:59 PM received in House H</p> <p>3/24/2021 2:33:57 PM Voted N</p> <p>3/16/2021 11:35:54 PM delivered to Governor N</p> <p>3/16/2021 11:54:54 PM enrolled, signed by President of the Senate S</p> <p>3/16/2021 11:51:55 PM enrolled, signed by Speaker of the House H</p> <p>3/16/2021 10:59:58 PM passed 63-34 H</p> <p>3/16/2021 10:59:14 PM House concurred in Senate Committee Substitute (1) and floor amendment (1) H</p> <p>3/16/2021 8:19:39 PM posted for passage for concurrence in Senate Committee Substitute and floor amendment (1) H</p> <p>3/16/2021 6:18:57 PM to Rules (H) H</p>	<p>Amendment Description</p> <p>SCS - 1</p> <p>Retain original provisions except to: amend KRS 161.500 to increase minimum retirement age for new Teachers' Retirement System (TRS) members who enter the system on or after 1/1/2022 from age 55 to 57; amend KRS 161.220 to extend current statutory anti-pension spiking provisions in last 3 years of employment to last 5 for new TRS members; amend KRS 161.505 to provide that TRS members who retire on after 1/1/2022 and return to work after that date in a TRS eligible position shall not earn benefits in a second retirement account; and amend Sections 1, 2, and 42 to clarify that no retroactive benefit improvements shall be made by the TRS board for new TRS members in the new plan design.</p> <p>SFA - 1 Schroeder, Will</p> <p>Retain original provisions except to provide that the prohibition on second retirement accounts shall only apply to new members who enter the system on or after January 1, 2022, who then subsequently retire, and return to work in a position covered by the Teachers' Retirement System.</p>
HB	270	AN ACT relating to early literacy education and making an appropriation therefor.	James Tipson	Amend KRS 158.791 to set forth the intent of the General Assembly with regard to reading instruction, supports, and interventions; define the Department of Education's role in assisting local school districts with regard to reading instruction, supports, and interventions; require the department to collaborate with designated agencies on reading programming, materials, and activities; amend KRS 158.305 to replace references to "response to intervention" systems with "multi-tiered system of supports"; require school districts to submit evidence of implementation of a multi-tiered system of supports by October 1 of each year; require the department to provide technical assistance and training on a multi-tiered system of supports upon the request of a school district; require the department to develop and maintain lists of approved universal screeners, diagnostic assessments, and comprehensive reading programs; require a local board of education to adopt and implement a reading universal screener and reading diagnostic assessment by January 1, 2022; permit a local school district to adopt a common comprehensive reading program for K-3; require all 3 teachers to be trained in any reading diagnostic assessment and universal screener adopted by a local board; establish requirements for the administration of reading universal screeners by grade-level; define and establish the requirements for a reading improvement plan; require the department to establish reading teacher academies or coaching models by September 1, 2022. If funds are appropriated; amend KRS 158.840 to require CPE and EPSB to report to the LUCE on teacher preparation programs compliance; create a new section of KRS Chapter 164 to require postsecondary institutions offering early childhood or elementary teacher preparation programs to include designated pilot program; define the purposes and objectives of the pilot program; outline the three-year structure of the program; require an evaluation report be submitted to the Legislative Research Commission by December 1, 2024; establish the short title of "Kentucky Early Career Teachers Act"; EMERGENCY.	<p>3/16/2021 11:51:55 PM enrolled, signed by Speaker of the House H</p> <p>3/16/2021 10:59:58 PM passed 63-34 H</p> <p>3/16/2021 10:59:14 PM House concurred in Senate Committee Substitute (1) and floor amendment (1) H</p> <p>3/16/2021 8:19:39 PM posted for passage for concurrence in Senate Committee Substitute and floor amendment (1) H</p> <p>3/16/2021 6:18:57 PM to Rules (H) H</p>	<p>Amendment Description</p> <p>KCS - 1</p> <p>Retain original language; move all dates back one year, and remove emergency clause.</p> <p>HCA - 1 Tipson, James</p> <p>Make title amendment.</p>
HB	271	AN ACT relating to the Kentucky Early Entry Initiative pilot program and declaring an emergency.	James Tipson	Amend KRS 158.791 to set forth the intent of the General Assembly with regard to reading instruction, supports, and interventions; define the Department of Education's role in assisting local school districts with regard to reading instruction, supports, and interventions; require the department to collaborate with designated agencies on reading programming, materials, and activities; amend KRS 158.305 to replace references to "response to intervention" systems with "multi-tiered system of supports"; require school districts to submit evidence of implementation of a multi-tiered system of supports by October 1 of each year; require the department to provide technical assistance and training on a multi-tiered system of supports upon the request of a school district; require the department to develop and maintain lists of approved universal screeners, diagnostic assessments, and comprehensive reading programs; require a local board of education to adopt and implement a reading universal screener and reading diagnostic assessment by January 1, 2022; permit a local school district to adopt a common comprehensive reading program for K-3; require all 3 teachers to be trained in any reading diagnostic assessment and universal screener adopted by a local board; establish requirements for the administration of reading universal screeners by grade-level; define and establish the requirements for a reading improvement plan; require the department to establish reading teacher academies or coaching models by September 1, 2022. If funds are appropriated; amend KRS 158.840 to require CPE and EPSB to report to the LUCE on teacher preparation programs compliance; create a new section of KRS Chapter 164 to require postsecondary institutions offering early childhood or elementary teacher preparation programs to include designated pilot program; define the purposes and objectives of the pilot program; outline the three-year structure of the program; require an evaluation report be submitted to the Legislative Research Commission by December 1, 2024; establish the short title of "Kentucky Early Career Teachers Act"; EMERGENCY.	<p>3/16/2021 11:51:55 PM enrolled, signed by Speaker of the House H</p> <p>3/16/2021 10:59:58 PM passed 63-34 H</p> <p>3/16/2021 10:59:14 PM House concurred in Senate Committee Substitute (1) and floor amendment (1) H</p> <p>3/16/2021 8:19:39 PM posted for passage for concurrence in Senate Committee Substitute and floor amendment (1) H</p> <p>3/16/2021 6:18:57 PM to Rules (H) H</p>	<p>Amendment Description</p> <p>KCS - 1</p> <p>Retain original language; move all dates back one year, and remove emergency clause.</p> <p>HCA - 1 Tipson, James</p> <p>Make title amendment.</p>

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 312	AN ACT relating to financial institutions.	Bart T. Rowland	Amend KRS 268.1-440 to include gender-neutral language.	<p>3/29/2021 11:30:28 PM delivered to Secretary of State (Acts Ch. 160) N</p> <p>3/29/2021 10:23:34 PM enrolled, signed by President of the Senate H</p> <p>3/29/2021 9:52:50 PM enrolled, signed by Speaker of the House H</p> <p>3/29/2021 8:31:32 PM received in House H</p> <p>3/29/2021 5:17:23 PM Bill passed 22-16 S</p> <p>3/29/2021 5:16:25 PM veto overridden S</p> <p>3/29/2021 5:09:21 PM posted for passage for consideration of Governor's veto S</p> <p>3/29/2021 4:24:38 PM to Rules (S) S</p> <p>3/29/2021 4:23:48 PM received in Senate S</p> <p>3/29/2021 3:47:21 PM Bill passed 66-29 H</p> <p>3/29/2021 3:46:43 PM veto overridden H</p> <p>3/29/2021 3:46:21 PM posted for passage for consideration of Governor's veto H</p> <p>3/29/2021 3:08:07 PM to Rules (H) H</p> <p>3/29/2021 12:56:59 PM received in House H</p> <p>3/29/2021 2:32:58 PM Voted N</p> <p>3/15/2021 3:45:24 PM delivered to Governor N</p> <p>3/15/2021 3:07:04 PM enrolled, signed by President of the Senate S</p> <p>3/15/2021 12:25:06 PM enrolled, signed by Speaker of the House H</p> <p>3/12/2021 11:52:05 PM passed 70-26 H</p> <p>3/12/2021 4:52:24 PM House concurred in Senate Committee Substitute H</p> <p>3/12/2021 4:51:53 PM posted for passage for concurrence in Senate Committee Substitute H</p> <p>3/12/2021 11:20:04 AM taken from Rules (H) H</p>	<p>Amendment Description</p> <p>HCS - 1</p> <p>Retain original provisions, except amend KRS 61.870 to define "Resident of the Commonwealth"; amend KRS 61.872 to permit any resident of the Commonwealth to request public records through written application by fax, mail, e-mail, or hand delivery; prohibit disclosure of inspection of any records that can be obtained through the civil discovery process to a party, or party representative involved in a lawsuit with the Commonwealth; amend KRS 61.876 to require a public agency to display a copy of rules and regulations pertaining to open records requests, contact information of its records custodian, and a copy of a records request application form developed by the Attorney General, in a prominent location on its Web site; prohibit the Finance and Administration Cabinet from promulgating administrative regulations pertaining to public records requests for the Legislative Research Commission or Administrative Office of the Courts; require the Attorney General to promulgate by administrative regulation a form to be used for any public records request; amend KRS 61.878 to exempt all public records or information prohibited by state law from the Open Records Act; exempt from the Open Records Act any client or case files maintained by the Department of Public Advocacy or any entity contracting with the Department of Public Advocacy for the purpose of legal representation; amend KRS 61.880 to extend the deadline for a public agency to determine whether it will comply with a public records request to five days; amend KRS 7.119 to permit any resident of the Commonwealth to request or inspect records in custody of the Legislative Research Commission or the General Assembly; require the director of the Legislative Research Commission to determine whether to comply with a public records request within five days; require the director of the Legislative Research Commission to notify his or her decision in writing; require any request to review a decision made by the director to be reviewed by the Legislative Research Commission within 30 days of its first scheduled meeting held following receipt of the request to review; any decision made by the Legislative Research Commission pertaining to review shall be final and unappealable; exempt certain records under the custody of the Legislative Research Commission or General Assembly from disclosure; prohibit any member of the Legislative Research Commission, General Assembly, or any employee of the Legislative Research Commission from disclosing any record denied by the Legislative Research Commission; any disclosure of a denied record shall be subject to violation under</p>
HB 326	AN ACT relating to the creation of the Kentucky Center for Cannabis Research and making an appropriation therefor.	Kimberly Moore Moser	Create a new section of KRS Chapter 164 to establish the Kentucky Center for Cannabis Research at the University of Kentucky; define the role, mission, and responsibilities of the center; establish the university's duties related to the center; APPROPRIATION.	<p>3/29/2021 5:27:27 PM to Committee on Committees (H) H</p> <p>2/2/2021 2:24:38 PM introduced in House H</p>	
HB 337	AN ACT relating to concealed deadly weapons.	Savannah Maddox	Repeal KRS 237.115 interpreting the application of the concealed carry deadly weapon licensing statute to postsecondary facilities and permitting state and local governments to pass legislation limiting concealed carry in buildings controlled by that government; amend various sections to conform.	<p>3/4/2021 5:20:54 PM returned to Education (S) S</p> <p>3/4/2021 5:20:46 PM 2nd reading S</p> <p>3/4/2021 5:20:40 PM taken from Education (S) S</p> <p>3/3/2021 6:07:59 PM returned to Education (S) S</p> <p>3/3/2021 6:07:54 PM 1st reading S</p> <p>3/3/2021 6:07:50 PM taken from Education (S) S</p> <p>3/2/2021 1:09:02 PM to Education (S) S</p> <p>3/1/2021 3:59:51 PM to Committee on Committees (S) S</p> <p>3/1/2021 3:52:23 PM received in Senate S</p> <p>2/24/2021 11:04:52 AM 3rd reading, passed 81-17 H</p> <p>2/24/2021 9:53:43 AM posted for passage in the Regular Orders of the Day for Thursday, February 25, 2021 H</p> <p>2/24/2021 8:42:59 AM 2nd reading, to Rules H</p> <p>2/23/2021 10:16:20 AM reported favorably, 1st reading, to Calendar H</p> <p>2/10/2021 4:56:50 PM posted in committee H</p> <p>2/4/2021 10:30:17 AM to Education (H) H</p> <p>2/2/2021 5:27:27 PM to Committee on Committees (H) H</p> <p>2/2/2021 2:47:15 PM introduced in House H</p>	
HB 338	AN ACT relating to the Kentucky Educational Excellence Scholarship program.	William Lawrence	Amend KRS 164.7874 to add proprietary institutions to KEES eligible institutions; define proprietary institution.		

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2021 Legislative Session

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 369	AN ACT relating to supplementary education and declaring an emergency.	John C. Blanton	Allow public high school students currently enrolled in Kentucky to request to use the 2021-2022 school year as a supplemental school year to retake and supplement coursework already completed; require local boards of education to either approve or deny all requests; allow Kentucky nonpublic schools to also implement supplemental school years; ensure students utilizing supplemental school years are not ineligible to participate in KHSAA activities; raise age limit for KHSAA activities to 20 for those students utilizing supplemental school years; EMERGENCY.	Date of Action Description Chamber 2/24/2021 4:05:16 PM to Committee on Committees (H) H 2/24/2021 10:20:25 AM introduced in House H	
HB 383	AN ACT relating to scholarships.	Bobby W. McCool	Amend KRS 164.786 to add two career and technical education courses per year to the Dual Credit Scholarship; amend KRS 164.787 to rename the Work Ready Scholarship the Better Kentucky Promise Scholarship; delete the dual credit provisions from the program; revise the definitions of eligible institution and eligible program of study; require enrollment of at least nine hours each semester; grandfather students already participating in the Work Ready Scholarship; cite the Act as the Better Kentucky Promise Scholarship Act.	Date of Action Description Chamber 3/11/2021 8:15:56 AM recommitted to Appropriations & Revenue (H) H 3/2/2021 8:03:26 AM 2nd reading, to Rules H 3/1/2021 3:39:50 PM reported favorably, 1st reading, to Calendar H 2/25/2021 5:21:03 PM posted in committee H 2/23/2021 10:20:48 AM to Education (H) H 2/24/2021 4:05:16 PM to Committee on Committees (H) H 2/24/2021 2:07:35 PM introduced in House H	
HB 397	AN ACT relating to scholarships for veterans and their families.	Pamela Stevenson	Amend KRS 164.507 and 164.513 to remove the limitation that earning a degree terminates the benefit.	Date of Action Description Chamber 2/24/2021 4:05:16 PM to Committee on Committees (H) H 2/24/2021 3:39:31 PM introduced in House H	
HB 423	AN ACT relating to administrative regulations.	Randy E. Bridges	Create a new section of KRS Chapter 13A to be numbered as KRS 13A.215 to permit administrative bodies to use an administrative regulation management application meeting specified criteria; revise KRS 13A.040 to address technical corrections to administrative regulations; amend KRS 13A.2104 to require an agency to review and file a certification letter in the year prior to an administrative regulation's expiration date; amend KRS 158.6471 to grant the Education Assessment and Accountability Review Subcommittee (EAARS) the same powers, process, and procedures relating to reviewing administrative regulations as the Administrative Regulation Review Subcommittee (ARRS) and allow EAARS to request the same professional and clerical support as ARRS; add a special procedure for EAARS review, grant EAARS the same powers as other legislative committees reviewing administrative regulations with subject matter jurisdiction.	Date of Action Description Chamber 3/25/2021 3:31:01 PM signed by Governor (Acts Ch. 125) N 3/15/2021 3:45:24 PM delivered to Governor N 3/15/2021 3:07:32 PM enrolled, signed by President of the Senate S 3/15/2021 12:25:06 PM enrolled, signed by Speaker of the House H 3/12/2021 4:06:31 PM received in House H 3/12/2021 3:07:15 PM 3rd reading, passed 35-0 S 3/11/2021 2:21:24 PM posted for passage in the Consent Orders of the Day for Friday, March 12, 2021 S 3/11/2021 12:36:53 PM reported favorably, to Rules as a consent bill S 3/5/2021 4:19:54 PM returned to State & Local Government (S) S 3/5/2021 4:19:48 PM 2nd reading S 3/5/2021 4:19:43 PM taken from State & Local Government (S) S 3/4/2021 4:48:49 PM returned to State & Local Government (S) S 3/4/2021 4:48:41 PM 1st reading S 3/4/2021 4:48:33 PM taken from State & Local Government (S) S 3/2/2021 1:32:22 PM to State & Local Government (S) S 3/2/2021 2:13:13 PM to Committee on Committees (S) S 3/2/2021 2:04:36 PM received in Senate S 3/1/2021 5:26:01 PM 3rd reading, passed 95-0 H 2/26/2021 7:37:23 AM posted for passage in the Regular Orders of the Day for Monday, March 1, 2021 H 2/26/2021 7:31:11 AM 2nd reading, to Rules H 2/25/2021 1:36:44 PM reported favorably, 1st reading, to Calendar H 2/24/2021 5:09:05 PM posted in committee H 2/23/2021 2:33:36 PM to State Government (H) H 2/23/2021 3:42:15 PM to Committee on Committees (H) H 2/9/2021 2:11:00 PM introduced in House H	

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
HB 471	AN ACT relating to athletics.	Lynn Beechler	Amend KRS 156.070 to designate the NAA and NJCAA as organizations the Kentucky Board of Education must consider in establishing athletic programs; require the Board of Education or agency designated by the Board of Education to manage interscholastic athletics to promulgate administrative regulations or bylaws requiring schools that participate in interscholastic athletics to designate all athletic teams, activities, and sports based upon the biological sex of the students eligible to participate; prohibit male students from participating in athletic teams, activities, and sports designated as girls; prohibit designated agencies from entertaining complaints or investigations of policies; create a cause of action against a school that violates these provisions; require that the action be brought within 2 years; create a new section of KRS Chapter 164 to require a postsecondary education institution to designate all intercollegiate and intramural athletic teams, activities, sports, and events that are sponsored or authorized by the institution based on biological sex of students eligible to participate; require that a postsecondary education institution prohibit male student from participating in athletic teams, activities, and sports designated as women's; prohibit designated agencies from entertaining complaints or investigations of policies; create a cause of action against a postsecondary institution that violates these provisions; require that the action be brought within 2 years; title the Act: "Save Women's Sports Act."	Date of Action Description Chamber 2/10/2021 4:30:28 PM to Committee on Committees (H) 2/10/2021 2:05:57 PM Introduced in House H	
HB 505	AN ACT relating to the Kentucky opportunity fund and making an appropriation therefor.	Josie Raymond	Create new sections of KRS Chapter 164A to establish within the State Treasury a fund to be used to make contributions to Kentucky opportunity accounts; amend KRS 131.190 to allow the Department of Revenue to share information with the Kentucky Higher Education Assistance Authority; amend KRS 141.019 to exclude earnings from Kentucky opportunity accounts from adjusted gross income; amend KRS 205.200 to provide that ownership of activity of a Kentucky opportunity account shall not be used when conducting means-based testing for eligibility for public assistance programs.	Date of Action Description Chamber 2/11/2021 3:41:25 PM to Committee on Committees (H) 2/11/2021 2:11:02 PM Introduced in House H	
HB 525	AN ACT relating to teacher certification.	Jason M. Nemes	Create a new section of KRS Chapter 161 to require teacher preparation programs to include a competency demonstration option as an alternative to obtaining a passing score on assessments required for certification; amend KRS 161.030 and 161.010 to conform.	Date of Action Description Chamber 2/11/2021 7:01:35 PM to Committee on Committees (H) 2/11/2021 5:28:28 PM Introduced in House H	
HB 633	AN ACT relating to paramedic education and making an appropriation therefor.	Ashley Tackett	Create a new section of KRS Chapter 164 to establish a coal-county paramedic scholarship to be administered by KHEAA; establish a fund in the State Treasury for providing coal-county paramedic scholarships; APPROPRIATION.	Date of Action Description Chamber 2/22/2021 5:42:16 PM to Committee on Committees (H) 2/22/2021 3:43:27 PM Introduced in House H	
HB 249	AN ACT relating to vocational rehabilitation.	C. Ed Massey	Amend KRS 163.460 to define "blind vendor," "public property," and "vending facility"; and to amend definition of "office"; create a new section of KRS Chapter 163 to set forth procedures to provide priority to blind vendors to operate vending facilities on public property; amend KRS 163.470 and 163.480 to conform.	Date of Action Description Chamber 2/23/2021 3:05:24 PM to Committee on Committees (H) 2/23/2021 2:20:20 PM Introduced in House H	
SB 1	AN ACT relating to emergencies.	Matt Castillon	Amend KRS 39A.090 to limit the effective dates of executive orders issued by the Governor to 30 days unless an extension is approved by the General Assembly; and prohibit the Governor from issuing a new executive order relating to the same emergency without the approval of the General Assembly; amend KRS 39A.100 to allow an executive order relating to elections to remain in effect regardless of the time limitations in KRS 39A.090; amend KRS 39A.190 to limit administrative regulations promulgated under KRS 39A.190 to 30 days unless an extension is approved by the General Assembly; amend KRS 214.020 to limit administrative regulations promulgated under KRS 214.020 to 30 days unless an extension is approved by the General Assembly; amend KRS 241.090 to limit the emergency jurisdiction and peace officer status of administrators and investigators under the alcohol beverage control board to the limitations in KRS 39A.090; amend KRS 315.500 to prevent the time limitations of KRS 39A.090 from affecting the emergency distributions of pharmaceuticals; amend KRS 39A.374 to prevent the time limitations of KRS 39A.090 from affecting the limitations on increased prices during an emergency.	Date of Action Description Chamber 2/22/2021 6:02:47 PM became law without Governor's Signature 2/22/2021 7:30:37 PM delivered to Secretary of State (Acts Ch. 6) 2/22/2021 5:55:34 PM enrolled, signed by Speaker of the House 2/22/2021 6:28:43 PM enrolled, signed by President of the Senate 2/22/2021 6:21:58 PM received in Senate S 2/22/2021 5:54:11 PM Bill passed 69-20 H 2/22/2021 5:53:51 PM veto overridden H 2/22/2021 5:53:44 PM placed in the Orders of the Day for consideration of the Governor's Veto H 2/22/2021 5:53:14 PM taken from Rules (H) H 2/22/2021 5:53:12 PM to Rules (H) H 2/22/2021 5:53:00 PM received in House H 2/22/2021 5:04:02 PM Bill passed 28-8 S 2/22/2021 5:00:54 PM veto overridden S 2/22/2021 4:15:58 PM posted for passage for consideration of Governor's veto for Tuesday, February 2, 2021 S 2/22/2021 4:15:12 PM taken from Rules S 2/22/2021 4:15:00 PM to Rules (S) S 2/22/2021 4:14:58 PM received in Senate S 2/22/2021 3:57:58 PM Voted N 1/19/2021 6:57:06 PM delivered to Governor N 1/19/2021 5:30:51 PM enrolled, signed by Speaker of the House H 1/19/2021 5:23:15 PM enrolled, signed by President of the Senate H	Amendment Description SCS -1 Amend KRS 39A.020 to redefine "emergency"; amend KRS 39A.090 to require certain emergency executive orders to end after 30 days unless an extension is approved by the General Assembly; for all other executive orders, allow local governments to request an extension of the 30-day limit; prohibit the Governor from declaring an additional, similar emergency order upon the expiration of the first order; waive prospective equitable and declaratory relief immunity under the Eleventh Amendment to the United States Constitution for cases under KRS 446.350 during emergencies; amend KRS 39A.100 to exempt emergency orders moving the election from the 30-day limitation and limit changes to time or place; require the Governor to report to the General Assembly or the Legislative Research Commission expenditures relating to contracts issued during the emergency and all revenues received from administrative regulations under the emergency to follow the requirements of KRS Chapter 13A, and provide specific requirements for suspending a statute and promulgating administrative regulations under a declared emergency; amend KRS 39A.280 to extend liability protection for architects and engineers for work performed during an emergency without compensation to 90 days from the end of the last extension of the declaration of emergency; amend KRS 39A.390 to change the penalty for violating provisions of KRS Chapter 39A from a Class A misdemeanor to not more than a \$100 fine for the first offense and not more than a \$250 fine for subsequent offenses; amend KRS 241.090 to limit the jurisdiction and police powers of state administrators and investigators during an emergency; amend KRS 315.500 to specify that the Governor's ability to authorize an emergency supply of medicine is not subject to the 30-day emergency executive order limitation; amend KRS 367.374 to specify that the Governor's ability to prohibit price gouging during an emergency is not subject to the 30 day emergency executive order limitation; EMERGENCY SCA -1 Castillon, Matt Make title amendment.

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Bill	No.	Title	Primary Sponsor	Summary	History	Amendments
SB	8	AN ACT relating to exceptions to mandatory immunization requirements and declaring an emergency.	Charles M. Wilson	Amend KRS 214.035 to provide exemptions from mandatory immunization for any child, emancipated minor, or adult who, personally or by a parent or guardian, submits a written sworn statement objecting to the immunization based on conscientiously held beliefs; prohibit any administrative regulation, administrative order, or executive order from requiring during an epidemic the immunization of persons who submit either a written sworn statement objecting to the immunization based on conscientiously held beliefs or the written opinion of the person's physician that such immunization would be injurious to the person's health; require the Cabinet for Health and Family Services to develop and make available on its Web site a standardized form relating to the exemptions from mandatory immunization and to accept the form after it is submitted; amend KRS 209.552 and 214.034 to conform; EMERGENCY.	<p>Date of Action Description Chamber</p> <p>3/28/2021 12:03:46 AM became law without Governor's Signature (Acts Ch. 147) N</p> <p>3/16/2021 9:31:09 PM delivered to Governor N</p> <p>3/16/2021 4:47:35 PM enrolled, signed by Speaker of the House H</p> <p>3/16/2021 3:13:56 PM enrolled, signed by President of the Senate S</p> <p>3/16/2021 12:02:35 PM Bill passed 33-1 S</p> <p>3/16/2021 12:01:55 PM Senate concurred in House Committee Substitute (1) S</p> <p>3/15/2021 8:32:34 PM posted for passage for concurrence in House Committee Substitute (1) for Tuesday, March 16, 2021 S</p> <p>3/12/2021 11:38:03 PM received in Senate S</p> <p>3/12/2021 11:32:26 AM passed 77-20 with Committee Substitute H</p> <p>3/12/2021 11:23:49 AM floor amendment (8) defeated H</p> <p>3/12/2021 11:19:28 AM 3rd reading H</p> <p>3/12/2021 10:41:49 AM floor amendment (8) filed to Committee Substitute H</p> <p>3/11/2021 2:55:47 PM floor amendment (5), (6) and (7) filed to Committee Substitute H</p> <p>3/11/2021 8:19:33 AM posted for passage in the Regular Orders of the Day for Friday, March 12, 2021 H</p> <p>3/5/2021 8:01:56 AM 2nd reading, to Rules H</p> <p>3/4/2021 4:18:42 PM floor amendments (1), (2), (3) and (4) filed to Committee Substitute H</p> <p>3/4/2021 1:32:15 PM reported favorably, 1st reading, to Calendar with Committee Substitute H</p> <p>3/1/2021 9:37:55 PM posted in committee H</p> <p>2/23/2021 10:22:38 AM to Health & Family Services (H) H</p>	<p>Amendment Legislator Name Description</p> <p>SCS - 1</p> <p>Delete original provisions; amend KRS 214.035 to provide exemptions from mandatory immunization for any child, emancipated minor, or adult who, personally or by a parent or guardian, submits a written sworn statement objecting to the immunization based on religious grounds; prohibit any administrative regulation, administrative order, or executive order from requiring during an epidemic the immunization of persons who submit either a written sworn statement objecting to the immunization based on conscientiously held beliefs or the written opinion of the person's physician that such immunization would be injurious to the person's health; require the Cabinet for Health and Family Services to develop and make available on its Web site a standardized form relating to the exemptions from mandatory immunization and to accept the form after it is submitted; EMERGENCY.</p> <p>HCS - 1</p> <p>Change "medical" provider to "health care" provider; EMERGENCY.</p> <p>HFA - 1 Willner, Lisa</p> <p>Delete the exclusion from mandatory immunizations in the event of an epidemic any child whose parents or guardians are opposed to medical immunizations who object by a written sworn statement based on religious grounds or conscientiously held beliefs; EMERGENCY.</p> <p>HFA - 2 Willner, Lisa</p> <p>Retain original provisions; add definition of "health care provider".</p> <p>HFA - 3 Marzani, Mary Lou</p> <p>Retain original provisions; add requirement that the exemption form include information on symptoms and health risks of diseases, including coronaviruses.</p> <p>HFA - 4 Willner, Lisa</p> <p>Retain original provisions; add definition of "epidemic".</p> <p>HFA - 5 Bechler, Lynn</p> <p>Retain original provisions; add that no person shall be required to be immunized with a vaccine that has not been licensed; EMERGENCY.</p> <p>HFA - 6 Bechler, Lynn</p> <p>Retain original provisions; add that no person shall be required to be immunized with a vaccine that has not been licensed and undergone at least two years of post-licensure safety study.</p>
SB	10	AN ACT relating to the creation of a Commission on Race and Access to Opportunity.	David P. Givens	Create a new section of KRS Chapter 7 to establish a Commission on Race and Access to Opportunity; define "commission"; set forth the membership of the commission; authorize the authority to hold monthly meetings, seek comment and testimony, and provide research driven policy proposals; and require the commission to publish an annual report.	<p>Date of Action Description Chamber</p> <p>3/28/2021 12:04:32 AM became law without Governor's Signature (Acts Ch. 145) N</p> <p>3/16/2021 9:37:28 PM delivered to Governor N</p> <p>3/16/2021 4:47:35 PM enrolled, signed by Speaker of the House H</p> <p>3/16/2021 11:56:49 AM enrolled, signed by President of the Senate S</p> <p>3/15/2021 5:11:48 PM Bill passed 27-9 S</p> <p>3/15/2021 5:10:20 PM Senate concurred in House Committee Substitute (1) and House floor amendment (1) S</p> <p>3/12/2021 11:38:03 PM posted for passage for concurrence in House Committee Substitute (1) and floor amendment (1) for Monday, March 15, 2021 S</p> <p>3/12/2021 2:34:37 PM to Rules (S) S</p> <p>3/12/2021 9:53:32 AM received in Senate S</p> <p>3/11/2021 8:26:59 PM 3rd reading, passed 65-24 with Committee Substitute and floor amendment (1) H</p> <p>3/11/2021 8:20:17 PM floor amendment (1) filed to Committee Substitute H</p> <p>3/5/2021 8:29:17 AM posted for passage in the Regular Orders of the Day for Thursday, March 11, 2021 H</p> <p>3/5/2021 8:01:56 AM 2nd reading, to Rules H</p> <p>3/4/2021 1:34:09 PM reported favorably, 1st reading, to Calendar with Committee Substitute H</p> <p>3/3/2021 4:52:50 PM posted in committee H</p> <p>3/1/2021 4:08:52 PM to State Government (H) H</p> <p>2/25/2021 2:18:06 PM to Committee on Committees (H) H</p> <p>2/25/2021 2:14:49 PM received in House H</p> <p>2/24/2021 4:45:45 PM 3rd reading, passed 35-1 with Committee Substitute S</p>	<p>Amendment Legislator Name Description</p> <p>SCS - 1</p> <p>Retain original provisions; include child welfare, juvenile justice, and any other sectors deemed relevant to the study and research areas of the Commission on Race and Access to Opportunity; require the four members appointed by leadership to be from the private and nonprofit sectors, universities, or local governments; require the annual report be submitted to the Legislative Research Commission.</p> <p>HCS - 1</p> <p>Retain original provisions; replace the executive director of the Human Rights Commission with the Attorney General as a member of the Commission on Race and Access.</p> <p>HFA - 1 Nemes, Jason</p> <p>Replace the Attorney General or his or her designee as a member of the Commission on Race and Access to Opportunity with a member selected by the President of the Senate and Speaker of the House of Representatives from a list of three names submitted by the Prosecutors Advisory Council.</p> <p>HFA - 2 Nemes, Jason</p> <p>Replace the executive director of the Human Rights Commission or his or her designee as a member of the Commission on Race and Access to Opportunity with a member selected by the President of the Senate and Speaker of the House of Representatives from a list of three names submitted by the Prosecutors Advisory Council.</p>

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SB 37	AN ACT relating to immunization and declaring an emergency.	Rick Girdler	Amend KRS 214.035 to prohibit required immunization of any person by any state agency or instrumentality; create a new section of KRS Chapter 338 to prohibit required immunization of any employee by an employer as defined in KRS 338.015; EMERGENCY.	<p>Date of Action Description Chamber</p> <p>2/22/2021 11:21:48 AM to Health & Welfare (S) S</p> <p>1/5/2021 6:59:35 PM to Committee on Committees (S) S</p> <p>1/5/2021 11:45:39 AM introduced in Senate S</p> <p>10/12/2020 3:32:41 PM Prefiled by the sponsor(s). N</p>	
SB 39	AN ACT relating to reciprocity among the state-administered retirement systems.	Dennis L. Parrett	Amend KRS 61.680 to provide that retirees of the Teachers' Retirement System (TRS) who suspend their retirement benefits in order to return to covered employment and build upon their existing TRS account as provided by current law may utilize omitted service (service that should have been reported for prior full-time work) in the Kentucky Retirement Systems (KRS) that was purchased during the period of reemployment for purposes of determining eligibility and the amount of benefits upon subsequent retirement from both KRS and TRS; make the provision retroactive to retirements on or after December 31, 2009.	<p>Date of Action Description Chamber</p> <p>11/5/2021 6:59:35 PM to Committee on Committees (S) S</p> <p>1/5/2021 11:45:39 AM introduced in Senate S</p> <p>11/19/2020 8:40:20 AM Prefiled by the sponsor(s). N</p>	
SB 101	AN ACT relating to career and technical education and declaring an emergency.	Jimmy Higdon	Amend KRS 157.069 to provide that when a local board of education assumes management of a state-operated vocational education and technology center, the center shall receive 100% of the funding it received as a state-operated center in the first year and 75% of the funding it received thereafter; provide that the remaining 25% of the funds shall be distributed to locally-operated centers that did not receive state supplemental funds previously; amend KRS 156.844 to require that when a local board of education assumes management of a state-operated vocational education and technology center, the local board must serve all districts serviced by the state-operated center; provide that when a local board of education assumes management of a state-operated vocational education and technology center, transferred certified employees who had tenure may be granted tenure and classified employees with four years of previous service may be offered a contract for at least five years.	<p>Date of Action Description Chamber</p> <p>3/15/2021 6:44:22 PM received in Senate S</p> <p>3/15/2021 4:10:13 PM 3rd reading, passed 96-0 H</p> <p>3/15/2021 11:07:27 AM posted for passage in the Regular Orders of the Day for Monday, March 15, 2021 H</p> <p>3/12/2021 9:01:40 AM reported favorably, to Rules H</p> <p>3/11/2021 8:05:57 AM returned to Education (H) H</p> <p>3/11/2021 8:05:47 AM 2nd reading H</p> <p>3/11/2021 8:05:42 AM taken from Education (H) H</p> <p>3/5/2021 12:04:07 PM posted to committee H</p> <p>3/5/2021 11:55:05 AM to Education (H) H</p> <p>3/5/2021 11:54:52 AM returned to Committee on Committees (H) H</p> <p>3/5/2021 11:54:44 AM 1st reading H</p> <p>3/5/2021 9:30:43 AM taken from Committee on Committees (H) H</p> <p>3/5/2021 9:25:40 AM to Committee on Committees (H) H</p> <p>3/5/2021 9:22:44 AM received in House H</p> <p>3/4/2021 4:28:11 PM 3rd reading, passed 36-0 with Committee Substitute (1) and committee amendment (1-bile) S</p> <p>3/4/2021 1:13:31 PM posted for passage in the Consent Orders of the Day for Thursday, March 4, 2021 S</p> <p>3/4/2021 12:26:13 PM reported favorably, to Rules with Committee Substitute (1) and committee amendment (1-bile) as a consent bill S</p>	<p>Amendment Description</p> <p>SCS - 1</p> <p>Retain original provisions, except amend KRS 157.069 to provide state supplemental funds to the locally operated secondary area centers that received state supplemental funds in fiscal year 2020-2021; require the transfer of state-operated vocational education and technology centers to local district management to be done by the 2022-2023 academic year to qualify for the new funding provisions; require the remaining 25% of transfer funding be first provided only to locally-operated centers that did not receive state funding for the current fiscal year; amend KRS 156.844 to require that transferred certified employees who had tenure shall be granted tenure and classified employees with four years of previous service shall be offered a contract for at least five years; EMERGENCY</p> <p>SCA - 1 Higdon, Jimmy</p> <p>Make title amendment.</p>
SB 105	AN ACT relating to athletics.	Robert "Robby" Mills	Amend KRS 156.070 to require the Board of Education or agency designated by the Board of Education to manage interscholastic athletics to promulgate administrative regulations or bylaws requiring schools that participate in interscholastic athletics to designate all athletic teams, activities, and sports based upon the biological sex of the students eligible to participate; prohibit male students from participating in athletic teams, activities, and sports designated as girls; prohibit designated agencies from entertaining complaints or investigations of policies; create a cause of action against a school that violates these provisions; require that the action be brought within 2 years; create a new section of KRS Chapter 164 to require a public postsecondary education institution or private postsecondary education institution that is a member of a national intercollegiate athletic association to designate all intercollegiate and intramural athletic teams, activities, sports, and events that are sponsored or authorized by the institution based on biological sex of students eligible to participate; require that a public postsecondary education institution or private postsecondary education institution that is a member of a national intercollegiate athletic association prohibit male students from participating in athletic teams, activities, and sports designated as women's; prohibit designated agencies from entertaining complaints or investigations of policies; create a cause of action against a postsecondary institution that violates these provisions; require that the action be brought within 2 years; title the Act "Save Women's Sports Act".	<p>Date of Action Description Chamber</p> <p>2/4/2021 8:50:18 AM to Education (S) S</p> <p>1/11/2021 4:49:27 PM to Committee on Committees (S) S</p> <p>1/11/2021 4:34:12 PM introduced in Senate S</p>	

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SB 114	AN ACT relating to the Kentucky Early Entry Initiative pilot program and declaring an emergency.	Stephen West	Create a new section of KRS Chapter 161 to define "early career teachers"; establish the Kentucky Early Entry Initiative pilot program; define the purposes and objectives of the pilot program; outline the three-year structure of the program; require an evaluation report be submitted to the Legislative Research Commission by December 1, 2024; establish the short title of "Kentucky Early Career Teachers Act"; EMERGENCY.	Date of Action Description Chamber 2/2/2021 11:31:59 AM to Education (S) S 1/13/2021 2:37:26 PM to Committee on Committees (S) S 1/13/2021 2:28:48 PM introduced in Senate S	Amendment Description Legislator Name SCS - 1 Retain all original provisions, amend KRS 158.791 to define proficient level; amend KRS 158.305 to amend the definition of "enrichment program"; replace references to "remediating a deficiency in reading" with "increasing a student's rate of progress towards proficient performance in reading"; remove the requirement that the Department of Education maintain an approved list of universal screeners, reading diagnostic assessments, and comprehensive reading programs; distinguish services offered through grade three with those offered in grade four and change the composition of the Read to Succeed Council. SFA - 1 Higdon, Jimmy
SB 115	AN ACT relating to early literacy education and making an appropriation therefor.	Stephen West	Amend KRS 158.791 to set forth the intent of the General Assembly with regard to reading instruction, supports, and interventions; define the Department of Education's role in assisting local school districts with regard to reading instruction, supports, and interventions; require the department to collaborate with designated agencies on reading programming, materials, and activities; amend KRS 158.305 to replace references to "response to intervention" systems with "multi-tiered system of supports"; require school districts to submit evidence of implementation of a multi-tiered system of supports by October 1 of each year; require the department to provide technical assistance and training on lists of approved universal screeners, diagnostic assessments, and comprehensive reading programs; require a local board of education to adopt and implement a reading universal screener and reading diagnostic assessment by January 1, 2022; permit a local school district to adopt a common comprehensive reading program for K-3; require all K-3 teachers to be trained in any reading diagnostic assessment and universal screener adopted by a local board; establish requirements for the administration of reading universal screeners by grade-level; define and establish the coaching models by September 1, 2022, if funds are appropriated; amend KRS 158.840 to require CPE and EPSSB to report to the LUCE on teacher preparation programs compliance; create a new section of KRS Chapter 164 to require postsecondary institutions offering early childhood or elementary teacher preparation programs to include designated instruction; require the EPSSB to maintain a list of approved reading teacher preparation tests; require all new teachers seeking certification in Interdisciplinary Early Childhood Education or Elementary School to take an approved reading teacher preparation test; amend KRS 164.0207 to rename the Reading Diagnostic and Intervention Grant Steering Committee the Read to Succeed Council; amend KRS 156.553 and 158.070 to conform; designate the Act as "the Read to Succeed Act"; APPROPRIATION.	Date of Action Description Chamber 2/26/2021 9:18:31 AM to Committee on Committees (H) H 2/26/2021 9:17:58 AM received in House H 2/25/2021 4:11:48 PM 3rd reading, passed 31-2 with Committee Substitute (1) and floor amendment (1) S 2/24/2021 8:56:14 AM posted for passage in the Regular Orders of the Day for Thursday, February 25, 2021 S 2/22/2021 6:22:32 PM floor amendment (1) filed to Committee Substitute S 2/22/2021 4:12:53 PM 2nd reading, to Rules S 2/21/2021 2:20:37 PM reported favorably, 1st reading, to Calendar with Committee Substitute S 2/22/2021 11:32:13 AM to Education (S) S 1/13/2021 2:37:38 PM to Committee on Committees (S) S 1/13/2021 2:29:23 PM introduced in Senate S	Amendment Description Legislator Name SCS - 1 Retain all original provisions except amend KRS 158.305 to require a multi-tiered system of supports to include response to intervention instruction; retain the existing purpose and function of the reading diagnostic and intervention fund; create a new section of KRS 158 to create a literacy coaching fund; define the purpose of the literacy coaching fund; require the department to report program data to the LUCE and an external evaluator; amend KRS 158.794 to authorize the Read to Succeed Council to advise KDE on the literacy coaching program; appropriate to the literacy coaching fund \$3,000,000 in fiscal year 2021-2022 and \$3,000,000 in fiscal year 2022-2023 for the literacy coaching program; provide that the funds shall not lapse. APPROPRIATION
SB 117	AN ACT relating to exceptions to immunization requirements and declaring an emergency.	Adrienne Southworth	Create a new section of KRS Chapter 164 to allow an independent institution or postsecondary educational institution to require a student to receive a vaccination for disease if exemption provisions are allowed; create a new section of KRS 216B to allow a health facility to require an employee to receive a vaccination for disease if exemption provisions are allowed; EMERGENCY.	Date of Action Description Chamber 2/4/2021 8:50:39 AM to Education (S) S 1/13/2021 4:30:38 PM to Committee on Committees (S) S 1/13/2021 3:38:47 PM introduced in Senate S	
SB 128	AN ACT relating to supplementary education and declaring an emergency.	Max Wise	Allow public high school students currently enrolled in Kentucky to request to use the 2021-2022 school year as a supplementary school year to retake and supplement coursework already completed; require local boards of education to either approve or deny all requests; allow Kentucky/nonpublic schools to also implement supplemental school years; ensure students utilizing supplemental school years are not ineligible to participate in KHSAA activities; EMERGENCY.	Date of Action Description Chamber 3/24/2021 4:05:41 PM signed by Governor (Acts Ch. 108) N 3/16/2021 9:43:04 PM delivered to Governor N 3/16/2021 9:11:58 PM enrolled, signed by Speaker of the House H 3/16/2021 7:51:00 PM enrolled, signed by President of the Senate S 3/16/2021 7:37:21 PM received in Senate S 3/16/2021 6:09:24 PM 3rd reading, passed 92-5 H 3/12/2021 11:07:27 AM posted for passage in the Regular Orders of the Day for Monday, March 15, 2021 H 3/12/2021 10:28:46 AM 2nd reading, to Rules H 3/11/2021 7:51:46 AM reported favorably, 1st reading, to Calendar H 3/4/2021 4:33:58 PM posted in committee H 3/3/2021 8:56:45 PM to Education (H) H 3/3/2021 2:19:11 PM to Committee on Committees (H) H 3/3/2021 12:46:39 PM received in House H 3/2/2021 3:12:27 PM 3rd reading, passed 36-0 with Committee Substitute (1) S 3/1/2021 12:12:35 PM posted for passage in the Regular Orders of the Day for Tuesday, March 2, 2021 S 2/26/2021 9:22:59 AM 2nd reading, to Rules S 2/25/2021 12:25:18 PM reported favorably, 1st reading, to Calendar with Committee Substitute (1) S 2/9/2021 8:49:09 AM to Education (S) S 2/2/2021 6:59:57 PM to Committee on Committees (S) S 2/2/2021 4:05:39 PM introduced in Senate S	Amendment Description Legislator Name SCS - 1 Retain original language, expand to grades K-12; create temporary program for graduated seniors instead of retention, allow program to be included in calculated average daily attendance, establish process for district to implement program and submit waivers, establish application deadline for nonpublic school students; and ensure KHSAA rules and regulations not specifically waived are affirmed, including transfer rules.

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SB 129	AN ACT relating to education.	Max Wise	Amend KRS 158.6455 to add a Kentucky Department of Education technical advisory committee for purposes of advising the department on assessment and accountability in place of the NTAPAA; require reports of advice be reported to the Office of Education Accountability; amend KRS 158.160 and 158.6453 to conform; repeal KRS 158.6455.	<p>3/23/2021 4:39:45 PM signed by Governor (Acts Ch. 79) N</p> <p>3/15/2021 10:31:10 PM delivered to Governor N</p> <p>3/15/2021 9:52:35 PM enrolled, signed by Speaker of the House H</p> <p>3/15/2021 7:48:46 PM enrolled, signed by President of the Senate S</p> <p>3/15/2021 5:14:11 PM Bill passed 35-0 S</p> <p>3/15/2021 5:13:41 PM Senate concurred in House floor amendment (1) S</p> <p>3/12/2021 11:42:28 PM posted for passage for concurrence in House floor amendment (1) for Monday, March 15, 2021 S</p> <p>3/12/2021 2:35:08 PM to Rules (S) S</p> <p>3/12/2021 9:53:56 AM received in Senate S</p> <p>3/11/2021 8:30:04 PM 3rd reading, passed 59-0 with floor amendment (1) H</p> <p>3/4/2021 4:18:41 PM floor amendment (1) filed H</p> <p>3/4/2021 12:06:34 PM posted for passage in the Regular Orders of the Day for Friday, March 5, 2021 H</p> <p>3/4/2021 8:09:48 AM 2nd reading, to Rules H</p> <p>3/3/2021 11:04:21 AM reported favorably, 1st reading, to Calendar H</p> <p>3/2/2021 5:16:10 PM posted in committee H</p> <p>3/1/2021 4:36:09 PM to Education (H) H</p> <p>2/25/2021 2:18:06 PM to Committee on Committees (H) H</p> <p>2/25/2021 2:17:06 PM received in House H</p> <p>2/24/2021 4:53:00 PM 3rd reading, passed 35-1 S</p> <p>2/24/2021 8:53:59 AM posted for passage in the Consent Orders of the Day for Wednesday, February 24, 2021 S</p> <p>2/23/2021 4:13:04 PM 2nd reading, to Rules S</p>	<p>Amendment Description HFA -1 Rowland, Bart Amend KRS 164.055 to redefine "board", establish the Asset Resolution Corporation as an independent of the municipal corporation and political subdivision; authorize the retention and compensation of the municipal corporation to serve and support schools or students concerning student finances, postsecondary education, and workforce development.</p>
SB 135	AN ACT relating to the postsecondary education performance fund.	David P. Givens	Amend KRS 164.092 to revise the definition of "formula base amount"; establish a definition of "funding floor" for purposes of priority funding for postsecondary institutions; add a hold-harmless provision for fiscal year 2021-2022 and thereafter; add a stop-loss provision of 0% for fiscal year 2021-2022 and thereafter; establish how amounts distributed from the performance fund shall be treated in the biennial budget process.	<p>3/16/2021 2:10:40 PM signed by Governor (Acts Ch. 39) N</p> <p>3/12/2021 10:49:57 AM delivered to Governor N</p> <p>3/11/2021 8:16:59 PM enrolled, signed by Speaker of the House H</p> <p>3/11/2021 6:46:46 PM enrolled, signed by President of the Senate S</p> <p>3/11/2021 4:37:03 PM Bill passed 33-0 S</p> <p>3/11/2021 4:35:34 PM Senate concurred in House Committee Substitute (1) S</p> <p>3/11/2021 12:42:26 PM posted for passage for concurrence in House Committee Substitute (1) on Thursday, March 11, 2021 S</p> <p>3/5/2021 6:14:32 PM to Rules (S) S</p> <p>3/5/2021 12:20:56 PM received in Senate S</p> <p>3/5/2021 10:04:29 AM 3rd reading, passed 91-0 with Committee Substitute H</p> <p>3/4/2021 12:06:34 PM posted for passage in the Regular Orders of the Day for Friday, March 5, 2021 H</p> <p>3/4/2021 8:09:48 AM 2nd reading, to Rules H</p> <p>3/3/2021 11:04:37 AM reported favorably, 1st reading, to Calendar with Committee Substitute H</p> <p>3/2/2021 5:16:10 PM posted in committee H</p> <p>3/2/2021 12:10:59 PM to Education (H) H</p> <p>2/26/2021 9:18:31 AM to Committee on Committees (H) H</p> <p>2/26/2021 9:18:16 AM received in House H</p> <p>2/25/2021 3:01:37 PM 3rd reading, passed 34-0 with Committee Substitute (1) and committee amendment (1-1) S</p> <p>2/24/2021 8:56:48 AM posted for passage in the Regular Orders of the Day for Thursday, February 25, 2021 S</p> <p>2/22/2021 4:13:19 PM 2nd reading, to Rules S</p> <p>2/11/2021 3:10:14 PM reported favorably, 1st reading, to Calendar with Committee Substitute (1) and committee amendment (1-dile) S</p>	<p>Amendment Description SCS -1 Retain original provisions; add emergency clause. EMERGENCY. SCA -1 Givens, David P. Make title amendment. HCS -1 Retain original provisions except specify that the funding floor shall be based on the institution's general fund appropriation as included in the 2020 budget bill; change the date for when the council shall certify amounts to the state budget director to May 1.</p>

Light Green = Delivered to Governor

Dark Green = Signed by Governor/Became Law

2021 Legislative Session

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SB 214	AN ACT relating to cessation of participation by Kentucky Employees Retirement System employers and declaring an emergency.	Christian E. McDaniel	Amend KRS 61.322 that is effective until April 1, 2021, and effective on or after April 1, 2021, so that Kentucky Employees Retirement System employers who are voluntarily ceasing participation in the system may make multiple lump-sum payments by the set due date and to receive interest credit for early payment; EMERGENCY.	<p>3/17/2021 2:14:45 PM signed by Governor (Acts Ch.28) N</p> <p>3/16/2021 9:35:57 PM delivered to Governor N</p> <p>3/16/2021 4:47:35 PM enrolled, signed by Speaker of the House H</p> <p>3/16/2021 3:15:19 PM enrolled, signed by President of the Senate S</p> <p>3/16/2021 12:17:53 PM Bill passed 35-0 S</p> <p>3/16/2021 12:16:05 PM Senate concurred in House floor amendments (1) and (2-title) S</p> <p>3/15/2021 8:56:16 PM posted for passage for concurrence in House floor amendments (1) and (2-title) for Tuesday, March 16, 2021 S</p> <p>3/15/2021 3:56:14 PM to Rules (S) S</p> <p>3/15/2021 2:54:30 PM received in Senate S</p> <p>3/12/2021 5:11:01 PM 3rd reading, passed 92-2 with floor amendments (1) and (2-title) H</p> <p>3/12/2021 3:20:30 PM floor amendment (3) withdrawn H</p> <p>3/11/2021 8:20:17 PM floor amendment (3) filed H</p> <p>3/11/2021 8:19:33 AM posted for passage in the Regular Orders of the Day for Friday, March 12, 2021 H</p> <p>3/5/2021 1:24:38 AM floor amendments (1) and (2-title) filed H</p> <p>3/5/2021 8:01:56 AM 2nd reading, to Rules H</p> <p>3/4/2021 1:08:01 PM reported favorably, 1st reading, to Calendar H</p> <p>3/3/2021 9:02:14 PM posted in committee H</p> <p>3/3/2021 4:52:50 PM to State Government (H) H</p> <p>3/3/2021 3:08:27 PM to Committee on Committees (H) H</p> <p>3/3/2021 2:19:11 PM received in House H</p> <p>3/2/2021 3:06:07 PM 3rd reading, passed 35-0 S</p> <p>3/1/2021 12:10:20 PM posted for passage in the Consent Orders of the Day for Tuesday, March 2, 2021 S</p> <p>3/1/2021 11:53:38 AM reported favorably, to Rules as a consent bill H</p>	<p>Amendment Description</p> <p>HFA - 1 Johnson, DJ</p> <p>Retain original provisions; add noncodified language authorizing Northern Kentucky University (NKU) to issue bonds in FY 2021 not exceeding \$320 million for the costs of ceasing participation in the Kentucky Employees Retirement System (KERS), authorize the Kentucky Asset/Liability Commission to issue notes to finance NKU bonds, and provide that notes issued have maturity of up to 30 years; APPROPRIATION</p> <p>HFA - 2 Johnson, DJ</p> <p>Make title amendment.</p> <p>HFA - 3 Tipton, James</p> <p>Retain original provisions; amend KRS 61.322 to provide that the discount rate for universities who voluntarily cease participating in KERS with the soft freeze option and pay by lump-sum shall be 4.5%.</p>
SB 225	AN ACT relating to education.	Max Wise	Amend KRS 156.690 to insert gender-neutral language.	<p>3/15/2021 3:28:55 PM placed in the Orders of the Day H</p> <p>3/15/2021 3:27:49 PM taken from Rules H</p> <p>3/12/2021 10:28:46 AM 2nd reading, to Rules H</p> <p>3/11/2021 7:52:10 AM reported favorably, 1st reading, to Calendar H</p> <p>3/4/2021 4:33:58 PM posted in committee H</p> <p>3/3/2021 9:02:45 PM to Education (H) H</p> <p>3/3/2021 2:19:11 PM to Committee on Committees (H) H</p> <p>3/3/2021 12:47:30 PM received in House H</p> <p>3/2/2021 3:06:56 PM 3rd reading, passed 35-0 with Committee Substitute (1) committee amendment (1-title) S</p> <p>3/1/2021 12:10:41 PM posted for passage in the Consent Orders of the Day for Tuesday, March 2, 2021 S</p> <p>2/26/2021 9:22:24 AM 2nd reading, to Rules S</p> <p>2/25/2021 1:07:46 PM reported favorably, 1st reading, to Consent Calendar with Committee Substitute (1) and committee amendment (1-title) S</p> <p>2/11/2021 2:04:08 PM to Education (S) S</p> <p>2/10/2021 2:42:54 PM to Committee on Committees (S) S</p> <p>2/10/2021 2:29:47 PM introduced in Senate S</p>	<p>Amendment Description</p> <p>SCS - 1</p> <p>Delete original provisions; provide that a student's KES base amount for the 2020-2021 academic year will be based on the student's 2021-2022 grade point average if higher than the 2020-2021 grade point average; provide that the 2020-2021 KES base amount for a high school senior will be based on the student's 2019-2020 grade point average if higher than the 2020-2021 grade point average; EMERGENCY.</p> <p>2020-2021 grade point average; EMERGENCY.</p> <p>SCA - 1 Wise, Max</p> <p>Make title amendment.</p>

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SB 246	AN ACT relating to veterans.	David Yates	Amend KRS 154.12.330 to give preference to veterans in the issuance of small business loans; amend KRS 154.12.320 to require monthly veteran workforce reports; create a new section of KRS Chapter 154 to require annual job training reports by the Kentucky Commission on Military Affairs; create a new section of KRS Chapter 154 to require a report of occupational licenses by the Kentucky Commission on Military Affairs; create a new section of KRS Chapter 311 to require the Kentucky Board of Medical Licensure to review licensing practices for nurses and physicians assistants for military reciprocity; create a new section of KRS Chapter 164 to grant college credit to certain veterans; create a new section of KRS Chapter 164 to provide additional flexibility in college scheduling and registration to veterans; amend KRS 40.317 to expand the Kentucky Department of Veterans' Affairs' assistance to veterans in navigating healthcare; create a new section of KRS Chapter 40 to direct the Kentucky Department of Veterans' Affairs to increase access to healthcare for veterans; create a new section of KRS Chapter 40 to ensure veterans have access to mental health care; create a new section of KRS Chapter 40 to direct the Kentucky Department of Veterans' Affairs to end veteran homelessness.	<p>2/22/2021 9:07:57 AM Chamber Protection (S) S 2/11/2021 6:25:04 PM to Committee on Committees (S) S 2/11/2021 5:22:49 PM introduced in Senate S</p>	
SB 249	AN ACT relating to intercollegiate athletics.	John Morgan McGarvey	Create a new section of KRS Chapter 164 to define "athletic authority," "compensation," "image," "intercollegiate athletic program," "likeliness," "name," "image, and likeness agreement," "publicity rights," "postsecondary educational institution," "social media compensation," "student athlete," and "third-party licensee"; create a new section of KRS Chapter 164 to establish that a student athlete may earn compensation for the use of the student's name, image, and likeness and may obtain a certified agent in coordination with such compensation; establish the limits and terms under which the compensation may be earned; ensure a student athlete shall not be deemed an employee or independent contractor of an institution or authority; create a new section of KRS Chapter 164 to provide protections for student athletes and institutions in regards to student athletes earning compensation from permitted name, image, and likeness agreements; establish rights and limitations of an institution or an authority over student athlete compensation; establish limits of name, image, and likeness agreements; provide that nothing in the Act shall be interpreted to modify certain state and federal laws; establish cause of action for violation of the Act; provide it may be cited as the Pay to Portray Act; EFFECTIVE July 1, 2023.	<p>2/11/2021 6:26:03 PM to Committee on Committees (S) S 2/11/2021 5:44:52 PM introduced in Senate S</p>	
SB 250	AN ACT relating to postsecondary education.	John Morgan McGarvey	Amend KRS 161.028 to allow colleges or universities to offer teacher preparation programs with regional accreditation or national accreditation if recognized by the US Department of Education and if the institution is eligible to receive federal funding under 20 U.S.C. secs. 1061 to 1063; amend KRS 164.785 to allow a Kentucky college or university to be an eligible institution for a Kentucky tuition grant if it is accredited by SACS or a national accreditation association and if the institution is eligible for federal funding under 20 U.S.C. secs. 1061 to 1063.	<p>3/30/2021 8:23:57 PM delivered to Governor N 3/30/2021 3:55:35 PM enrolled, signed by Speaker of the House H 3/30/2021 11:38:25 AM enrolled, signed by President of the Senate S 3/30/2021 11:39:29 AM received in Senate S 3/29/2021 10:45:01 PM 3rd reading, passed 92-0 H 3/12/2021 11:07:27 AM posted for passage in the Regular Orders of the Day for Monday, March 15, 2021 H 3/12/2021 9:02:18 AM reported favorably, 2nd reading, to Rules H 3/11/2021 9:03:52 PM posted in committee H 3/11/2021 2:49:56 PM to Education (H) H 3/11/2021 2:48:24 PM returned to Committee on Committees (H) H 3/11/2021 2:47:53 PM 1st reading H 3/11/2021 2:47:26 PM taken from Committee on Committees (H) H 3/11/2021 2:35:03 PM to Committee on Committees (H) H 3/11/2021 2:33:01 PM received in House H 3/5/2021 12:50:22 PM 3rd reading, passed 30-0 with floor amendments (2) and (1-title) S 3/4/2021 7:36:40 PM floor amendment (1-title) and (2) filed S 3/4/2021 1:13:53 PM posted for passage in the Regular Orders of the Day for Friday, March 5, 2021 S 3/4/2021 12:55:35 PM reported favorably, to Rules S 3/3/2021 6:10:35 PM returned to Education (S) S 3/3/2021 6:10:28 PM 2nd reading S 3/3/2021 6:10:21 PM taken from Education (S) S 3/2/2021 4:58:50 PM returned to Education (S) S 3/2/2021 4:58:43 PM 1st reading S</p>	<p>Amendment Description SFA -1 Sivers, Robert Make title amendment. SFA -2 Sivers, Robert Retain original provisions; require Kentucky State University to explore and pursue a partnership with any other historically black college or university in the Commonwealth to offer certain courses and to partner with the Cabinet for Health and Family Services and other historically black colleges or universities in the Commonwealth to design and implement a pilot project to help address certain issues of health education, wellness, and food insecurity; APPROPRIATION.</p>
SR 57	A RESOLUTION encouraging high schools to offer annual government instruction.	David P. Givens	Encourage high schools to offer students at least three hours of annual, nonpartisan instruction on the roles and functions of city, county, and state government.	<p>3/12/2021 4:50:03 PM adopted by voice vote S 2/9/2021 8:54:25 AM to Senate Floor S 2/9/2021 2:21:21 PM introduced in Senate S</p>	

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SR 92	A RESOLUTION confirming the appointment of Ken Perry to the Northern Kentucky University Board of Regents for a term ending June 30, 2026.	MI R. Schroder	Confirm the appointment of Ken Perry to the Northern Kentucky University Board of Regents for a term ending June 30, 2026.	Date of Action Description Chamber 3/30/2021 9:31:09 PM adopted 38-0 S 3/30/2021 12:05:17 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/1/2021 11:38:02 AM reported favorably, to Rules as a consent bill S 2/24/2021 9:18:40 AM to Education (S) S 2/22/2021 4:14:21 PM introduced in Senate S	
SR 124	A RESOLUTION recognizing the Commonwealth Policy Development Coalition.	David P. Givens	Recognize the Commonwealth Policy Development Coalition.	Date of Action Description Chamber 3/1/2021 7:54:32 PM adopted by voice vote S 3/1/2021 1:05:56 PM to Senate Floor S 2/25/2021 6:08:30 PM introduced in Senate S	
SR 145	A RESOLUTION confirming the reappointment of G. Alan Long to the Eastern Kentucky University Board of Regents.	Jared Carpenter	Confirm the reappointment of G. Alan Long to the Eastern Kentucky University Board of Regents for a term ending June 30, 2026.	Date of Action Description Chamber 3/30/2021 9:31:09 PM adopted 38-0 S 3/30/2021 12:07:03 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/1/2021 11:41:42 AM reported favorably, to Rules as a consent bill S 3/5/2021 10:05:33 AM to Education (S) S 3/4/2021 3:46:08 PM introduced in Senate S	
SR 167	A RESOLUTION confirming the appointment of Paula Leach Pope to the University of Kentucky Board of Trustees.	Julie Raque Adams	Confirm the appointment of Paula Leach Pope to the University of Kentucky Board of Trustees for a term expiring June 30, 2026.	Date of Action Description Chamber 3/30/2021 9:34:24 PM adopted 38-0 S 3/30/2021 12:15:04 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/12/2021 7:50:03 AM to Rules (S) S 3/1/2021 1:06:36 PM introduced in Senate S	
SR 168	A RESOLUTION confirming the appointment of Raymond Anthony Daniels to the University of Kentucky Board of Trustees.	Julie Raque Adams	Confirm the appointment of Raymond Anthony Daniels to the University of Kentucky Board of Trustees for a term expiring June 30, 2025.	Date of Action Description Chamber 3/30/2021 9:35:51 PM adopted 38-0 S 3/30/2021 12:15:17 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/12/2021 7:50:15 AM to Rules (S) S 3/1/2021 1:07:15 PM introduced in Senate S	
SR 169	A RESOLUTION confirming the appointment of Joseph Ronald Geoghegan Sr. to the University of Kentucky Board of Trustees.	Julie Raque Adams	Confirm the appointment of Joseph Ronald Geoghegan Sr. to the University of Kentucky Board of Trustees for a term expiring June 30, 2026.	Date of Action Description Chamber 3/30/2021 9:35:51 PM adopted 38-0 S 3/30/2021 12:15:30 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/12/2021 7:50:22 AM to Rules (S) S 3/1/2021 1:07:54 PM introduced in Senate S	
SR 170	A RESOLUTION confirming the reappointment of Robert Dale Vance to the University of Kentucky Board of Trustees.	Julie Raque Adams	Confirm the reappointment of Robert Dale Vance to the University of Kentucky Board of Trustees for a term expiring June 30, 2026.	Date of Action Description Chamber 3/30/2021 9:35:51 PM adopted 38-0 S 3/30/2021 12:15:42 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/12/2021 7:50:37 AM to Rules (S) S 3/1/2021 1:08:35 PM introduced in Senate S	
SR 171	A RESOLUTION confirming the appointment of Diane Lilly Porter to the University of Louisville Board of Trustees.	Julie Raque Adams	Confirm the appointment of Diane Lilly Porter to the University of Louisville Board of Trustees for a term expiring January 13, 2026.	Date of Action Description Chamber 3/30/2021 9:35:51 PM adopted 38-0 S 3/30/2021 12:15:54 PM posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021 S 3/12/2021 7:50:44 AM to Rules (S) S 3/1/2021 1:09:13 PM introduced in Senate S	

Bill No.	Title	Primary Sponsor	Summary	History	Amendments
SR 172	A RESOLUTION confirming the appointment of Alfonso Cornish to the University of Louisville Board of Trustees.	Julie Raque Adams	Confirm the appointment of Alfonso Cornish to the University of Louisville Board of Trustees for a term expiring January 13, 2026.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:35:51 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:16:07 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/12/2021 7:51:01 AM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/11/2021 1:39:45 PM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 173	A RESOLUTION confirming the appointment of Wilton Currie Milliken to the Western Kentucky Board of Regents.	Julie Raque Adams	Confirm the appointment of Wilton Currie Milliken to the Western Kentucky Board of Regents for a term expiring June 30, 2025.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:35:51 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:16:19 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/12/2021 7:51:08 AM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/11/2021 1:10:22 PM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 174	A RESOLUTION confirming the appointment of Jan Michele West to the Western Kentucky University Board of Regents for a term expiring June 30, 2026.	Julie Raque Adams	Confirm the appointment of Jan Michele West to the Western Kentucky University Board of Regents for a term expiring June 30, 2026.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:35:51 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:16:33 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/12/2021 7:51:25 AM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/11/2021 1:11:04 PM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 179	A RESOLUTION confirming the appointment of Barry Kelvin Martin to the Kentucky Community and Technical College System Board of Regents.	Julie Raque Adams	Confirm the appointment of Barry Kelvin Martin to the Kentucky Community and Technical College System Board of Regents for a term expiring July 21, 2026.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:35:51 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:17:48 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/12/2021 7:52:18 AM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/11/2021 1:14:33 PM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 2159	A RESOLUTION confirming the appointment of Arthur Everett Walker III to the Morehead State University Board of Regents.	Julie Raque Adams	Confirm the appointment of Arthur Everett Walker III to the Morehead State University Board of Regents for a term expiring June 30, 2026.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:37:33 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:20:58 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/12/2021 7:54:03 AM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/11/2021 1:23:29 PM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 2166	A RESOLUTION confirming the appointment of Muhammad Babar to the Council on Postsecondary Education for a term expiring December 31, 2024.	Julie Raque Adams	Confirm the appointment of Muhammad Babar to the Council on Postsecondary Education for a term expiring December 31, 2024.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:39:31 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:28:50 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:03:26 PM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 11:43:45 AM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 247	A RESOLUTION confirming the appointment of Eric G. Farris to the Council on Postsecondary Education.	Julie Raque Adams	Confirm the appointment of Eric G. Farris to the Council on Postsecondary Education for a term expiring December 31, 2025.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:39:31 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:29:04 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:03:59 PM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 11:44:09 AM</div> <div>introduced in Senate</div> <div>S</div> </div>	
SR 248	A RESOLUTION confirming the appointment of Kevin Wayne Weaver to the Council on Postsecondary Education.	Julie Raque Adams	Confirm the appointment of Kevin Wayne Weaver to the Council on Postsecondary Education for a term expiring December 31, 2023.	<div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 9:39:31 PM</div> <div>adopted 38-0</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:29:22 PM</div> <div>posted for passage in the Consent Orders of the Day for Tuesday, March 30, 2021</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 12:04:26 PM</div> <div>to Rules (S)</div> <div>S</div> </div> <div> <div>Date of Action</div> <div>Description</div> <div>Chamber</div> <div>3/30/2021 11:44:19 AM</div> <div>introduced in Senate</div> <div>S</div> </div>	



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Proposed 2022 Legislative Agenda
FROM: GOVERNANCE
ACTION ITEM: NO

BACKGROUND: On March 31, 2021, the Kentucky General Assembly adjourned the Legislative Session. The General Assembly approved a one year Executive Branch budget and passed other legislation relevant to furthering the quality of, access to and affordability of higher education. Given that the General Assembly only approved a one year budget instead of the biennial budget and the session was impacted by COVID-19, it is recommended that the 2022 legislative priorities agenda include two items that were not able to be fully considered and add three new items in light of the goals set forth in the 2021-25 Kentucky State University strategic plan.

SUMMARY OF PROGRAMS/ACTIVITIES: The proposed legislative agenda items for 2022 are as follows:

- Land Grant Match Solution

Despite successful efforts in the past legislative session to ensure the land grant match was properly reflected in the annual budget, the issue of land grant match persists and is as of yet unresolved. The full Matching Funds Requirement for Agricultural Research and Extension Formula Funds at 1890s Land Grant Institutions is required for the University and the Commonwealth to remain compliant with federal laws. Kentucky State University will continue to work with the Council on Postsecondary Education to address the matching fund process and with the General Assembly to assure that the University is awarded the full amount of its annual match for each year of the budget, whether it returns to biennial or continues as annual.

- Expansion and growth of the Kentucky State University Nursing and Allied Health Care programs

Kentucky State University has an excellent opportunity to expand its nursing program into a world class health care educational facility. With nearly 200,000 health care



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

positions to be filled annually to 2029, there is need to build out both the educational and the facilities spaces used for nursing education. Kentucky State will be advocating with the General Assembly and the federal government for increased capital projects funding and for earmarks that would expand educational offerings in nursing and allied health fields, increase pathways to enrollment in nursing and health care professions, and enable the purchase of a mobile health care unit to bring needed health care to our service counties.

- Public History focused capital projects

Capitalizing on interest in the last session on heritage projects, Kentucky State University would seek support from the Legislature for improvements to its historic properties, including Jackson Hall and the Springhouse as part of a larger engagement with public history education opportunities. Specifically, additional resources are needed to complete the projected African American History Museum, designated for Jackson Hall and to provide much needed collection support and materials preservation for the archival collections housed in the Center of Excellence for the Study of Kentucky African Americans (CESKAA).

- Expansion and growth of educational offerings at Kentucky State in West Louisville; workforce readiness support

Initiated through SB 270 in the 2021 legislative session, the West Louisville educational center, a collaboration Kentucky State University and Simmons College, is a legislative educational expansion priority. Kentucky State University will seek support from the General Assembly for staffing, resourcing and building out the educational and economic zones that will addresses issues of educational paucity, food deserts and pharmacy deserts. The current level of funding as appropriated in 2021 was for the project at the pilot level. The sustainability of the initiative will depend on continuing legislative support as well as grants writing and fundraising on the part of both Kentucky State University and Simmons College as well as interest and support from corporate and community partners.

- Environmental Education Research Center

Located in Henry County, the Kentucky State University Environmental Education Research Center (EERC) is a 308 acre property that supports P-12 STEM and agriculture-based education for all learners. The University will seek legislative support to expand **capital and facilities improvements to the EERC in keeping with the Commonwealth's** environmental literacy initiatives.



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across All Programs and Colleges, Increase In Student General Education Skills, Degree Persistence, Career Readiness, and Graduation Rates

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: The past legislative session had a strong focus on educational attainment, access and affordability. It is essential for Kentucky State to continue to build on its successful trajectory in achievement of student learning outcomes and to expand its footprint into the West Louisville community. The Commonwealth needs more nurses and allied health personnel to meet the needs of its population, which aligns with our mission. Finally, the land grant matching fund issue is growing in significance as more information becomes available on consistent underfunding activities by state governments. Without stable general fund appropriations, and ones that focus on student success, program development, implementation, the quality of affordable education will be adversely impacted.

FISCAL IMPLICATIONS: General fund appropriations directly impact operational efficiency, campus infrastructure and student success. Advocacy efforts will continue to focus on those items that will yield greater financial stability, enrollment pathways, meet the employment and workforce needs of the Commonwealth, and position Kentucky State University as a key provider of quality educational opportunity in the liberal arts and professional fields of study.

RECOMMENDATION: NO

ATTACHMENTS: NONE



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: ARG1 End of Year Endowment Performance Report
FROM: INSTITUTIONAL ADVANCEMENT
ACTION ITEM: NO

BACKGROUND: As of September 1, 2019, ARG1 Financial Group manages the Kentucky State University Endowment. Representatives from ARG1 will present the Endowment Performance Report.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2: Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness, and Graduation Rates.

Goal 3: Increase the University's Financial Strength and Operational Efficiency.

Goal 4: Enhance the Impact of External Relations and Development.

Goal 5: Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Endowment income and performance.

RECOMMENDATION: N/A

ATTACHMENTS: YES



Portfolio Review

Kentucky State University
Q2 2021

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Agenda

1. Investment Policy Review
2. Performance Review
3. Portfolio Update



Investment Policy Statement

Account	Balance as of 5/26/2021
Kentucky State University	\$21,670,855

Asset Class	IPS Range	Current Allocation
Equity	35-55%	53.83%
Fixed Income	25-45%	25.36%
Cash Equivalents	0-25%	1.19%
Alternatives	0-20%	11.61%
Real Estate	0-10%	8.00%

- Portfolio was rebalanced in January 2021
- Cash amounts over \$1mm are being reinvested into new Fixed Income positions
- YTD strength in the equity market has pushed equity allocation to within 1.17% of upper limit

*balance as of 11/25/2020

**balance rounded to the nearest whole dollar

Performance

Managed Portfolio Performance* (IRR)

Portfolio	Inception Date	Ending Market Value	Net Contributions Since Inception	QTD	YTD	1 YR	3 YR	5 YR	Inception
Custom Strategic 4 Portfolio	8/22/2019	\$21,670,855	\$16,617,499	4.00 %	7.93 %	28.90 %	-	-	15.42 %
Portfolio Totals		\$21,670,855	\$16,617,499	4.00 %	7.93 %	28.90 %	-	-	15.42 %

Net increase of \$5,053,356 since inception (8/22/2019)

Benchmark Performance (IRR)

Portfolio	QTD	YTD	1 YR	3 YR	5 YR	Since 8/22/2019
Kentucky State University / Doug Allen						
MSCI ACWI IMI (TR Net)	5.39 %	10.80 %	45.03 %	-	-	22.79 %
S&P 500 (TR)	5.85 %	12.38 %	42.52 %	-	-	24.91 %
S&P 600 Small Cap	3.43 %	22.29 %	71.20 %	-	-	26.20 %
Dow Jones US Real Estate	8.76 %	17.13 %	33.76 %	-	-	8.04 %
MSCI EAFE (TR grs)	6.29 %	10.12 %	40.62 %	-	-	17.73 %
MSCI Emerging Markets (TR grs)	2.98 %	5.39 %	49.37 %	-	-	22.49 %
Bloomberg Barclays US Agg Bond	1.11 %	-2.30 %	-0.09 %	-	-	2.88 %
Bloomberg Barclays US Corporate Investment Grade	1.85 %	-2.88 %	4.31 %	-	-	4.35 %
Bloomberg Barclays US Corporate High Yield Bond	1.24 %	2.10 %	15.75 %	-	-	7.32 %

*Source: ARG1 Quarterly Performance Report as of 5/26/2021

Portfolio Rebalancing

- January 2021 rebalance moved portfolio back to model targets
- Equity market performance since rebalance has moved the equity allocation to 53.83%, close to the 55% upper tolerance level
- The fixed income allocation sits at only 0.36% above the 25% minimum threshold
- Fixed income will increase as proceeds from matured bonds are reinvested and cash builds from interest and dividends

Summary

- KSU's Custom Strategic 4 Model has an academic basis in the efficient frontier and portfolio optimization research of Harry Markowitz
- ARG1 provides the disciplined asset allocation framework to execute the strategy
- Near-term inflation concerns have supplanted the enthusiasm surrounding the economic recovery and market resurgence
 - The model holds a Real Estate ETF as an inflation hedge, as well as an equity market crash hedge via its position in BlackSwan
 - US Treasury Inflation-Protected Bonds (TIPS) are another tool at our disposal should inflation expectations move higher
 - We are monitoring interest rates and inflation expectations closely
- We anticipate investing further into fixed income as cash accumulates
- We are maintaining sufficient liquidity to fund withdrawals

Questions?

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KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Election Results for KSUNAA Officers
FROM: INSTITUTIONAL ADVANCEMENT
ACTION ITEM: NO

BACKGROUND: The Kentucky State University National Alumni Association's mission is to promote a spirit of cooperation and to preserve the University's values, traditions and heritage in support of its mission, vision and goals. The KSUNAA recently elected a new slate of officers.

SUMMARY OF PROGRAMS/ACTIVITIES: The KSUNAA recently elected a new slate of officers.

- The Kentucky State University National Alumni Association re-elected incumbent Richard Graves, class of 1980 as the president of the KSUNAA.
- The KSUNAA also elected five members to serve in the following positions:
 - Dr. Linda Whye, class of 1981, will serve as vice president.
 - Aaron Terrell, class of 2007 and affiliated with the Chicago chapter, will serve as treasurer.
 - Renee Micou of the Detroit chapter and class of 1973 will serve as financial secretary.
 - Roxlauna Brown of the Columbus chapter will serve as secretary.
 - Keushum Willingham, class of 2002, will serve as member-at-large.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 4: Enhance the Impact of External Relations and Development.

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: N/A

ATTACHMENTS: N/A



KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

DATE: June 3, 2021
SUBJECT: Updates on Student Engagement Summer Activities
FROM: STUDENT ENGAGEMENT AND CAMPUS LIFE
ACTION ITEM: NO

BACKGROUND: The Summer 2021 sessions at Kentucky State University will feature both online and face to face course delivery. Students have sought both options for Maymester and the full Summer terms. The Division has developed a calendar of activities that focus on student leadership development, mentorship, and co-curricular experiences. While continuing to follow recommended COVID-19 safety guidelines; the overall goal and priority is to continue to keep students engaged and active.

SUMMARY OF PROGRAMS/ACTIVITIES: The Division has provided a variety of activities that correlate with mental health and wellness, leadership development, and co-curricular experiences. These opportunities include a full in-person week of leadership development training for elected student leaders.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1: Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

PROGRAM IMPLICATIONS: These opportunities provide means for student leadership, mentorship, and development.

FISCAL IMPLICATIONS: N/A

RECOMMENDATION: N/A

ATTACHMENTS: YES



MAY 2021

Game Night (4pm – 6pm)

5/27/2021

JUNE 2021

KY Horse Park Trip (11am – 1pm)

6/3/2021

Capital Bowling Trip (5:30pm – 7:30pm)

6/11/2021

National Underground Railroad Freedom Center (12pm-2pm)

6/16/2021

Lexington Legends Baseball Game (7pm – 9pm)

6/24/2021

JULY 2021

Game Night (4pm – 6pm)

7/2/2021

Royal Court Summer Leadership Training

7/11-17/2021

SGA Summer Leadership Training

7/11-17/2021

CAB Summer Leadership Training

7/11-17/2021

Louisville Slugger Museum (12pm – 2pm)

7/14/2021

Movie Tavern (6:30pm – 9pm)

7/16/2021

Newport Aquarium (12pm – 2pm)

7/21/2021

Field Day and BBQ (12pm – 3pm)

7/29/2021

DAILY GAME ROOM HOURS OF OPERATION

Monday-Friday from (12pm – 6pm)

Saturday (TBA)

VIRTUAL PLANNING

Mental Health Summit Weekly Series

July 2021